

1 Title: Assessment of carbon dioxide, carbon dioxide/oxygen, isoflurane and pentobarbital killing methods
2 in rats.

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21 **Abstract**

22 **Background:**

23 Exposure to carbon dioxide (CO₂) gas as a killing method is aversive and exposure to high concentrations
24 likely to be painful. Bradycardia during exposure to CO₂ is associated with nociception and pain.

25 However, it is unclear if bradycardia occurs before loss of consciousness as this is variably defined in the
26 literature. The objectives of this study were to explore the relationship between recumbency, loss of
27 righting reflex (LORR) and a quiescent electromyograph as measures of loss of consciousness, and
28 identify the onset of bradycardia in relation to these measures.

29 **Methods:**

30 Thirty-two adult, female Sprague-Dawley rats were instrumented with a telemetry device and randomly
31 assigned to one of four killing methods (100% CO₂, CO₂ (70%)/O₂ (30%), isoflurane (5%) and
32 intraperitoneal pentobarbital (200 mg/kg). Time to achieve recumbency, LORR, quiescent
33 electromyograph, isoelectric electrocorticograph, heart rate and apnea were recorded.

34 **Results:**

35 The general order of progression was recumbency, LORR, quiescent electromyograph, isoelectric
36 electrocorticograph and apnea. Recumbency preceded LORR in the majority of animals (CO₂; 7/8, CO₂/
37 O₂; 8/8, isoflurane; 5/8, pentobarbital; 4/8). Bradycardia occurred before recumbency in the CO₂ (p =
38 0.0002) and CO₂/O₂ (p = 0.005) groups, with a 50% reduction in heart rate compared to baseline. The
39 slowest (time to apnea) and least consistent killing methods were CO₂/O₂ (1180 ± 658.1s) and
40 pentobarbital (875 [239 to 4680]s).

41 **Conclusion:**

42 Bradycardia, and consequently nociception and pain, occurs before loss of consciousness during CO₂
43 exposure. Pentobarbital displayed an unexpected lack of consistency, questioning its classification as an
44 acceptable euthanasia method in rats.

45 **Introduction**

46 The majority of laboratory rodents used in biomedical research are killed upon project completion.
47 Ideally, the killing process is a “good death” (euthanasia), free from pain and distress.[1,2] The most
48 recent Canadian Council on Animal Care (CCAC) and American Veterinary Medical Association (AVMA)
49 euthanasia guidelines are broadly similar in their classification of killing methods.[1,2] Both guidelines
50 consider CO₂ to be “conditionally acceptable”/“acceptable with conditions” and overdose with
51 intravenous or intra-peritoneal (IP) barbiturate as an acceptable method. In contrast, overdose with an
52 inhalational anaesthetic agent (followed by a second method to ensure death after loss of
53 consciousness) is considered acceptable by the CCAC and acceptable with conditions by the AVMA.
54 Overdose with carbon dioxide (CO₂) gas is a common killing method but exposure to low concentrations
55 (< 20%) is aversive to rats and mice.[3-5] Despite this, CO₂ remains popular as it is rapidly acting, simple
56 to use, familiar, has a low risk of harm associated with human exposure and is effective for groups of
57 animals. Exposure to the volatile anaesthetic agent, isoflurane, offers a refinement over CO₂ by reducing,
58 but not preventing, aversion in rats.[3,6] A less explored alternative, a mixture of CO₂ and oxygen (CO₂/
59 O₂) has been associated with fewer signs of distress during exposure than CO₂ alone, though results have
60 been conflicting.[7-9]

61 When CO₂ is employed, a gradual fill technique with displacement rates of between 10-30% of the
62 chamber volume per minute (cv/min) are recommended to avoid pain resulting from exposure to high
63 concentrations of CO₂(>50%) prior to loss of consciousness.[1,2] The evidence for pain is from the
64 human literature , with self-reports of nasal irritation and pain beginning at CO₂ concentrations of > 35%.
65 [10,11] Exposure to similar concentrations have been shown to activate nociceptors in rats[12-16] and
66 result in reflex bradycardia.[17-19] Therefore, the observation of bradycardia during exposure to CO₂
67 may serve as an indicator of nociception and potentially pain in rats.[20] If so, the timing of bradycardia
68 in relation to loss of consciousness is critical to evaluating the presence of nociception or pain. However,

