

1 ***ImSig*: A resource for the identification and quantification of immune signatures in**
2 **blood and tissue transcriptomics data**

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31 **Abstract**

32 The outcome of many diseases is commonly correlated with the immune response at the site
33 of pathology. The ability to monitor the status of the immune system in situ provides a
34 mechanistic understanding of disease progression, a prognostic assessment and a guide for
35 therapeutic intervention. Global transcriptomic data can be deconvoluted to provide an
36 indication of the cell types present and their activation state, but the gene signatures proposed
37 to date are either disease-specific or have been derived from data generated from isolated cell
38 populations. Here we describe an improved set of immune gene signatures, *ImSig*, derived
39 based on their co-expression in blood and tissue datasets. *ImSig* includes validated lists of
40 marker genes for the main immune cell types and a number of core pathways. When used in
41 combination with network analysis, *ImSig* is an accurate and easy to use approach for
42 monitoring immune phenotypes in transcriptomic data derived from clinical samples.

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51 **Introduction**

52 The differentiation and activation of immune cells is associated with changes in the
53 expression of hundreds to thousands of genes (1, 2). Genes specifically expressed by a cell
54 type or cells in a particular state of activation can be used as markers (3) to monitor immune
55 cells in a disease environment, facilitate tailored therapies (4) and clinical stratification of
56 diseases (4, 5). Several studies have identified immune cell markers to ‘deconvolute’ gene
57 expression data. Some of the widely used methods (Table 1) include LLSR (6, 7), qprog (8),
58 DSA (9), PERT (10), MMAD (11) and CIBERSORT (12). For a more detailed review of
59 deconvolution methods read (13). Whilst the derivations differ, all these methods define their
60 marker gene lists based on the comparison of gene expression data from isolated immune
61 cells. Here we employ the principle of co-expression as the basis to derive cell-type specific
62 immune signatures directly from large clinical transcriptomic datasets. This method exploits
63 the fact that the mRNA abundance of genes expressed by a specific cell type will correlate
64 with the number of those cells in a given sample. Between similar samples in a given dataset
65 there are always subtle differences in their cellular composition due to innate variation (e.g.
66 normal variation between individuals, disease severity or subtype etc.), as well as
67 inconsistencies in sampling. Genes expressed by a particular cell type may therefore be
68 identified based on their distinct co-expression profile without the need to physically isolate
69 the cells. We have used this approach to identify robust immune cell type-specific gene
70 expression signatures, known collectively as *ImSig*, derived from and validated on multiple
71 independent datasets to ensure their wide applicability. We have benchmarked *ImSig* against
72 other methods and shown it to out-perform them. We also provide an easy to use algorithm to
73 identify the presence of different immune cell populations in any transcriptomics dataset.

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76 **Materials and Methods**

77 *Selection of datasets*

78 The primary datasets used for deriving *ImSig* were identified from the Gene Expression
79 Omnibus (National Centre for Biotechnology Information) or ArrayExpress (European
80 Bioinformatics Institute) databases. Datasets from isolated immune cells were identified, and
81 restricted to only those based on the Affymetrix Human Genome U133 Plus 2.0 Array with
82 availability of raw data (.CEL) files. These included: B cells (germinal centre B cells, naïve B
83 cells, memory B cells, IgM+IgD+CD27+ B cells, class switched B cells, IgM+IgD-CD27+ B
84 cells); plasma B cells; monocytes; T cells (central memory T cells, effector memory T cells,
85 naïve T cells, gamma-delta T cells, CD4- T cells, CD4+ T cells, CD8- T cells, CD8+ T cells);
86 macrophages (resting and activated), neutrophils, NK cells and platelets (see Table S5 for
87 details).

88 A second group of datasets were identified for the purpose of refining and validating the final
89 *ImSig* gene lists. They consisted of blood and tissue datasets, derived from a broad spectrum
90 of diseases and were restricted to data generated on the Affymetrix U133 Plus 2.0 Array with
91 available raw data (see Table S6 for a list of these data).

92 *Processing of microarray datasets*

93 Quality control (QC) of data from each dataset was performed using the ArrayQualityMetrics
94 package in Bioconductor and scored on the basis of six quality metrics (31). Any array failing
95 more than one metric was removed. Following QC, signal intensity were summarised and
96 normalised using robust multi-array average (RMA) in R using the ‘oligo package’ (32).

97 Data from isolated immune cell populations were merged and normalised as described above.
98 In order to check that samples clustered according to cell type specific rather than study or

99 any other factor, the RMA normalised data was loaded into the network analysis tool Miru
100 (Kajeka Ltd., Edinburgh, UK). Miru calculates a matrix of pairwise Pearson correlation
101 coefficients (r) expression values between every pair of genes/samples in a dataset. Graph
102 layout in-tool is performed using a modified Fast Multipole Multilevel Method (FMMM)
103 (33) and the resulting network is rendered in a 3-D environment. Networks are composed of
104 nodes (representing transcripts/samples) connected by weighted edges (representing
105 correlation values). After loading the immune cell data a sample similarity network was then
106 plotted at a correlation threshold of $r > 0.83$. All sample outliers i.e. samples that did not
107 group with other samples of the sample type, were removed. The remaining 329 samples
108 clustered based on cell type rather than study (Figure S4). For blood and tissue datasets, the
109 data was collapsed to one probe-set per gene by choosing the probe-set with the highest
110 variance across samples.

111 *Refinement of signatures (Cluster model algorithm)*

112 The quality-controlled datasets were loaded into the network analysis tool Miru. Within the
113 tool, a correlation network was generated and clustered using the MCL algorithm (inflation
114 value: 2.2). A proportion of the genes in each MCL cluster were replaced with random genes
115 in increments of 2% from 0-100% of total genes. The percentage of genes from the original
116 MCL cluster in this modified cluster was defined as $\text{Percent}_{\text{similar}}$ (percentage of genes with
117 high similarity). The similarity of each gene to other members of the cluster or annotation is
118 defined by the median value of its Pearson correlation coefficients to every other member
119 within a cluster or annotation, and the median of this value from all genes within a cluster or
120 annotation is referred to as $\text{Pearson}_{\text{group}}$. The decrease in $\text{Pearson}_{\text{group}}$ with increasing
121 replacements of MCL cluster by random genes was modelled as a sigmoid function of
122 $\text{Percent}_{\text{similar}}$ using nonlinear least squares in R.

123 In the situation where the groups of genes were of the same signature (different cell type
124 signatures derived from both blood and tissue) instead of modified MCL clusters, the
125 $\text{Percent}_{\text{similar}}$ is unknown whilst $\text{Pearson}_{\text{group}}$ can be calculated. Therefore, inverse estimates of
126 $\text{Percent}_{\text{similar}}$ using $\text{Pearson}_{\text{group}}$ were made using the R package “investr”. The upper and
127 lower threshold of $\text{Pearson}_{\text{group}}$, beyond which investr function cannot estimate the
128 $\text{Percent}_{\text{similar}}$, were noted and used as cut off for determining if genes will be discarded from
129 the refined signature.

130 In the second stage of the filtering process, signatures with a $\text{Pearson}_{\text{group}}$ 1) higher than upper
131 threshold were left unchanged; 2) between upper and lower thresholds were reduced in size,
132 using the model above to determine the number of genes to discard; 3) less than the lower
133 threshold were considered to be absent from the dataset. This method of filtering would allow
134 greater stability cross datasets, whilst retaining more flexibility with a more comprehensive
135 list of genes with informative signature. We used the cluster model algorithm on eight blood
136 and eight tissue datasets to refine the *ImSig* signature lists (Figure 2A)

137 *Derivation of ImSig_{blood}*

138 The most differentially expressed genes (DEGs) for each isolated immune cell type was
139 determined by calculating the average fold change for a particular cell type relative to the
140 rest. The top 100 DEGs for each cell type were refined across eight blood datasets (Table S6)
141 using the cluster model algorithm. The resultant sets of genes derived from each dataset were
142 then compared, and the most overlapping set of genes were defined as the blood signature set,
143 *ImSig_{blood}*.

144 *Derivation of ImSig_{tissue}*

145 The same approach as of $ImSig_{\text{blood}}$ was not successful in defining a tissue specific $ImSig$,
146 since the top 100 DEGs for each cell type were poorly co-expressed in complex tissue
147 datasets. This is likely due to the fact that these 100 DEGs were derived from isolated cells,
148 some of which were cultured *in vitro*, where their phenotype more closely resembled that
149 their counterparts in blood. We therefore decided that the best approach was to use a
150 correlation based approach. The expression data of isolated immune cells was loaded into the
151 network analysis tool Miru. A large and highly structured network graph was constructed
152 using a correlation threshold of $r > 0.8$. The network was then clustered into groups of genes
153 sharing similar profiles using the Markov Clustering (MCL) algorithm with an MCL inflation
154 value set to 2.2 (34). These clusters were then extensively explored to find genes that were
155 distinctively expressed in only one cell type in contrast to the rest. These genes were then
156 explored in the context of four tissue datasets as a class set and network graphs constructed
157 and clustered as described earlier. For each dataset, clusters identified as being specific
158 (based on the added class set) to a particular cell type were isolated. The resultant set of genes
159 were compared to each other and the most common set of genes were refined in another 8
160 tissue datasets (Table S6) using the cluster model algorithm to define the $ImSig_{\text{tissue}}$.

