Modeling the consequences of regional heterogeneity in human papillomavirus (HPV) vaccination uptake on transmission in Switzerland

Maurane Riesen^{a,b,*}, Victor Garcia^a, Nicola Low^a, Christian L. Althaus^a

^aInstitute of Social and Preventive Medicine, University of Bern, Bern, Switzerland ^bGraduate School for Cellular and Biomedical Sciences, University of Bern, Bern, Switzerland

Abstract

Background: Completed human papillomavirus (HPV) vaccination by age 16 years among women in Switzerland ranges from 17 to 75% across 26 cantons. The consequences of regional heterogeneity in vaccination coverage on transmission and prevalence of HPV-16 are unclear.

Methods: We developed a deterministic, population-based model that describes HPV-16 transmission among young adults within and between the 26 cantons of Switzerland. We parameterized the model using sexual behavior data from Switzerland and data from the Swiss National Vaccination Coverage Survey. First, we investigated the general consequences of heterogeneity in vaccination uptake between two sub-populations. We then compared the predicted prevalence of HPV-16 after the introduction of heterogeneous HPV vaccination uptake in all of Switzerland with homogeneous vaccination at an uptake that is identical to the national average (52%).

Results: HPV-16 prevalence in women is 3.34% when vaccination is introduced and begins to diverge across cantons, ranging from 0.14 to 1.09% after 15 years of vaccination. After the same time period, overall prevalence of HPV-16 in Switzerland is only marginally higher (0.55 %) with heterogeneous vaccination uptake than with homogeneous uptake (0.49%). Assuming inter-cantonal sexual mixing, cantons with low vaccination uptake benefit from a reduction in prevalence at the expense of cantons with high vaccination uptake.

Conclusions: Regional variations in uptake diminish the overall effect of vaccination on HPV-16 prevalence in Switzerland, although the effect size is small. Cantonal efforts towards HPV-prevalence reduction by increasing vaccination uptake are impaired by cantons with low vaccination uptake. Harmonization of cantonal vaccination programs would reduce inter-cantonal differences in HPV-16 prevalence.

Keywords: human papillomavirus, vaccination, sexual behavior, mathematical model, Switzerland

1. Introduction

11

The first vaccine against human papillomavirus (HPV) was licensed in 2006 and is now widely used in many countries. At the population-level, HPV vaccination has led to a substantial reduction in the prevalence of the targeted HPV types (HPV-16/18/6/11 for the quadrivalent vaccine) as well as anogenital warts [1]. Most vaccination programs target girls or young women before they become sexually active. Regional differences in vaccination uptake have emerged in some countries after implementation of the vaccination programs [2, 3]. These differences are very pronounced in Switzerland where the proportion of 16 year old girls completing the three dose vaccination schedule ranges from 17 to 75% in 26 cantons (states) (Fig. 1) [4, 5]. The cantonal heterogeneity in vaccination uptake can be partly explained by differences in the way the vac-

cine is offered to girls and young women (e.g., school-based programs, general practitioners or gynecologist). Other factors, such as cultural differences between the cantons might play a role too. To date, the potential epidemiological consequences of regional variation in vaccination uptake on transmission and prevalence of HPV in Switzerland and other countries are not well understood.

Mathematical models have played an important role in estimating the expected impact of vaccination on the transmission of HPV [6–8] and other infections [9]. Investigating the consequences of spatial heterogeneity in vaccination uptake has received less attention, with some mentionable exceptions. Studies on measles vaccination [10, 11] and canine rabies [12] showed that spatial vaccination heterogeneity leads to less effective control of the targeted disease when compared with homogeneous vaccination. The debate about heterogeneity in HPV vaccination uptake has focused on sex-specific vaccination [13, 14]. Sex-specific vaccination is expected to be more beneficial than homogeneous (male/female) vaccination in a heterosexual population because both sexes are required for transmission. Therefore if only one sex is targeted by the

Email addresses: maurane.riesen@ispm.unibe.ch (Maurane Riesen), vic-garcia@gmx.net (Victor Garcia), nicola.low@ispm.unibe.ch (Nicola Low), christian.althaus@alumni.ethz.ch (Christian L. Althaus)

Preprint submitted to Elsevier May 12, 2017

^{*}Corresponding author.