69 there is confusion in the literature in how loss of consciousness is identified in rodents, leading to
70 conflicting reports of the the occurrence of bradycardia before or after loss of consciousness.[20,21]
71 There is currently no consensus over how to identify loss of consciousness in rats, with some studies
72 relying on cessation of movement or recumbency.[20-23] This contrasts with experimental evidence
73 suggesting that the appropriate surrogate measure of unconsciousness is loss of the righting reflex
74 (LORR).[24]
75 Using 3 treatment groups, CO₂, CO₂/O₂ and isoflurane, the aims of this study were: 1. to compare three
76 putative measures of loss of consciousness (recumbency, LORR and a quiescent electromyograph [EMG])
77 and examine the relationship of each to the presence of bradycardia and 2. to investigate the
78 relationship between an isoelectric electrocorticograph (ECoG) and apnea as indicators of impending
79 death. We hypothesised that bradycardia would precede the loss of righting reflex, indicating the
80 possibility of pain prior to loss of consciousness and that the appearance of an isoelectric ECoG would be
81 closely related to apnea. After initiating the project, a fourth treatment group, IP sodium pentobarbital
82 (PB), was added as it was felt this would serve as a criterion standard for comparison.

83 **Materials and Methods**

84 **Animals.** Experiments were performed at the University of Calgary following approval by the University
85 of Calgary Health Science Animal Care Committee (protocol AC11-0044), which operates under the
86 auspices of the CCAC.
87 Thirty-two female Sprague-Dawley rats (Health Science Centre Animal Resource Centre, Calgary, Alberta,
88 Canada) weighing between 250 to 500 grams were used. Animals were housed in a 12h:12h light cycle
89 (lights on at 0700h) and were group housed prior to instrumentation and singly housed afterwards, in
90 micro-isolator rat cages (48 x 27 x 20cm [Ancare Corp., Worcester, MA, USA]). Fresh water and food
91 (Prolab 2500 Rodent 5p14, Lab diet, PMI Nutrition International, St Louis MO, USA) were available ad
92 libitum. Plastic tubing (PVC pipe, provided by the Health Science Animal Resource Centre, Calgary, AB,

93 Canada) wood shavings (Aspen chip, NEPCO, Warrensburg, NY, USA) and Nestlets (Nestlets nesting
94 material, Ancare, Bellmore, New York, USA) were provided for bedding and enrichment. All experiments
95 were performed between 1000h and 1600h.

96 Treatment groups

97 Animals were block randomized (www.random.org) to one of four killing methods (n = 8 per group): CO₂
98 (Praxair, Calgary, AB, Canada); exposure to 100% CO₂ at a fill rate of 20% cv/min, isoflurane group; 5%
99 isoflurane carried in oxygen at a fill rate of 20% cv/min until LORR, followed by stopping isoflurane
100 administration and switching to 100% CO₂ (30 % cv/min), CO₂/O₂; exposure to a mixture of 70% carbon
101 dioxide and 30% oxygen at a fill rate of 20% cv/min and IP PB; (200 mg/kg, 240 mg/ml, Euthanyl,
102 Bimedia MTC, Cambridge, ON, Canada).

103 **Telemetry instrumentation**

104 Each rat was implanted with a radio transmitter (4ET-S2 Radio Transmitter Data Sciences International, St
105 Paul, MN, USA) placed subcutaneously lateral to midline on the dorsum with leads for EMG,
106 electrocardiography (ECG) and ECoG tunnelled subcutaneously to the central trapezius muscle of the
107 neck (EMG), pectoral muscles (ECG) and skull (ECoG). Surgery for instrumentation was facilitated with
108 general anesthesia as follows. General anesthesia was induced with isoflurane (5%) carried in oxygen (1
109 L/min), with rats placed singly in a perspex chamber. Following LORR the rat was moved to the surgical
110 area and isoflurane (1.5-2%) delivered through a nose cone. Surgical sites were clipped and aseptically
111 prepared and pre-emptive analgesia given. All animals received 0.1 ml (2 mg) of 2% lidocaine (diluted in
112 0.8 ml saline) as incisional line blocks, enrofloxacin (50 mg/kg SC, 25 mg/ml, Baytril, Bayer, Toronto, ON,
113 Canada), saline (4 ml, NaCl 0.9%, Baxter Corporation, Mississauga, Ontario, CA), buprenorphine 0.05 mg/
114 kg SC, every 8 hours (0.3 mg/ml Vetergesic, Champion Alstoe Animal Health, Whitby, ON, Canada) and
115 meloxicam 1 mg/kg SC, every 24 hours (Metacam, Boehringer Ingelheim, Burlington, ON, Canada).
116 Analgesics were continued for a minimum of 24 hours following surgery and pain assessed regularly