161 *Derivation of pathway signatures*

162 Whilst analysing the clusters and refining them to be cell type-specific, we also identified a
163 number of other clusters that were consistently co-expressed across different datasets. With
164 the help of GO Annotation and known marker genes, we were also able to define these
165 clusters as cell cycle-associated, interferon stimulated and protein translational activity. These
166 clusters were further refined in blood and tissue datasets as describe above using the cluster
167 model algorithm.

168 *Validation of $ImSig$ in mixed cell population datasets*

169 *ImSig* was validated using additional independent datasets, including two blood (heart attack
170 blood samples: GSE48060 and type I diabetes mellitus blood samples: GSE55098), two
171 tissue (breast tumour tissue samples: GSE58812 and primary CNS tumour tissue samples)
172 and an infection dataset (*Chlamydia trachomatis* infection tissue sample: GSE20436). All
173 datasets were pre-processed as described above. A number of transcriptomic profiles derived
174 from RNA-seq technology were also analysed by *ImSig* to ensure its wide applicability and
175 lack of platform dependency, in particular RNA-seq data were downloaded from TCGA
176 database.

177 *ImSig* cluster scoring algorithm

178 In order to facilitate the use of *ImSig* a scoring system was devised that supports the
179 identification of any given signature without the need to perform network analysis. For any
180 given transcriptomic dataset, the calculation of the *ImSig* scores is a two-step process where
181 an initial score is first computed based on the following formula:

$$\begin{aligned} \text{Initial score}^{(n)} &= \frac{\text{Median correlation}}{\text{Standard deviation}} * \frac{\text{Observed number of nodes (Genes)}^{(n)}}{\text{Maximum possible nodes (Genes)}^{(n)}} \\ & * \frac{\text{Observed number of edges}^{(n)}}{\text{Maximum possible edges}^{(n)}} \end{aligned}$$

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183 Where r is the correlation cut-off and i is the cell type/pathway signature.

184 Median correlation is calculated by computing the correlation values across samples for all
185 possible pairs of genes within any given signature and then taking the median value. The
186 standard deviation is calculated by computing the mean expression value of all genes within a
187 signature and then calculating its standard deviation across samples. The maximum possible
188 edges is calculated with $[n*(n-1)]/2$, where n is the number of genes in any given signature.
189 The maximum possible nodes is the number of genes defining a particular *ImSig* signature.

190 The initial score is computed for all eight cell type clusters (B cells, T cells, monocytes,
191 macrophages, NK cells, neutrophils, plasma cells, platelets) and three pathway clusters (cell
192 division, protein translational and interferon response) using a range of Pearson correlation
193 coefficient thresholds, from 0.50 to 0.99 at 0.01 intervals. The resulting matrix contains 50
194 scores for each of the signature. At this point we set an ‘initial score threshold’ of 20 and 10
195 for microarray and RNA-seq datasets, respectively (these were determined empirically,
196 Figure 2B&C). Any value below this threshold is not regarded to be a genuine cluster due to
197 a poor correlation between genes within the signature at the set r -value. We recommend these
198 thresholds as they are based on observations from numerous datasets. Following this the final
199 *ImSig* score is calculated for each cluster using the following formula.

$$200 \quad \textit{ImSig score}^t = 1 - \left[\frac{\textit{number of times (initial score}^t) < 20}{50} \right]$$

201 All data should be in log scale for calculating *ImSig* score. An R script is available for
202 running *ImSig* scoring algorithm. The script can be downloaded here:
203 www.github.com/systems-immunology-roslin-institute/ImSig. The final score (*ImSig* score)
204 is a value between 0 and 1. After extensive evaluation, any value above 0.3 is regarded as
205 evidence that the cell type/pathway signature is present in the dataset.

206 *Comparison with CIBERSORT:*

207 A blood and a tissue dataset were used for this purpose where there was some prior
208 knowledge about the cell populations present and their relative abundance in sample sub-
209 groups.

210 A blood dataset (SLE patients: GSE49454) was downloaded from GEO. The authors of this
211 study had provided the cell counts along with the transcriptomics data in this file. Initially,
212 the patients were ordered based on the cell count for each of the different cell types

213 independently (B cells, T cells, NK cells and neutrophils). They were then equally divided
214 into three groups and the top and bottom groups were used for analysis. Two-tailed unequal
215 variance T-test showed a significant alteration in cell counts between these two group of
216 patients for all four cell types ($p < 0.05$). Using CIBERSORT and *ImSig* the relative proportion
217 of immune cells were then computed. For CIBERSORT the data was loaded into
218 (<https://cibersort.stanford.edu/>) as per the authors instructions and the computed relative
219 proportions were downloaded. The relative proportions of immune subtypes were all summed
220 to make up the parent cell type (T cells, B cells, neutrophils, NK cells). Then, each cell type
221 was normalised independently to be represented as a fraction of 1 across samples (i.e., the
222 sum of normalised cell proportion for any cell type is equal to 1). Similarly, for *ImSig* the
223 relative abundance of immune cells were calculated by averaging the expression of signature
224 genes for each sample and then normalised to represent them as a fraction of 1. Two-tailed
225 unequal variance T-test was then used to test for significant change in cell proportions
226 between the two groups of patients in all four cell types.

227 Similarly, a tissue dataset (trachoma: GSE20436) was downloaded. The patients were divided
228 into three groups as per the level of infectivity according to its authors (controls, symptom
229 +ve/*C. trachomatis* -ve patients, and symptom +ve/*C. trachomatis* +ve patients). As
230 described earlier, the relative proportion of immune cells (T cells, B cells, neutrophils,
231 monocytes, macrophages, NK cells and plasma cells) were computed and normalised using
232 CIBERSORT and *ImSig*. This was followed by a one-way analysis of variance (ANOVA) to
233 test for significant changes in cell numbers between the three groups of patients.

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236 **Results**

237 *Blood and tissue immune signatures ($ImSig_{\text{blood/tissue}}$)*

238 *ImSig* was derived as described in the experimental procedures, and as shown in (Figure 1).

239 Briefly, an initial meta-analysis was carried out on 330 samples of isolated human immune

240 cell populations and the top 100 differentially expressed genes were determined for each

241 immune cell type. Using a network-based approach to identify sets of robustly co-expressed

242 (correlated) genes in a variety of blood datasets, the lists were further refined (Figure 2A).

243 The resulting cell-specific marker gene lists were collectively named *ImSig*_{blood}. However, the

244 limitations of this approach become evident from network analysis of clinical tissue

245 transcriptomic datasets, where the cell-based marker genes showed independent expression.

246 To overcome this issue we identified the most conserved cell type-specific groups of genes

247 based on their co-expression across four tissue datasets, and further refined them by

248 examining a eight other tissue datasets (Figure 2A). This resulted in our *ImSig*_{tissue} gene

249 signatures. *ImSig*_{blood} contains 491 marker genes and *ImSig*_{tissue} contains 569 marker genes for

250 B cells, monocytes, macrophages (tissue only), neutrophils, NK cells, T cells, plasma cells,

251 platelets (blood only), cell cycle, protein translation and interferon signalling. For a full list of

252 the genes comprising these signatures and numbers for each cell type or pathway see Table

253 S1. GO term analysis confirmed that the cell marker lists for both *ImSig* signatures were

254 highly enriched in genes related to immune function (Table S2, S3). The overlap between

255 blood and tissue signature varied depending on cell type/pathway (Figure S3).