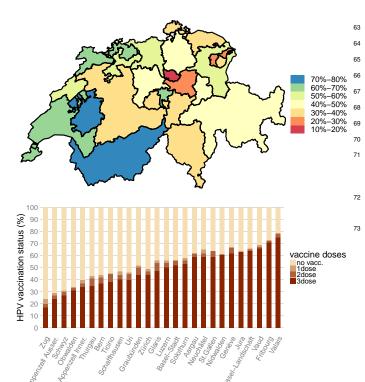


Fig. 1. HPV vaccination uptake in 16 year old girls in Switzerland. Data represent the last completed survey period (2011–2013) of the Swiss National Vaccination Coverage Survey (SNVCS). Data for Geneva and Appenzell Innerrhoden are from 2010 and 2014, respectively.

cantons, ranked by increasing uptake of HPV vaccination

37

38

44

45

51

52

53

55

vaccine, although around 50% of the total population would be vaccinated, the transmission would be blocked as the vaccinetargeted sex would act as a dead-end host. Spatial variation in HPV vaccination uptake between states in the United States of America (USA) has been taken into account in a modeling study that quantified the epidemiological impact and costeffectiveness of adopting a new, nonavalent HPV vaccine [15]. This study illustrated that expanding vaccination coverage in states with low coverage would result in the greatest health impact because of the decreasing marginal returns of herd immunity. This finding is supported by another modeling study from 74 Canada showing that the effect of unequal vaccination uptake 75 among school girls by ethnicity on cervical cancer incidence 76 may be lower than with equal vaccination [16]. The effects of spatial heterogeneity in vaccination uptake crucially depend on 78 sexual mixing between different regions, as well as herd immunity thresholds and other disease-specific characteristics. A 80 better understanding of how these factors affect the transmis-81 sion and prevalence of HPV may help to better interpret the 82 expected or observed impact of HPV vaccination programs.

The aim of this study was to investigate the impact of het- 84 erogeneous vaccination uptake and different sexual mixing sce- 85 narios on the prevalence of HPV-16 in Switzerland. We de- 86 veloped a mathematical model of HPV-16 transmission among 87 young heterosexual adults. We parameterized the model using 88 Swiss sexual behavior data and calculated the pre-vaccination 89

prevalence and the basic reproduction number (R_0) of HPV-16. First, we investigated the general consequences of heterogeneous vaccination uptake in a simple model with two subpopulations. We then simulated the transmission of HPV-16 within and between the 26 cantons of Switzerland assuming three different scenarios for inter-cantonal sexual mixing. We compared the predicted post-vaccination prevalence of HPV-16 after the introduction of heterogeneous HPV vaccination uptake with a default scenario of homogeneous vaccination.

2. Methods

2.1. HPV-16 transmission model

We developed a deterministic, population-based model of HPV transmission that is based on well-establish work on modeling sexually transmitted infections (STIs) [17–19]. For simplicity, we focused on HPV-16 only as it is the most common oncogenic type in women worldwide [20] and responsible for more than 50% of invasive cervical cancers [21]. We implemented the spatial (cantonal) structure into a meta-population model, and considered the population of 18-24 year old heterosexual Swiss adults who can be susceptible (S), infected (I), recovered (R) or vaccinated (V). These compartments are further divided into sub-compartments that reflect the individuals' sex, sub-population/canton and sexual activity level, and can be described by the following system of ordinary differential equations (ODEs):

$$\frac{dS_{skr}}{dt} = (1 - p_{sk})\mu N_{skr} - \lambda_{skr} S_{skr} + \omega R_{skr} - \mu S_{skr}$$
(1)

$$-mS_{skr} + mn_r \sum_{u} S_{sku}, \qquad (2)$$

$$\frac{dI_{skr}}{dt} = \lambda_{skr}S_{skr} - \gamma I_{skr} - \mu I_{skr} - mI_{skr} + mn_r \sum_{u} I_{sku}, \quad (3)$$

$$\frac{dR_{skr}}{dt} = \gamma I_{skr} - \omega R_{skr} - \mu R_{skr} - mR_{skr} + mn_r \sum_{n} R_{skn}, \quad (4)$$

$$\frac{dV_{skr}}{dt} = p_{sk}\mu N_{skr} - \mu V_{skr} - mV_{skr} + mn_r \sum_{u} V_{sku}.$$
 (5)

Here, the subscripts s, k and r denote sex, population/canton and sexual activity group, respectively. Susceptible individuals (S) can become infected at rate λ_{skr} (force of infection). Infected individuals (I) spontaneously clear HPV-16 at rate γ to become temporarily immune. Recovered individuals (R) loose their immunity at rate ω and become susceptible again. All individuals enter and leave the population at rate μ with $N_{skr} = S_{skr} + I_{skr} + R_{skr} + V_{skr}$ being the population size of individuals that have sex s, reside in sub-population/canton kand belong to sexual activity group r. p_{sk} is the sub-populationor canton-specific proportion of individuals that are vaccinated upon entering the population. We assumed vaccine efficacy is 100% (3 doses) and lasts for an individual's sexual lifetime. Individuals can change their sexual behavior at rate m, i.e., they are redistributed to either the same or another sexual activity group proportional to the size of the target group [19, 22].