117 (every 6-8 hours) by monitoring activity, posture, grooming and body weight. Antibiotics were continued
118 for two days following the surgery. A minimum of 7 days passed before the experimental day.
119 For the experiment, animals were placed singly in a customised perspex chamber (25.5 (l) x 10 (w) x 12
120 (h) cm). The chamber had ports for gas entry and exit located on the short sides at opposite ends. The
121 following physiological parameters were collected using commercial software (Data quest Advanced
122 Research Technology version 4.3, Data Sciences International St. Paul, MN, USA): ECoG, EMG and ECG.
123 The ECoG and EMG signals were sampled at 500 Hz with a 0 -100 Hz bandpass filter. The ECG signal was
124 sampled at 1000 Hz with a 0 - 250 Hz bandpass filter. Baseline data were recorded over five minutes
125 during exposure to room air. In the IP PB group, injections were given following baseline recording and
126 the animal immediately returned to the recording chamber.
127 The following time points were recorded and compared to evaluate relationships between recumbency,
128 LORR and muscle tone: baseline - recumbency, baseline - LORR, baseline - quiescent EMG. The times
129 from baseline - isoelectric ECoG and baseline - apnea were used to investigate the relationship between
130 an isoelectric ECoG and apnea. The overall speed of each method was assessed with the time between
131 baseline - apnea.
132 Recumbency was defined as the moment when an animal's body and head were in full contact with the
133 chamber floor. The LORR was determined by manually rotating the chamber to place the animal on its
134 back, assessing its ability to right itself. The onset of recumbency triggered the first assessment of LORR.
135 LORR was confirmed if a rat could be turned on to its back for at least 10s. If LORR occurred at the first
136 test, the same time was given for recumbency and LORR. An isoelectric ECoG was identified by off-line
137 visual inspection of the ECoG and defined as the waveform being within ± 0.025 mV of the x-axis, similar
138 to the definition in humans (Fig. 1).[25]
139 Figure 1: A representative example of the onset of an isoelectric electrocorticograph (ISOEL), occurring
140 after loss of the righting reflex (LORR).

141 A quiescent EMG was determined by off-line visual inspection of the EMG and defined as the waveform
142 being within XXX.

143 Heart rates were averaged over the 10 seconds immediately preceding each of the following times: end
144 of baseline and occurrence of recumbency, LORR, isoelectric ECoG and apnea. Each rat was kept in the
145 chamber until cardiac asystole was observed on the ECG. Death was confirmed by digital palpation of the
146 thorax to confirm absence of a heart beat.

147 **Statistical analyses**

148 Data were analysed with commercial software (Prism v7.0a, GraphPad Software Inc., La Jolla, CA, USA).

149 Data were assessed for normality with a Shapiro-Wilk normality test. Differences between groups were
150 compared with one-way ANOVA with a Tukey's post hoc test. Heart rate data were analysed for

151 differences within groups with a one-way ANOVA for repeated measures and a Dunnett's post hoc test
152 (comparison to baseline values). Where there was a significant change in heart rate between baseline

153 and recumbency or LORR, unpaired t tests were used to compare heart rates between groups at these
154 two time points. Pentobarbital data were handled separately and compared with the CO₂ treatment
155 group with either a Mann-Whitney test or unpaired t test, depending on distribution of the data.

156 Coefficient of variation was calculated to provide an indication of data variability. A value of $p < 0.05$ was
157 considered significant and 95% confidence intervals (95% CI) presented where available.

158 **Results**

159 Data from the inhalational treatment groups were normally distributed. In the IP PB group heart rate
160 data were normally distributed whereas time data were not.

161 **Recumbency precedes loss of righting reflex**

162 Recumbency preceded LORR in 7/8 animals in the CO₂ group (p = 0.30, 95%CI [-57.0, 14.5]), 8/8 animals
163 in the CO₂/O₂ group (p = 0.16, 95%CI [-115.0, 16.7]) and 5/8 animals in the ISO group (p = 0.6, 95%CI
164 [-82.0, 34.2]) with the time from recumbency to LORR ranging from 21.2-49.1 seconds (Table 1).