256 *Genes that make up the signatures*

257 Table S1 highlights the sets of genes that distinguish *ImSig*_{blood} and *ImSig*_{tissue}. The process

258 signatures; cell cycle, interferon response and protein synthesis (translational activity) are

259 relatively robust in both blood and tissue. The T cell clusters in both cases are anchored and

260 validated by the subunits of CD3, but otherwise, there is very little overlap. The implication
261 is that the T cells that enter tissues in a pathological situation are radically different in their
262 gene expression profiles from the bulk of naïve T cells in peripheral blood. Note that there is
263 no evidence of a cluster of genes associated with specific T cell polarisation states. The key
264 transcription factors *FOXP3* (Treg), *RORC* (Th17) and *GATA3* (Th2) do not form part of
265 clusters, since they are expressed by other cell types. However T-BET (*TBX21*), considered
266 to be a Th1 specific transcription factor is in the NK cell cluster a cell type in which it is also
267 strongly expressed. The NK cell cluster also shows considerable divergence between blood
268 and tissue, in particular the NK cell receptor family being much more robustly co-expressed
269 in tissue RNA. In blood, many of these receptors are also detectable in gamma-delta T cells
270 (14). The various myeloid clusters are rather more difficult to be associated with specific cell
271 types. The macrophage cluster, specific to the tissue data set, contains the *CSF1R*, which is
272 known to be macrophage-specific and essential for differentiation and survival (15), and also
273 contains many of the genes that are up-regulated in monocyte-derived macrophages derived
274 by cultivation in *CSF1* (16). An unexpected member of this cluster is *CD4*. In blood, *CD4* is
275 expressed at similar levels in *CD4+* T cells and monocytes, and so does not form part of a T
276 cell cluster. In tissue, *CD4* is highly-expressed by macrophages, and correlates more highly
277 with their presence than with the presence of T cells. The clusters annotated provisionally as
278 monocyte and neutrophil have very little overlap between the blood and tissue profiles.
279 Archetypal markers, *CD14* for monocytes and the G-CSF receptor and chemokine receptor
280 *CXCR2* (the receptor for *IL8*) are co-expressed with very different gene sets in blood and
281 tissues. Hence, it may be more appropriate to consider distinct separate myelomonocytic
282 regulons, reflecting the rapid differentiation of these cells following extravasation. For
283 example, *S100A8/A9*, which encode the most abundant neutrophil proteins (17), are also
284 expressed by monocytes, but rapidly down-regulated as they differentiate to macrophages.

285 The mRNAs encoding many neutrophil-specific granule proteins (MPO, lactoferrin etc) are
286 expressed most highly in progenitor cells (16), and do not contribute to a signature in either
287 blood or tissue.

288 *ImSig scoring algorithm*

289 The *ImSig* scoring algorithm was developed to reflect the correlation and expression level of
290 the marker genes in any given dataset. The algorithm generates a numerical likelihood score
291 that a given signature is present in a dataset. Based upon empirical evaluation of a wide range
292 of data, an *ImSig* score >0.3 indicates positive identification of the signature in a given
293 dataset (Figure 2B&C). *ImSig* scores for all the validation datasets along with six other RNA-
294 seq datasets are provided in Table S4. Consistent with its derivation, the *ImSig* macrophage
295 signature is absent from any blood datasets, irrespective of platform. Conversely, the platelet
296 signature was not scored positive in any tissue dataset examined. As with other deconvolution
297 methods, *ImSig* works best when the majority of signature genes are present in the dataset to
298 be analysed. Based upon a permutation analysis of the effect of random removal of genes on
299 the *ImSig* score (Figure 2D) a minimum of 75% of the genes from each individual signatures
300 is required for an accurate representation analysis. Being correlation-based, a dataset
301 generally needs to comprise of at least 20 distinct samples is needed to provide sufficient
302 diversity before the *ImSig* algorithm can be applied.

303 *Validation of blood and tissue marker genes*

304 To test its universality, we applied *ImSig*_{blood} to deconvolution of a range transcriptomics data
305 derived from whole blood or peripheral blood mononuclear cells (PBMC). Examples of these
306 analyses are given here. Data from the blood of 21 control and 31 heart attack patients
307 (GSE48060) identified the presence of B cells, T cells, NK cells, plasma cells, platelets,
308 monocytes and neutrophils (Figure S1A). In terms of the average expression of marker genes,

309 no consistent difference was observed between the control and heart attack samples
310 suggesting that relative blood cell numbers were not altered. The macrophage and cell cycle
311 signatures were not detected. In contrast, *ImSig* analysis of PBMC's from control and patients
312 with type 1 diabetes mellitus (GSE55098) identified increased proliferation in a number of
313 samples (Figure 3A) and the analysis also clearly identified the presence of T cells, B cells,
314 along with plasma cells, monocytes, neutrophils, NK cells and platelets (Table S4). Notably
315 there was also significantly lower expression ($p=1E-10$) of the NK cells markers genes in
316 samples derived type 1 diabetes (Figure 3A) where these cells are known to be dysregulated
317 (18, 19).

318 To validate *ImSig*_{tissue}, we first examined a dataset of triple-negative breast cancers derived
319 from 107 patients (GSE58812). As expected, and in keeping with our previous network
320 analysis of multiple tumour datasets (20), the cell cycle cluster was readily detected,
321 reflecting the heterogeneity in proliferative index between tumours. The analysis revealed
322 macrophages, T cells, B cells, plasma cells, interferon but there was no evidence of platelets,
323 neutrophils and NK cells present in these samples (Figure 3B). The levels of all immune cells
324 (as judged by the average expression of the marker genes) varied greatly between samples.
325 By contrast, a relatively small brain tumour dataset comprising 23 samples of primitive
326 neuroectodermal tumors and medulloblastomas lacked evidence of immune cell infiltration,
327 other than an NK signature (Figure S1B). Being behind the blood-brain barrier, lymphocyte
328 populations in these tumours are likely absent or at very low levels (21) but infiltration of T
329 cells was evident in other brain tumour datasets that we have analysed (Table S4). Neutrophil
330 signatures were absent from tumour datasets. However, as expected, a dataset of eye swabs
331 taken from eyes of controls or children with the symptoms of trachoma (GSE20436) (22) was
332 positive for all signatures of immune cells (Figure S2). Previous studies have shown that in
333 certain chlamydial infections, neutrophils recruit T cells to the site of infection (23), other

334 studies report the involvement of NK cells, monocytes and macrophages (24-26). Finally, we
335 demonstrate the explorative power of *ImSig* when coupled with network analysis. The genes
336 comprising the signatures were selected as being core ‘invariant’ markers of a particular cell
337 type. When used in the context of a correlation analysis of a complete dataset, if the relevant
338 cells are present within the samples, surrounding the signature genes will be other genes
339 expressed in these populations. In this manner one can better evaluate the activation state of
340 immune cells *in situ*. Using the trachoma dataset as an example we highlight known immune
341 related genes that were co-expressed with *ImSig* core signature genes (Figure 4). The
342 associated *ImSig* scores for all the validation datasets can be found in Table S4.

343 *Comparison with CIBERSORT*

344 The ability of *ImSig* and CIBERSORT to identify changes in relative proportions of cells
345 between sample groups was compared using a blood (GSE49454: Systemic lupus
346 erythematosus patients) and a tissue dataset (GSE20436: trachoma). For the blood dataset,
347 cell counts were available for B cells, neutrophils, T cells and NK cells. Both methods
348 generally performed well, *ImSig*_{blood} demonstrated a significant difference ($p < 0.05$) in all four
349 cell types, although CIBERSORT failed to show a significant difference in B cells ($p = 0.389$)
350 (Figure 5A, Table S7). Samples from the trachoma dataset were divided into three groups of
351 20, based on the level of infection as originally described (for more detail see Methods).
352 Although actual cell counts are not available for these data, it is known that the immune
353 infiltrate increases with the level of infection (27). *ImSig*_{tissue} showed there to be a significant
354 increase ($p < 0.05$) in all seven cell immune types (B cells, neutrophils, T cells, NK cells,
355 plasma cells, monocytes and macrophages) during an active infection, while significant
356 differences were only reported for T cells and macrophages using CIBERSORT (Figure 5B,
357 Table S7). Moreover, the pattern observed using CIBERSORT did not seem to correlate with

358 the infection status of *C. trachomatis* (Figure 5B). CIBERSORT was also used in its native
359 form, i.e. the subtypes were not summed to represent the parent population. A significant
360 change in cell number was observed only for M2 macrophages ($p=0.001$), activated mast
361 cells ($p=0.022$) and resting dendritic cells ($p=0.0007$). The 19 other immune cell groups
362 defined by CIBERSORT showed no significant difference in cell proportion across patient
363 groups (Table S8).

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376 **Discussion**

377 In the last few years a number of immune marker gene signatures have been proposed (6-12).
378 The current work is based on the observation that when correlation (co-expression) network
379 analysis is employed to explore large transcriptomics datasets derived from normal or
380 diseased tissues, clusters of genes associated with specific immune cell populations, or
381 specific transcriptional regulons such as protein synthesis, interferon response or cell cycle,
382 are frequently observed clustered together (20, 22, 28, 29). This is because the abundance of
383 mRNAs derived from cell-specific, or process-specific genes is correlated with relative
384 number of those cells expressing those genes within a sample, resulting in their observed co-
385 expression across a sample set. The most important conclusion from our analysis is that
386 signatures based upon cells isolated from blood cannot be applied with any confidence to
387 tissue data.