2.2. Data and parameters

2.2.1. Vaccination uptake

93

100

101

103

104

105

107

108

109

111

112

113

114

115

116

118

119

120

121

122

123

125

126

127

129

130

131

134

135

136

137

We used data from the Swiss National Vaccination Cover-143 age Surveys (SNVCS) to obtain the proportion of women who 144 are vaccinated in each canton (Fig. 1, Supplementary Mate-145 rial Table S.1). The SNVCS monitor immunization coverage¹⁴⁶ of children and adolescents and compiles them into three-year bands. For HPV vaccination, the surveys focus on 16 years₁₄₇ old girls. In this study, we used data from the last available₁₄₈ survey period (2011-2013), except for the canton of Geneva, (GE) and Appenzell Innerrhoden (AI) where we used data from the years 2010 and 2014, respectively. Two HPV vaccines are 151 currently authorized in Switzerland: Gardasil® (Sanofi Pasteur MSD) which targets four HPV types (HPV-6/11/16/18), and Cervarix® (GlaxoSmithKline) which targets two HPV types (HPV-16/18). 95% of vaccinated women received the quadrivalent vaccine [4]. We used the proportion of fully vaccinated women (completed three doses) as a model parameter. Although Switzerland adopted the two-dose HPV vaccination₁₅₅ schedule in 2012, we assumed that this had not been implemented in the cantonal programs at the time the surveys were done. We did not consider HPV vaccination in boys and young men, as uptake in Switzerland is negligible at present.

2.2.2. Sexual behavior

We used data from the SIR (Screening, Impfung und Risiko-faktoren) survey [4]. The Swiss Federal Office of Public Health (FOPH) conducted this survey in 2014 and collected data on the sexual behavior of 18-24 year old Swiss women (n=1,291). We categorised the study participants into two sexual activity groups and estimated the sexual partner change rates by assuming that the reported numbers of new heterosexual partners in the last year can be described by two Poisson distributions, weighted by the proportion of individuals in each sexual activity group [19, 23]. The survey did not include men, so we assumed their sexual activity to be the same as for women. Furthermore, we assumed that sexual behavior does not differ between cantons

2.2.3. Inter-cantonal mixing

We used mobility data from the Swiss Federal Office for Spa-¹⁶⁰ tial Development (ARE) as a proxy for sexual mixing between¹⁶¹ different cantons. The data set contains average daily commut-¹⁶² ing data by public transport and individual vehicles from Mon-¹⁶³ day to Friday in 2010 [24].

2.2.4. Other parameters

We used publicly available data about the number of 18–24₁₆₈ year olds in each canton in 2013 from the website of the Swiss₁₆₉ Federal Statistical Office (FSO) [25] (Supplementary Material₁₇₀ Table S.2). Parameters that describe the transmission and life₋₁₇₁ history of HPV-16 were informed by the literature [26, 27] and₁₇₂ assumed to be the same for women and men. All parameter₁₇₃ values and their sources are specified in Table 1.

2.3. Sexual mixing and force of infection

The force of infection, λ_{skr} , depends on assumptions about sexual contact preferences between individuals from different sexual activity groups and sub-populations/cantons. We devised three different scenarios of increasing complexity to account for different spatial mixing patterns (Fig. 2):

- 1. Assortative sexual mixing: Sexual contacts only occur between individuals from the same sub-population/canton.
- Proportional sexual mixing: A fraction of sexual contacts occur between individuals from the same sub-population/canton, while the remaining contacts are proportionally distributed across all sub-populations/cantons.
- 3. *Mobility-informed sexual mixing*: Swiss mobility data are used as a proxy for inter-cantonal sexual mixing.

2.3.1. Assortative and proportional sexual mixing

The first two scenarios where we assumed fully assortative or partial proportional mixing between sub-populations/cantons result in the following force of infection:

$$\lambda_{skr} = \beta c_r \sum_{k'} \sum_{r'} \rho_{ss'kk'rr'} \frac{I_{s'k'r'}}{N_{s'k'r'}},\tag{6}$$

where β is the per partnership transmission probability and c_r is the sexual partner change rate for individuals of sexual activity group r. The elements of the sexual mixing matrix

$$\rho_{ss'kk'rr'} = \rho_{ss'kk'}\rho_{rr'}$$

$$\left[\epsilon_k \delta_{kk'} + (1 - \epsilon_k) \frac{\sum_{\nu} c_{\nu} N_{s'k'\nu}}{\sum_{u} \sum_{\nu} c_{\nu} N_{s'u\nu}}\right]$$
(7)

$$\times \left[\epsilon_r \delta_{rr'} + (1 - \epsilon_r) \frac{c_{r'} N_{s'k'r'}}{\sum_{\nu} c_{\nu} N_{s'k'\nu}} \right]$$
(8)