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Treatment group	Baseline - recumbency	Baseline - LORR	Baseline - quiescent EMG	Baseline - isoelectric EEG	Baseline - apnea
166 CO ₂	115.3 ± 31.2	136.5 ± 53.0 ^a	164.9 ± 54.1	193.3 ± 83.2 ^{a,bb}	239.3 ± 73.0 ^{bb}
168 Isoflurane	137.3 ± 24.0	161.4 ± 54.6 ^{aa}	184.5 ± 55.4	236.0 ± 63.4 ^{aa,bbb}	434.1 ± 99.7 ^{bbb}
169 CO ₂ /O ₂	119.8 ± 26.3	168.9 ± 66.9 ^{a,bbb}	226.6 ± 107.6 ^a	338.5 ± 63.2 ^{bbb,c}	1180.0 ± 658.1 ^c

170 Table 1: Same superscript letter denotes significant difference between time points within a group: single
171 letter; p < 0.05, two letters; p ≤ 0.01, three letters; p ≤ 0.001. Statistical comparisons were restricted to:
172 recumbency vs. loss of righting reflex (LORR), LORR vs. quiescent electromyograph (EMG), LORR vs.
173 isoelectric electrocorticograph (ECoG), isoelectric ECoG vs. apnea. See text and Figure 3 for results of
174 between group comparisons. Data are mean ± SD.

175 There were no significant differences between inhalational treatment groups for the time from baseline
176 to recumbency (CO₂ vs. ISO, p = 0.26, 95%CI [-56.4, 12.4]; CO₂ vs CO₂/O₂, p = 0.94, 95% CI [-38.9, 29.9];
177 ISO vs CO₂/O₂, p = 0.42, 95% CI [-16.9, 51.9], Table 1). Similarly, there were no significant differences
178 between inhalational treatment groups from baseline to LORR (CO₂ vs. ISO, p = 0.68, 95%CI [-98.6, 48.8];
179 CO₂ vs CO₂/O₂, p = 0.52, 95% CI [-106.1, 41.3]; ISO vs CO₂/O₂, p = 0.96, 95% CI [-81.2, 66.2]). LORR
180 preceded EMG quiescence in all animals in the CO₂/O₂ treatment group, with one animal in the CO₂

181 group and two animals in the ISO group exhibiting EMG quiescence prior to LORR. The mean delay
182 between LORR and EMG quiescence ranged from 23.1 seconds for ISO to 57.8 seconds for CO₂/O₂, with a
183 significant delay in the CO₂/O₂ group (Table 1). There were no significant differences between
184 inhalational treatment groups between LORR and a quiescent EMG (CO₂ vs. ISO, $p = 0.95$, 95%CI [-37.9,
185 48.4]; CO₂ vs CO₂/O₂, $p = 0.22$, 95% CI [-72.5, 13.8]; ISO vs CO₂/O₂, $p = 0.13$, 95% CI [-77.8, 8.5]).
186 PB did not differ significantly from the CO₂ group in the phases between baseline and recumbency ($p =$
187 0.43) or baseline and LORR ($p = 0.12$, Table 2), with recumbency preceding LORR in 4/8 animals.
188 However, in contrast to the inhalational treatment groups, EMG quiescence preceded LORR in 7/8
189 animals. This early onset of EMG quiescence was significantly faster than the CO₂ group ($p = 0.004$).

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191	Time points	median (range)	mean \pm SD
192	Baseline to recumbency	130.0 (40.0, 445.0)	174.6 \pm 125.4
193	Baseline to LORR	165 (50.0, 181.0)	272.1 \pm 204.8
194	Baseline to quiescent EMG	157 (25.0, 583.0)	259.0 \pm 201.0