388 The utility of the blood and tissue *ImSig* gene lists has been demonstrated through
389 applications to a number of datasets. Other approaches to deconvolution include LLSR (7),
390 qprog (8), DSA (9), PERT (10), MMAD (11) and CIBERSORT (12). Each is based on a
391 signature derived by a different data mining approach ranging from simple matrix
392 decomposition to complex iterative procedure. Of these methods CIBERSORT was shown to
393 out-perform others (12) in terms of analysis of tissue data with noise or unknown content and
394 was reported to be able to differentiate closely related cell types. CIBERSORT includes
395 profiles for 22 distinct cell types, including various states of T cell activation and macrophage
396 differentiation. The network analysis of disease datasets herein does not support robust
397 clusters that distinguish macrophage activation states, in keeping with previous analysis (20).
398 In essence, the best one can do is define three myeloid states (neutrophil, monocyte,
399 macrophage), and the inducible genes are disease/lesion specific. Expression QTL analysis of

400 inducible gene expression in monocytes suggests that inducible gene expression profiles may
401 also be individual-specific (30).

402 An ideal workflow for employing *ImSig* would involve running the *ImSig* algorithm to
403 identify the different immune cell populations in a dataset and then using the average
404 expression of signature genes to understand the relative proportion of cells between samples
405 and clinical subsets. This can be followed by network analysis which can be used to better
406 understand the wider context of the immune environment. Through observing the genes that
407 closely correlate with the core signature genes, one can better understand the type of activation or
408 indeed the level of involvement which these cells play in a given microenvironment of a
409 disease state. As an example we have highlighted a few immune related genes that are co-
410 expressed with our core signature genes in the trachoma dataset (Figure 4). The expression
411 profiles of known immune modulatory genes such as *IFNG*, *LAG3*, *CD44*, *FOXO3*, *FOXP3*,
412 *CD80*, *IL20*, *STAT4*, *IL17A* etc are correlated with the core macrophage and T cell signature
413 genes, suggesting that the macrophages are undergoing classical activation, and the T cells
414 include Th17, TReg and Th1 states. Thus such explorative analysis can be employed using
415 *ImSig* to understand the differentiation state of immune cells between patient groups.

416 The *ImSig* algorithm has been tested on data derived microarray and RNA-seq platforms. We
417 have also tested its applicability across a wide range of datasets derived from blood, tissue,
418 sputum and faecal samples (data not shown). As long as immune cells are present, *ImSig*
419 efficiently identifies the cell types present. We therefore anticipate that *ImSig* and the
420 methodological approaches described here will prove valuable for studying immune cell
421 variation in human transcriptomics data derived from a wide variety of conditions clinical
422 samples.

423

424 **Author contributions**

425 A.J.N performed the majority of work described here. A.J.N, T.R, B.J.S, D.A.H, A.H.S and
426 T.C.F wrote and edited the manuscript. A.H.S and T.C.F supervised the project.

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572 **Table 1**

573 Summary of the most widely used immune signatures and deconvolution methods

Authors	Year	Signature derived from	Deconvolution method	No. of Cell types	Total no of markers	Cell types (unique genes)
Abbas et al.	2005	Isolated immune cells	No deconvolution algorithm	6	959 unique genes	B Cell (91), Dendritic Cell (70), Lymphoid (234), Monocyte (82), Myeloid (344), Neutrophil (45), NK Cell (17), T Cell (76)
Palmer et al.	2006	Isolated immune cells	No deconvolution algorithm	4	1146 unique genes	B cells (427), T cells (241), Granulocytes (411), Lymphocytes (67)
Abbas et al.	2009	Isolated immune cells	Linear least-squares fits	17	359 Affy u133a probes	Resting helper T cells, Activated helper T cells, Resting cytotoxic T cells, Activated cytotoxic T cells, Resting B cells, Activated B cells, BCR-ligated B cells, IgA/IgG memory B cells, IgM memory B cells, Plasma cells, Resting NK cells, Activated NK cells, Monocytes, Resting dendritic cells, Activated Monocytes, Activated dendritic cells, Neutrophils
Nicholas et al.	2009	Isolated immune cells	No deconvolution algorithm	8	1842 unique genes	T cells (48), Monocytes (186), B cells (218), NK cells (75), Granulocytes (757), Erythroblast (299), Megakaryocyte (262)

Gong et al.	2011	Isolated immune cells	Quadratic Programming	Uses signature from other studies		
Zhong et al.	2013	Isolated immune cells	Linear model & Quadratic Programming	Uses signature from other studies		
Newman et al.	2015	Isolated immune cells	Support vector machine	22	547 unique genes	B cells naïve, B cells memory, Plasma cells, T cells CD8, T cells CD4 naïve, T cells CD4 memory resting, T cells CD4 memory activated, T cells follicular helper, T cells regulatory (Tregs), T cells gamma delta, NK cells resting, NK cells activated, Monocytes, Macrophages M0, Macrophages M1, Macrophages M2, Dendritic cells resting, Dendritic cells activated, Mast cells resting, Mast cells activated, Neutrophils, Eosinophils

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580 **Figure Legends**

581 **Figure 1: Derivation and application of blood and tissue *ImSig*.** **A)** Flow chart depicts the
582 systematic derivation of *ImSig*. The transcriptome of isolated immune cells was subjected to
583 differential gene expression analysis or correlation analysis to derive a preliminary list. This
584 was further refined using the cluster model algorithm to define the blood and tissue-specific
585 immune signatures (*ImSig*). **B)** Application of signatures involves running *ImSig* scoring
586 algorithm on any transcriptomic data to identify the different immune cells present within the
587 samples followed by network analysis to study the genes that are correlated best with the core
588 signature genes.

589 **Figure 2: Cluster model algorithm refinement and *ImSig* algorithm.** **A)** The plots
590 represents the outcome of running the cluster model algorithm over a blood and a tissue
591 dataset. Each node represents a unique gene and plotted as a function of its median
592 correlation value within the signature. Blue colour represents the genes that were kept and red
593 represents the genes that were discarded after running the algorithm. The algorithm was
594 applied to eight blood and eight tissue datasets (only 2 shown above). All the blue nodes were
595 then pooled to identify the most commonly occurring genes across datasets, which then
596 formed the basis of defining *ImSig*. **B and C,** Line plots showing ‘initial score’ calculated for
597 every correlation cut-off between 0.50 and 0.99 while calculating the *ImSig* score. For **B)**
598 microarray dataset (heart attack, GSE48060), the threshold line is drawn at 20 and for **C)**
599 RNA-seq dataset (Brucellosis; E-GEOD-69597), the threshold line is drawn at 10. **D)** Plots
600 showing the effect of loss of signature genes on *ImSig* score. These were calculated by
601 performing a permutation analysis of removing signature genes randomly.

602 **Figure 3: Deconvolution of blood and tissue datasets.** **A)** Correlation network of gene
603 expression data from blood samples of patients with type I diabetes mellitus represented and

604 **B)** samples from breast cancer patients. Each cluster represents a unique cell type. Nodes
605 derived from other signatures which were included in the graph but did not cluster are
606 reduced in size. Histogram plots represent the average expression profile of the *ImSig*
607 signatures across samples.

608 **Figure 4: Network graph to highlight a few closely correlated immune related genes**
609 **with *ImSig*.** **A)** Correlation network of gene expression data from trachomatis infection
610 (GSE20436). The nodes represent unique genes and the *ImSig* genes are coloured to highlight
611 the immune cluster. **B)** A close up of the immune cluster. The *ImSig* related genes are
612 coloured to represent different immune cell types, while the remaining genes are reduced in
613 node size. We highlight a few well known immune modulatory genes with a greater node size
614 and marking their gene symbols alongside. **C)** Bar plots represents the average expression
615 intensity of individual genes across samples. The top panel (Green) plots represents a few
616 marker genes to understand macrophage biology and the bottom panel (dark grey) to
617 understand the T cell biology.