195 Table 2. Recorded times for recumbency, loss of righting reflex (LORR) and electromyography (EMG)
196 quiescence in the pentobarbital treatment group. Statistical comparisons with the CO₂ treatment group
197 were performed with median (range) data; mean \pm SD are provided for completeness.
198
199 Bradycardia precedes both recumbency and loss of righting reflex
200 Heart rates did not differ between treatment groups at baseline (CO₂ vs. CO₂/O₂, p = 0.58, 95%CI [-27.9,
201 64.9]; CO₂ vs. isoflurane, p = 0.44, 95% CI [-69.5, 23.3]; CO₂/O₂ vs. isoflurane, p = 0.08, 95%CI [-4.8, 88.0];
202 CO₂ vs. PB, p = 0.52, 95% CI [-26.2, 49.4]), with average values ranging from 396 to 438 beats per minute
203 (Fig. 2, Table 3).
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221	CO ₂	CO ₂ /O ₂	Isoflurane	PB	
222	414.6 ±	396.1 ±	437.7 ±	426.2 ±	
	Baseline				
223	39.7	34.6	36.0	30.2	
		p=0.0002	p=0.005	p=0.48	p=0.58
	173.0 ±	193.0 ±	418.6 ±	403.6 ±	
	Recumbency	(158.1,	(78.4,	(-23.3,	(-35.0,
224	74.6	100.9	31.1	45.5	
		325.2)	327.9)	61.4)	80.3)
		p=0.0001	p=0.03	p=0.71	p=0.32
	119.9 ±	233.9 ±	425.4 ±	399.1 ±	
	LORR	(255.5,	(20.5,	(-25.2,	(-21.4,
225	57.6	119.3	19.7	37.4	
		334.0)	303.8)	49.8)	75.7)
		p=0.0001	p=0.01	p=0.21	p=0.01
	135.4 ±	267.3 ±	351.3 ±	320.1 ±	
	ECoG	(207.4,	(31.4,	(-43.1,	(26.0,
226	49.2	80.6	103.5	56.0	
		351.0)	226.1)	216.0)	186.3)
		p=0.0001	p=0.0001	p=0.0007	p=0.0001
	91.6 ±	124.8 ±	190.6 ±	249.2 ±	
	Apnea	(262.8,	(202.3,	(136.1,	(137.5,
227	23.1	49.7	100.6	34.7	
		383.3)	340.3)	358.1)	216.7)

227 Table 3: Heart rates (beats per minute) recorded at different time points in treatment groups. PB =

228 pentobarbital. LORR = loss of righting reflex. ECoG = isoelectric electrocorticograph. p values represent

229 within group comparisons to baseline. See text and Figure 2 for results of between group comparisons.

230 Data are mean ± SD.

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232 Bradycardia prior to loss of the righting reflex only occurred in the CO₂ and CO₂/O₂ groups (Fig. 2, Table 3)

233 with an average decrease of 58.3% and 51.3%, respectively. In the isoflurane and PB treatment groups,

234 bradycardia appeared at or after the onset of an isoelectric ECoG (Table 3). At recumbency, the
235 bradycardia observed in the CO₂ and CO₂/O₂ groups was significantly lower than the isoflurane group
236 (isoflurane vs. CO₂, 95% CI [-339.7, -151.5]; isoflurane vs CO₂/O₂, 95% CI [-319.7, -131.5]; p < 0.0001
237 both comparisons, Fig. 2). There was no significant difference between CO₂ and CO₂/O₂ groups at
238 recumbency (p = 0.85, 95% CI [-114.1, 74.1]) but heart rate was significantly higher (approximately
239 double) in the CO₂/O₂ group at the LORR (p = 0.008, 95% CI [-202.3, -25.7], Fig 2). Both CO₂ and CO₂/O₂
240 groups had significantly lower rates than the isoflurane group (isoflurane vs. CO₂, 95% CI [-393.8, -217.2];
241 isoflurane vs CO₂/O₂, 95% CI [-279.8, -103.2]; p < 0.0001 both comparisons). Heart rates in all groups
242 converged at the point of apnea (Table 3).

243 Figure 2: Heart rates in the carbon dioxide (circles) and carbon dioxide-oxygen (triangles) treatment
244 groups decrease significantly compared to the isoflurane group (squares) at recumbency (RECUMB, ****
245 p < 0.0001, both comparisons) and loss of the righting reflex (LORR, **** p < 0.0001, both comparisons).
246 At LORR, heart rates are significantly increased in the carbon dioxide-oxygen group compared with the
247 carbon dioxide group (†† p = 0.008). ISOEL, isoelectric electrocorticograph. Data are mean ± SEM.

248 Isoelectric ECoG occurs after loss of righting reflex and precedes apnea

249 An isoelectric ECoG occurred after LORR in all animals, representing an increasing depth of anaesthesia
250 (Fig. 3A). The onset of an isoelectric ECoG was shortest in the CO₂ group (Table 1). This was not
251 significantly different from the isoflurane group (p = 0.73, 95% CI [-76.6, 40.9]) and occurred sooner than
252 in the CO₂/O₂ group (169.6 ± 50.2 seconds, p = 0.0002, 95% CI [-171.6, -54.1]). Onset of an isoelectric
253 ECoG was also earlier in the isoflurane group compared with the CO₂/O₂ group (p = 0.002, 95% CI
254 [-153.8, -36.3]). The PB group did not differ from the CO₂ group, but exhibited considerable data
255 variability (p = 0.06, 101 [25.0 to 2342.0] seconds).

256 Apnea occurred after an isoelectric ECoG in all cases (Fig. 3B). This period was shortest for the CO₂ group
257 (Table 1) and was significantly faster compared with the CO₂/O₂ group (p = 0.002, 95% CI [-1288, 302.6]),

258 but not the isoflurane group ($p = 0.72$, 95% CI [-644.7, 340.4]). This time course was also shorter in the
259 isoflurane compared with the CO₂/O₂ group ($p = 0.009$, 95% CI [-1136.0, 150.5]). The PB group did not
260 differ from the CO₂ group, but again displayed large data variability (287.5 [4.0 to 4200.0 seconds], $p =$
261 0.07).

262 The time course for the entire observation period (from baseline until apnea) was fastest in the CO₂ and
263 ISO groups (Fig. 3C, Table 1). Though there was no significant difference between the CO₂ and ISO group
264 ($p = 0.61$, 95% CI [-669.0, 304.0]), the average time to apnea in the CO₂ group (239.3 ± 73.0 seconds) was
265 approximately half that of the ISO group (434.1 ± 99.7 seconds). The source of the increased time to
266 apnea in the ISO group resulted from a four fold increase in average time between isoelectric ECoG and
267 apnea compared to the CO₂ group (Fig. 3B, Table 1). Both CO₂ and isoflurane treatment groups reached
268 apnea faster than the CO₂/O₂ group (vs. CO₂, $p = 0.0003$, 95% CI [-1415.0, -441.0]; vs. ISO, $p = 0.003$, 95%
269 CI [-1232.0, -259.0]). Time to apnea was faster in the CO₂ group than the PB group ($p = 0.005$, 875 [239
270 to 4680] seconds). The most consistent killing methods, with the lowest coefficients of variation, were
271 CO₂ (26.9%) and ISO (23.0%), followed by CO₂/O₂ (55.8%) and PB (114.1%). In the PB treatment group,
272 three rats contributed to substantial variability in the data set, as a result of suspected misinjection.

273 Figure 3: Time periods during which differences between treatment groups emerged. A: Time from loss
274 of the righting reflex until an isoelectric electrocorticograph. *** $p = 0.0002$, ** $p = 0.002$. B: Time from
275 an isoelectric electrocorticograph until apnea. †† $p = 0.002$, ** $p = 0.01$. C: Time from baseline until
276 apnea. ** $p = 0.003$, *** $p = 0.0003$. CO₂, carbon dioxide. CO₂/O₂, carbon dioxide/oxygen. Data are mean
277 \pm SEM.

278 Discussion

279 In evaluating euthanasia methods the AVMA Guidelines for the Euthanasia of Animals include
280 assessment of the following criteria: the “time required to induce loss of consciousness”, “reliability” and
281 the “ability to induce loss of consciousness and death with a minimum of pain and distress”.[1] Our data

282 provide insight on the time to loss of consciousness and reliability of the studied methods, allowing
283 comment on the potential for pain and distress.

284 We have shown that: 1. LORR and recumbency occur at different times, indicating that recumbency is
285 not an accurate indicator of loss of consciousness, 2. bradycardia occurs in response to exposure to
286 carbon dioxide gas both with and without supplemental oxygen and that bradycardia precedes LORR, 3.
287 euthanasia with a gradual fill carbon dioxide technique is the fastest of the methods studied to achieve
288 apnea but the time to LORR did not differ between carbon dioxide and isoflurane. The addition of
289 supplemental oxygen during carbon dioxide euthanasia substantially increases time to apnea and 4.
290 considerable variability is associated with both CO₂/O₂ and IP PB methods, questioning the classification
291 of IP PB as an acceptable euthanasia method.[1,2]