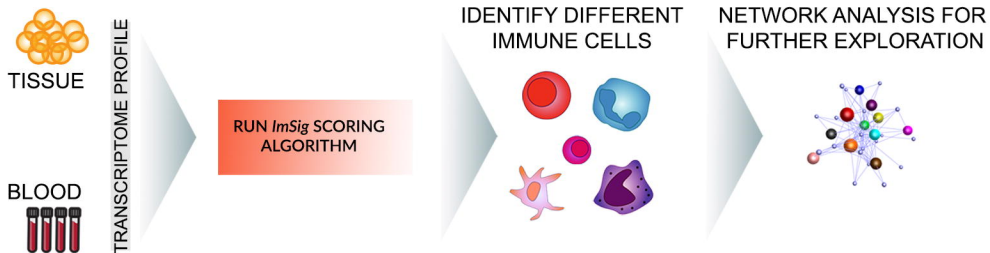
618 **Figure 5: Comparison of *ImSig* with CIBERSORT.** **A)** Comparison performed using a
619 blood dataset. The boxplots show the relative abundance of immune in cells in the two patient
620 groups computed by CIBERSORT and *ImSig*. The actual median cell count for the four
621 immune cell types were (high, low) Neutrophils (2655, 6160), T cells (617.5, 1988), B cells
622 (35, 293) & NK cells (22.5, 176.5). Significant difference was observed for T cells,
623 Neutrophils and NK cells using CIBERSORT while all differences seen in *ImSig* including B
624 cells are significant (P value <0.05). **B)** Comparison performed using a tissue dataset. The
625 boxplots show the relative abundance of immune in cells in the three different patient groups
626 computed by CIBERSORT and *ImSig*. Significant difference was observed only for
627 macrophages and T cells using CIBERSORT while all differences seen in *ImSig* are
628 significant (P value <0.05).

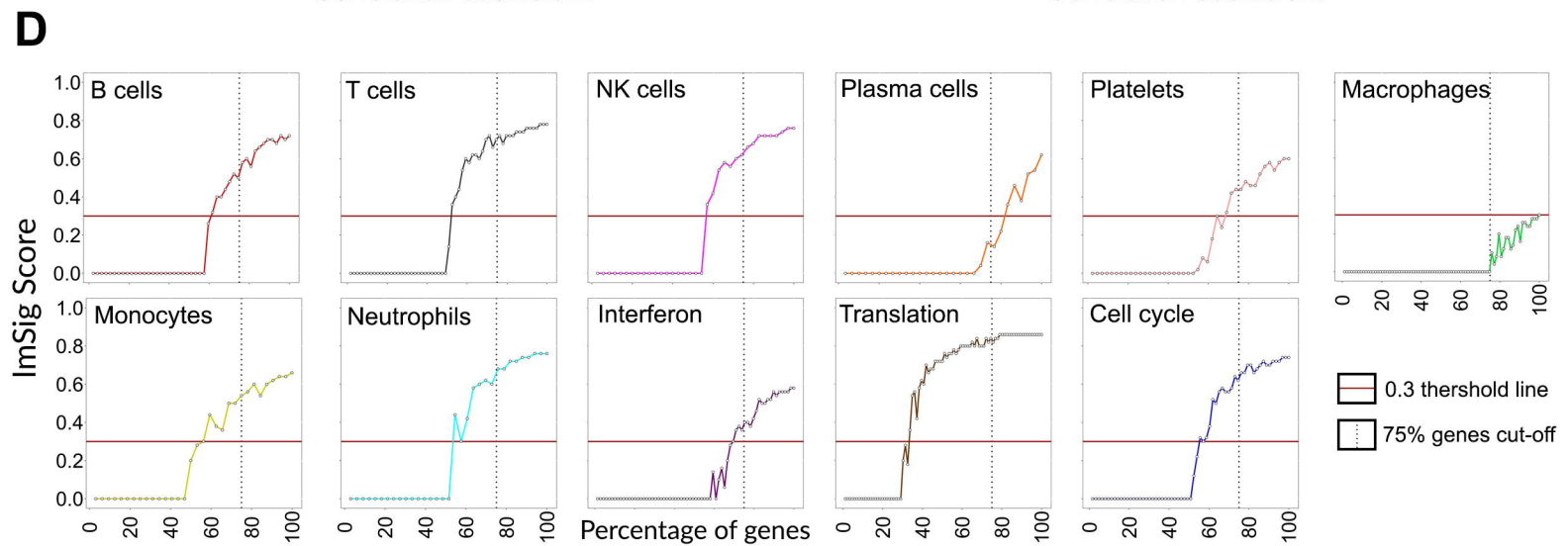
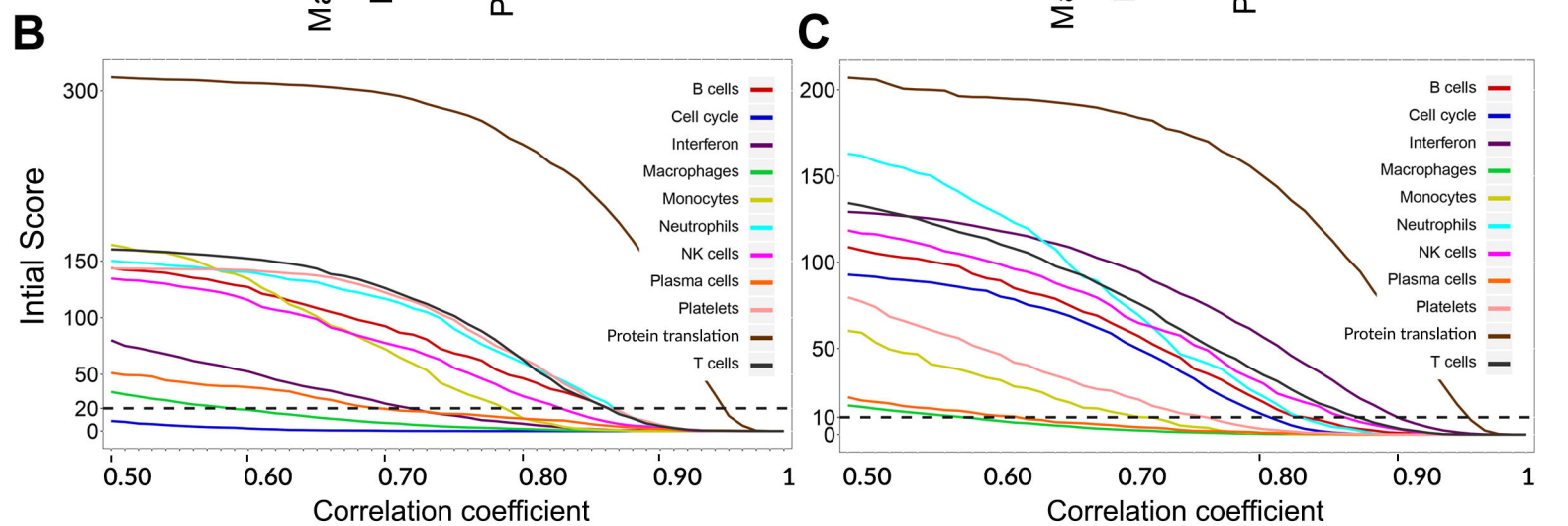
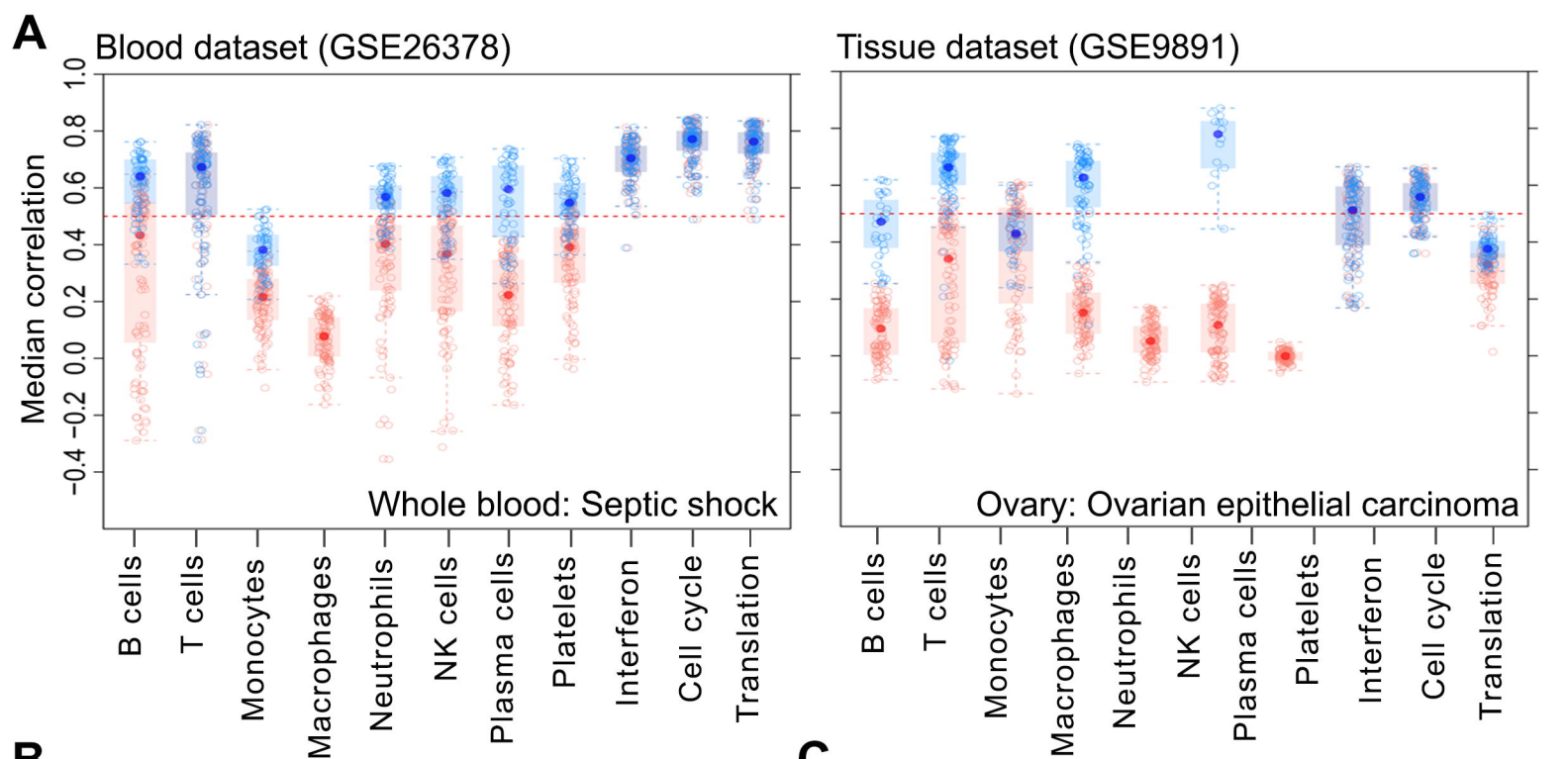
A

Derivation of *ImSig*

**B**

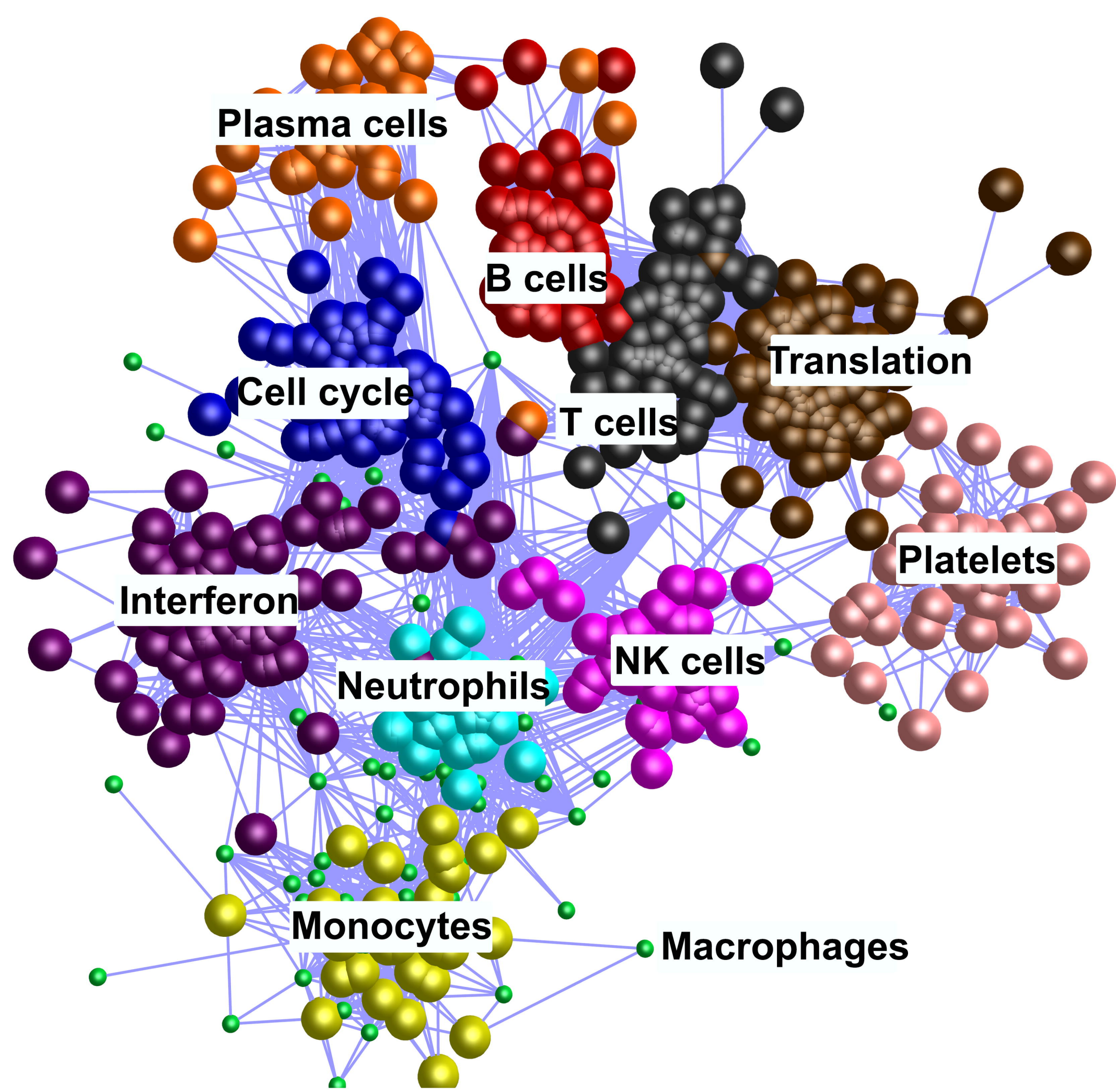
Application of *ImSig*



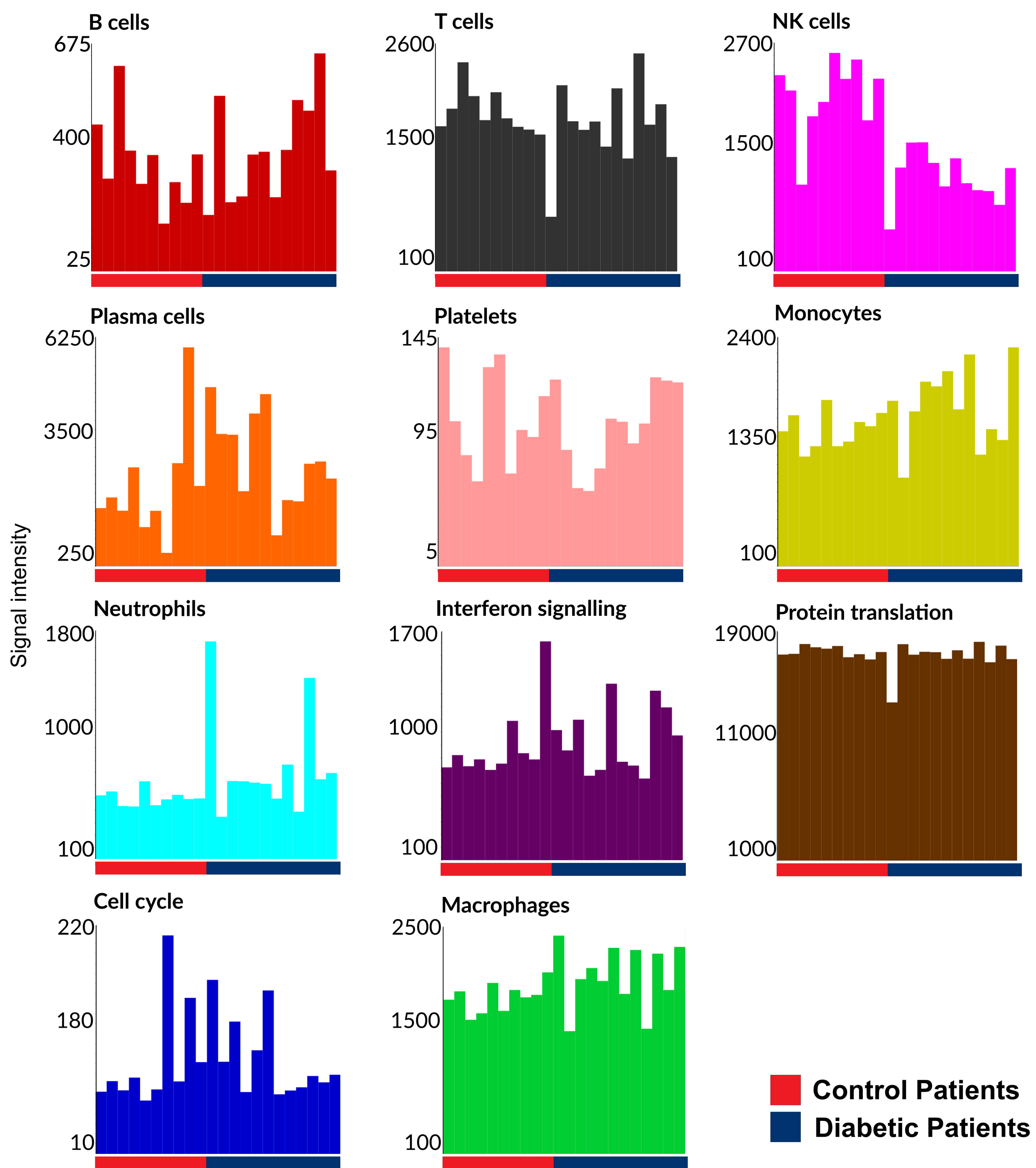


A

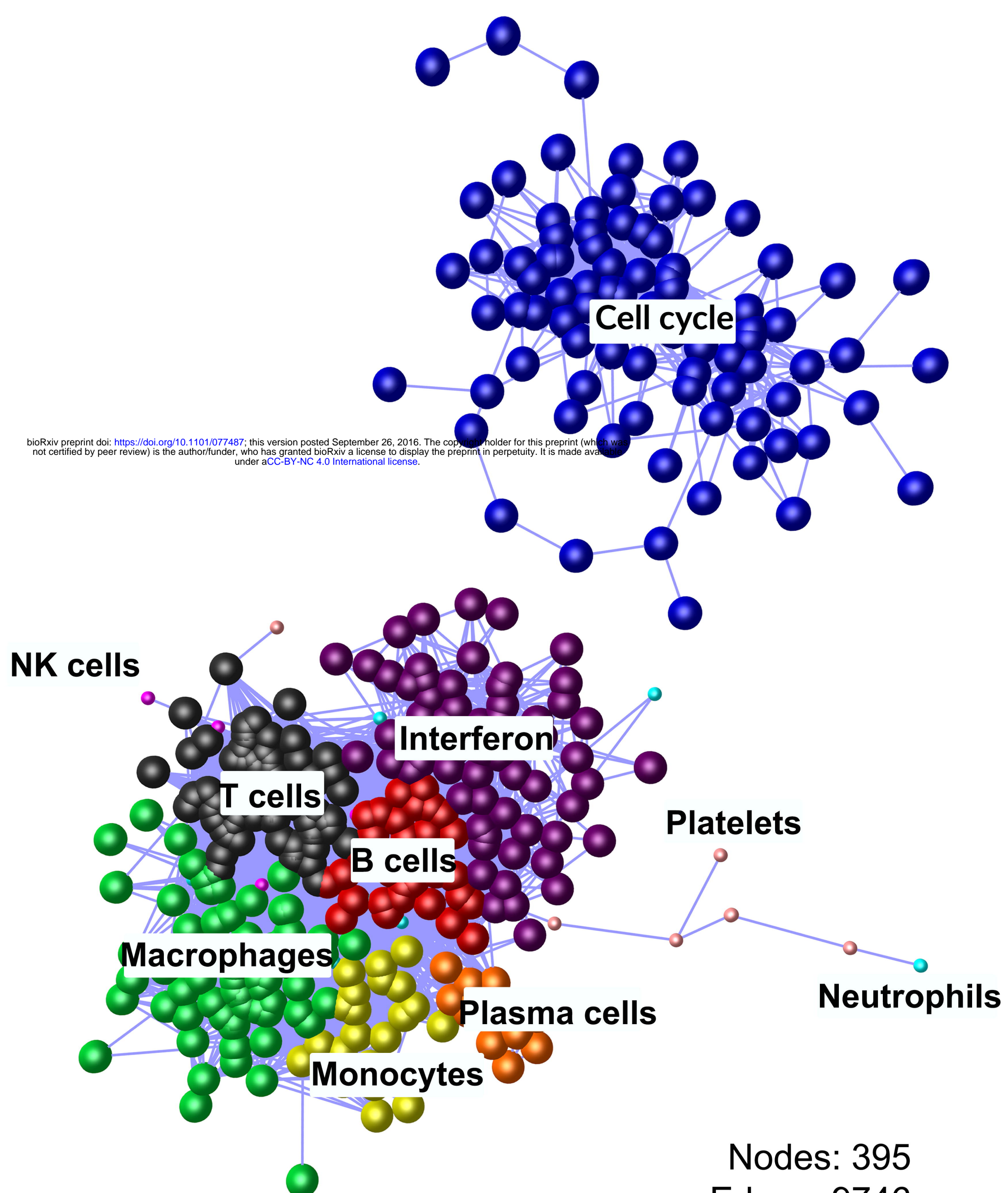
GSE55098: Type I Diabetes Mellitus Blood Samples