292 There is a strong positive correlation between LORR in rodents and unconsciousness in humans,
293 suggesting that LORR is an appropriate proxy for loss of consciousness in rats.[24] The onset of LORR
294 equates to a light plane of anaesthesia, insufficient to prevent movement in response to a noxious
295 stimulus, approximating MAC_{awake} in humans, where MAC is the minimum alveolar concentration of an
296 inhalational anaesthetic agent which prevents gross, purposeful movement in response to a
297 supramaximal noxious stimulus in an individual (or 50% of a study population).[26] And MAC_{awake} is the
298 lower concentration of anaesthetic, approximately 50% of MAC, when an individual (or 50% of a study
299 population) can provide a verbal response to a command.[27]

300 Recumbency preceded LORR in the majority of animals studied. This suggests that previous
301 investigations which used recumbency as a proxy for loss of consciousness underestimated the speed to
302 reach loss of consciousness.[20-23] As the time between initiation of the killing process and
303 unconsciousness is a critical period when pain may be perceived, the reliance on recumbency has
304 implications for the assessment of welfare of killing methods. In this study, the mean time to achieve

305 recumbency in the CO₂ group of 115 seconds, is similar to that previously reported where gradual fill
306 techniques were used.[14,20,21,23]
307 Moody et al (2015) suggested a more conservative indicator of unconsciousness, an absent pedal
308 withdrawal reflex.[28] This undoubtedly reduces the risk that an animal may be conscious during
309 exposure to a noxious stimulus, a valid consideration when deciding to expose an animal to such a
310 stimulus (e.g. high concentration CO₂, surgery). However, the literature suggests that movement can
311 occur when an animal (or person) is unconscious as the concentration of anaesthetic required to induce
312 loss of consciousness is lower than that required to abolish movement.[27,29-31]
313 Residual muscle activity beyond loss of consciousness was reflected in the time to achieve a quiescent
314 EMG exceeding that required for LORR. Hewett et al (1993) observed increased muscle tonicity during
315 exposure to high concentrations (>90%, pre-fill) of CO₂ and spontaneous muscle activity can continue
316 after death.[21,32] Together, this indicates that appearance of a quiescent EMG is an insensitive
317 indicator of unconsciousness.
318 An isoelectric ECoG represents depressed cortical function, beyond that typically observed with
319 therapeutic doses of anaesthetic and analgesic drugs.[33] However, the presence of an isoelectric ECoG
320 alone is insufficient to confirm death.[34-36] Our results show that the time between onset of the
321 isoelectric EEG and apnea varied considerably between treatment groups, taking up to 14 minutes in the
322 CO₂/O₂ group in contrast to approximately 45 seconds in the CO₂ group. The prolonged time to achieve
323 an isoelectric ECoG in the isoflurane and CO₂/O₂ treatment groups suggests that providing O₂ may delay
324 its onset and the time to apnea.
325 The potential benefit of using a mixture of CO₂ and O₂ for euthanasia is controversial.[7-9] Coenen et al.
326 (1995) reported that the combination of oxygen and carbon dioxide, delivered at a high chamber fill rate
327 (188% cv/min, 2:1 CO₂:O₂ ratio) prevented gasping when compared with carbon dioxide alone.[7] In
328 contrast, Iwarsson and Rehbinder (1993) observed laboured breathing and “uneasiness” during exposure

329 to a chamber pre-filled with carbon dioxide (80%) and oxygen (20%).[8] The combination of CO₂ and O₂
330 has a modest effect on reducing aversion to the gas mixture in comparison to CO₂ alone.[9] These
331 studies also reported a prolonged time to death with CO₂/O₂ compared with CO₂ alone despite the rapid
332 rate of exposure. This slowing of the killing process reflects our observations that, when compared with
333 CO₂ alone, the time from LORR to apnea was 10 times longer in the CO₂/O₂ group. Up to the point of
334 LORR there was no significant difference between these two groups.

335 Given the conflicting reports of behaviours associated with respiratory distress, a prudent response to
336 available evidence which takes in to account the AVMA guidelines for evaluating killing methods is to
337 avoid the addition of O₂ to CO₂. [1]

338 In humans, nasal exposure to CO₂ concentrations of approximately 35% are reported as moderately
339 irritating, with irritation increasing as CO₂ concentrations increase.[10,11] At similar concentrations,
340 conjunctival and corneal exposure to CO₂ result in stinging and burning sensations.[37,38] The onset of
341 pain (nasal and ocular) begins at concentrations of CO₂ of approximately 40%. [13,14] and this
342 corresponds to nociceptor activation in rats beginning at a CO₂ concentration of around 40%. [12,15,16]
343 The perception of pain occurs at CO₂ concentrations slightly (< 10%) above that of nociceptor activation
344 in humans.[39]