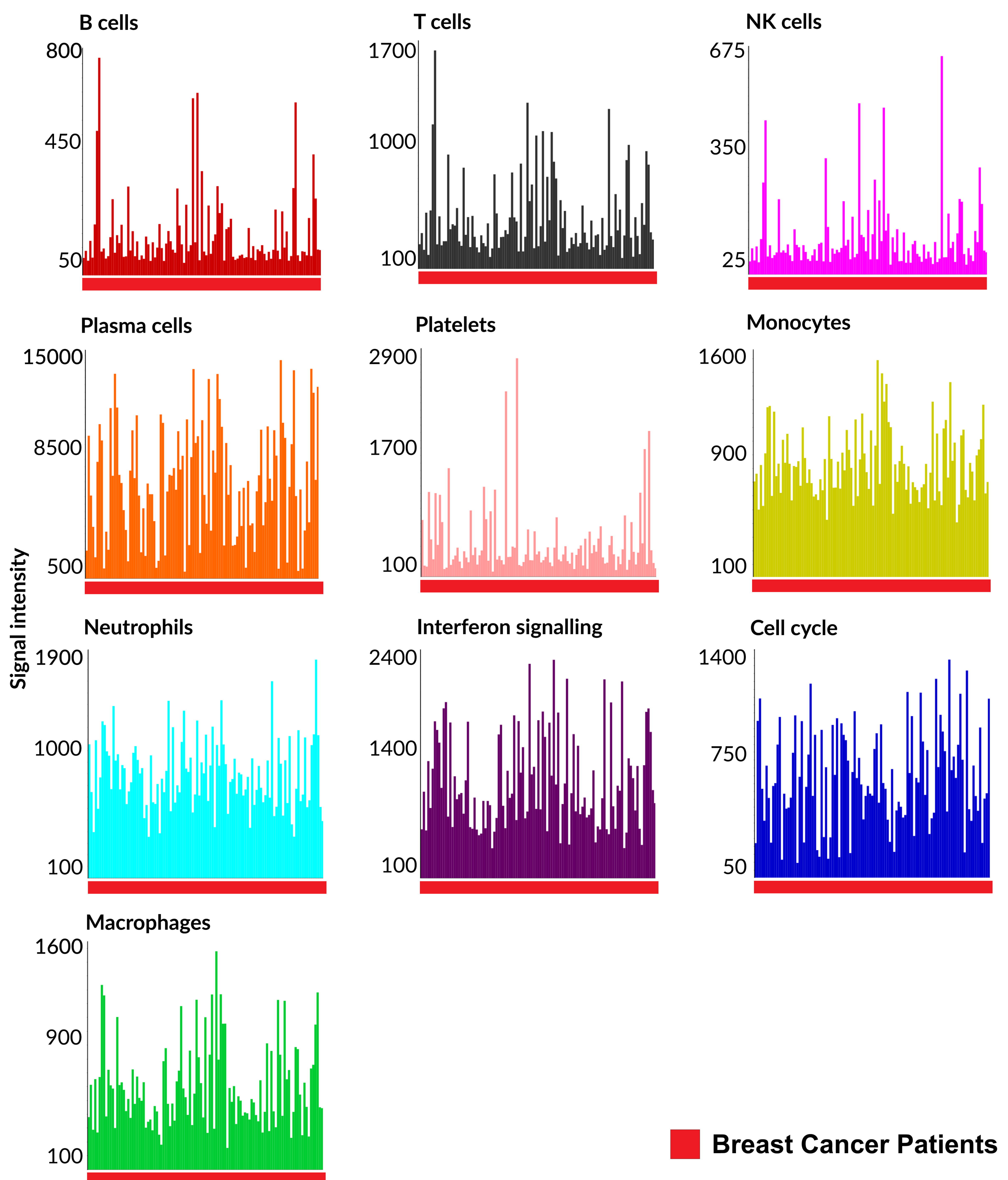
Nodes: 547
Edges: 10,866
 $r=0.7$

**B**

GSE58812: Breast Cancer Tissue Samples

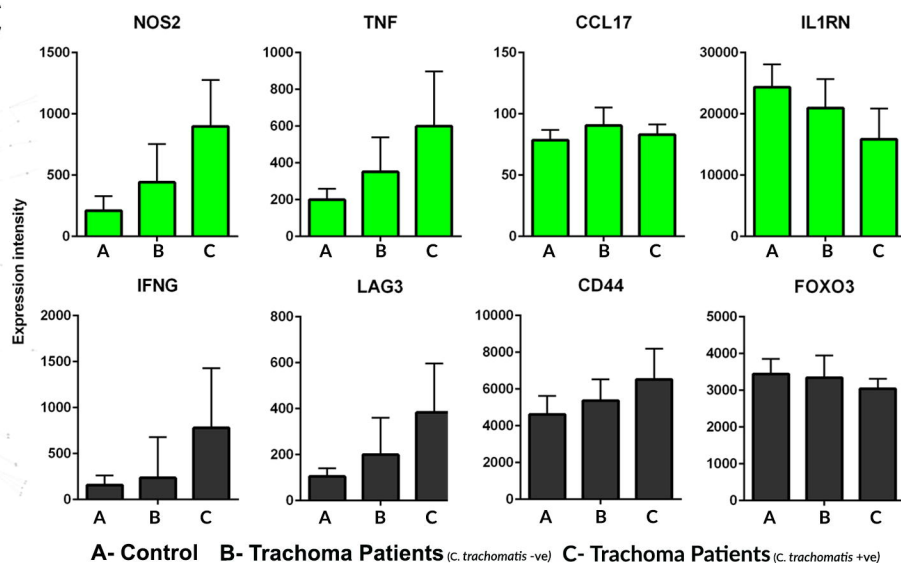
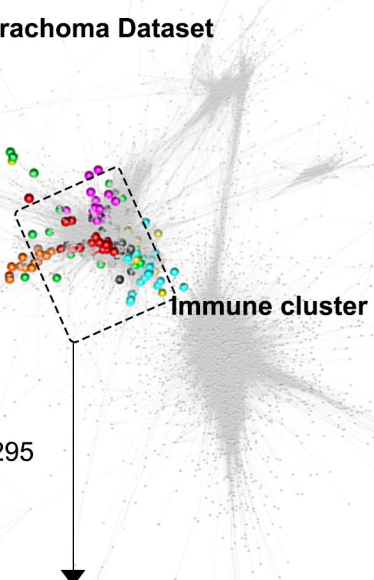
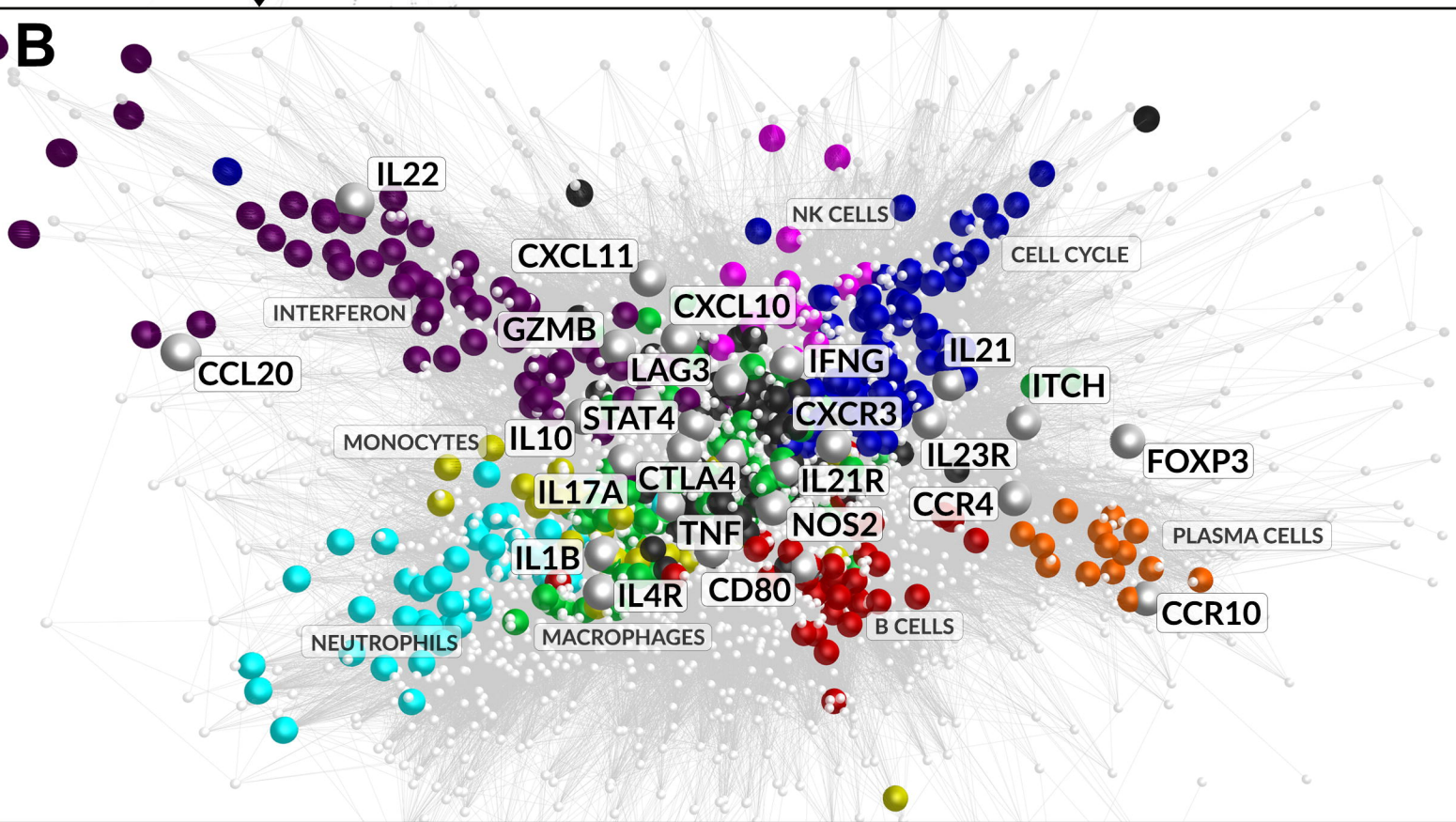


Nodes: 395
Edges: 9746
 $r=0.7$

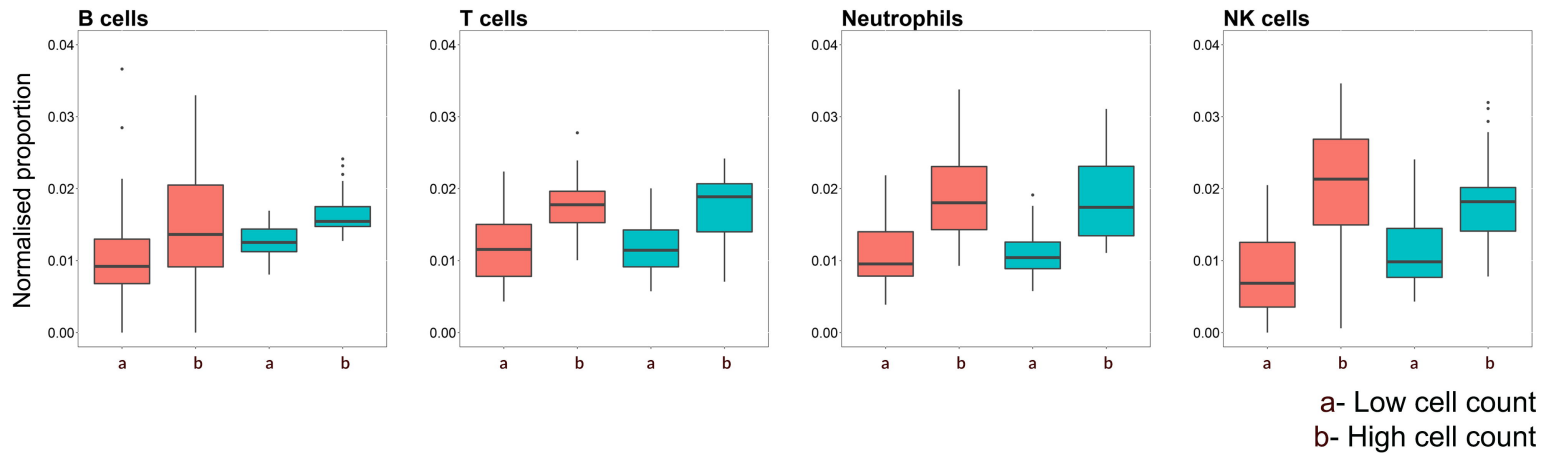


A**Trachoma Dataset****C**

$r = 0.8$
 Nodes: 7474
 Edges: 295,295

**B**

A. Blood dataset (GSE49454)



B. Tissue dataset (GSE20436)