345 Exposure of the nasal mucosa to CO₂ in rats at concentrations associated with irritation and pain in
346 humans results in a reflex bradycardia, mediated through the vagal nerve via baro- and chemoreflexes.
347 [17,19] Our finding that bradycardia occurs prior to LORR contrasts with those of Hawkins et al. (2006),
348 when bradycardia was observed approximately 120 seconds after recumbency.[20] Similar to our
349 findings, two studies that recorded recumbency, but not LORR, observed bradycardia near the onset of
350 recumbency.[7,21] Furthermore, the gas flow rates used (14 and 22% cv/min) and measurement of
351 chamber CO₂ indicated that bradycardia occurred at a concentration of CO₂ lower than the 100%

352 reported by Yavari et al. (1996).[19] Unfortunately, we did not record CO₂ concentration in our testing
353 chamber.

354 The variability observed in the PB group was considerably worse than expected and suspected to result
355 from misinjection. Unfortunately, necropsy examinations were not performed and the PB solution used
356 did not include a coloured dye. Intraperitoneal misinjection has been previously documented in rats,
357 reporting rates of 6-20% by trained, experienced personnel.[40-42] There are several potential sites for
358 inadvertent placement of the injectate, including intra-abdominal fat, the abdominal wall, subcutaneous
359 space, retroperitoneal space and viscera.[40-42] Of these, placement in to viscera, predominantly the
360 cecum, appears the most common site of misinjection.[42] The cecum in rats is usually located in the
361 caudal left quadrant of the abdominal cavity. However, its location varies considerably, lying in the
362 middle of the caudal region of the abdomen in 10-18% of rats and in the caudal right quadrant in
363 16-30%.[41]

364 Strategies to reduce misinjection rates include using a two person injection technique (as in this study),
365 minimising the distance the needle is inserted in to the abdominal cavity and performing the injection
366 with the head lowered below the level of the caudal abdomen.[41] However, the efficacy of these
367 strategies is largely unproven.

368 Though the incidence of misinjection could not be determined in our study, the high coefficient of
369 variation and wide variability observed for the total observation period (baseline to apnea) raises the
370 index of suspicion that misinjection occurred. Concerningly, the time to recumbency and LORR did not
371 differ significantly compared to the CO₂ group, with the delay to apnea occurring after these end points.
372 This highlights the importance of confirming death.[1,2]

373 The possibility of nociception or pain associated with administering IP PB has been identified by two
374 studies, using behavioural and molecular evidence.[43,44] Where misinjection delays the time to death,
375 it is unknown if pain may be present in animals unable to show behavioural changes. The observed

376 variability when using IP PB suggests that its current classification as an “acceptable” needs re-evaluation
377 to account for route of administration.[1,2]

378 This study had several limitations. We were unable to determine an accurate time of death as animals
379 were left undisturbed in the test chamber until all cardiac electrical activity had ceased. It is highly likely
380 that pulseless electrical activity would have been present, which without concurrent arterial blood
381 pressure recording, prevents accurate determination of death. Consequently, apnea was used as the
382 study end-point. The time between apnea and loss of pulsatile blood flow was previously reported as
383 approximately one minute using a 22% cv/min gradual fill technique with 100% CO₂. [21] The time from
384 baseline to apnea in the isoflurane group could have been shortened by increasing the flow rate of CO₂
385 gas after LORR occurred. In doing so, it is likely that the time to produce apnea would have been closer
386 to that of the CO₂ group. This study was not designed to explore the cause(s) of the inconsistent results
387 seen in the PB group. Further work is necessary to determine if intra-peritoneal overdose with PB can be
388 improved. Our results are limited to the strain and sex studied.

389 **Conclusions**

390 The onset of recumbency is an inaccurate indicator of loss of consciousness in rats exposed to CO₂, CO₂/
391 O₂ and isoflurane, underestimating the time when pain may be perceived and during which there is also
392 limited motor function. Bradycardia occurred in both CO₂-containing groups prior to LORR. As
393 bradycardia in rats exposed to CO₂ occurs at a concentration reported as painful in humans, this
394 highlights the possibility of rats experiencing pain prior to loss of consciousness.

395 Overdose with intraperitoneal PB did not produce consistent results, leading to the possibility of
396 prolonged euthanasia times. This lack of reliability questions its classification as an acceptable
397 euthanasia method.

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