describe the conditional probability of an individual of sex s, sub-population/canton k and sexual activity group r to have a sexual contact with an individual of the opposite sex s', sub-population/canton k' and sexual activity group r'. and ϵ_r are the sexual mixing coefficients with respect to subpopulation/canton and sexual activity group, respectively. Values of 1 represent fully assortative mixing where individuals only have sexual contacts with other individuals from the same sub-population/canton or sexual activity group. A value of 0 corresponds to proportional (random) mixing where sexual partners are chosen in proportion to the size of their subpopulation/canton and their sexual activity group. $\delta_{kk'}$ and $\delta_{rr'}$ are the Kronecker deltas that are equal to 1 if k = k' or r = r'and to 0 otherwise. In the first scenario (assortative sexual mixing), we set $\epsilon_k = 1$. In the second scenario (proportional sexual mixing), we set ϵ_k to 0.6 (model with two sub-populations) and 0.8 (cantonal model). Throughout all simulations, we set $\epsilon_r = 0.5$, which corresponds to partially assortative mixing with respect to sexual activity [19, 22].

158

Table 1. Summary of parameters for the HPV-16 transmission model.

Parameter	Description	Value	Unit	Reference/Comment
N_{skr}	Number of 18–24 year olds of sex s, sub-	See Table S.2	_	Swiss FSO
	population/canton k and activity group r			
n_l	Proportion in the low sexual activity group	0.85	_	Estimated
n_h	Proportion in the high sexual activity group	0.15	_	Estimated
c_l	Heterosexual partner change rate in low activity group	0.17	per year	Estimated
c_h	Heterosexual partner change rate in high activity group	2.41	per year	Estimated
μ	Rate at which individuals enter and leave the population	0.14	per year	7-year age band
m	Rate at which individuals can change activity groups	1.0	per year	[19, 22]
ϵ_r	Assortativity index for sexual mixing between activity	0.5	_	[19, 22]
	groups			
ϵ_k	Assortativity index for sexual mixing between sub-	0.6,0.8,1.0	_	Assumption
	populations/cantons			
S	Scaling factor for mobility-informed sexual mixing ma-	0.035	_	Calculated
	$\operatorname{trix} \sigma_{kk'}$			
$oldsymbol{eta}$	Transmission probability per partnership	0.8	_	[26]
γ	Rate at which infection is cleared spontaneously	0.55	per year	[27]
ω	Rate at which immunity is lost	0.024	per year	[27]
p_{sk}	Proportion of vaccinated individuals in canton k	Fig. 1	-	Swiss FOPH

2.3.2. Mobility-informed sexual mixing

We used mobility data as a proxy for inter-cantonal sexual 181 mixing by assuming that the heterosexual partner preference 182 across cantons is proportional to the corresponding commut- 183 ing patterns. The symmetrical matrix P_{mob} provides absolute 184 numbers of commuters between cantons without specifying the 185 commuters' canton of residence. We converted P_{mob} into an 186 asymmetrical inter-cantonal mixing matrix $\sigma_{kk'}$ that provides 187 the conditional probabilities that a sexual contact from an in- 188 dividual from canton k occurs with someone from canton k'. To this end, we first rescaled P_{mob} by a scaling factor s and weighted all columns with the inverse of the cantonal population size:

$$\sigma_{kk'} = s \frac{P_{\text{mob}}}{N_k}.\tag{9}_{191}$$

We then replaced the diagonal entries of $\sigma_{kk'}$ with the sum of all entries that are outside canton k:

$$\sigma_{kk} \mapsto 1 - \sum_{i \neq k} \sigma_{ki}. \tag{10}_{196}^{195}$$

The force of infection for the mobility-informed sexual mix-198 ing scenario is given by Eq. 6 with $\rho_{ss'kk'rr'}$ being replaced by 199 $\sigma_{kk'}\rho_{ss'rr'}$. We chose the scaling factor s such that the weighted 200 proportion of intra-cantonal heterosexual contacts across all 201 cantons is 80% (Supplementary Material Fig. S.1), i.e., is the 202 same as in the proportional sexual mixing scenario:

$$\sum_{k} \sigma_{kk} \frac{N_k}{\sum_{k} N_k} = 0.8. \tag{11}^{205}$$

2.4. Model simulations

177

We simulated the different model scenarios by numerically₂₀₈ integrating the ODEs until the system approached the endemic₂₀₉ pre-vaccination equilibrium ($p_{sk} = 0$). We then initiated the₂₁₀

HPV vaccination program by setting $p_{sk} > 0$, and ran the model for a further number of years. The ODEs were solved in the R software environment for statistical computing [28] using the function *ode* from the package *deSolve*. We calculated the basic reproduction number (R_0) using the next-generation matrix method as described by Diekmann et al. [29, 30] (Supplementary Material Section 1). This allowed us to compute the vaccination threshold $V_C = 1 - 1/R_0$. All code files can be downloaded from GitHub

3. Results

3.1. HPV-16 dynamics

Using the parameters from Table 1, the transmission model provides a realistic description of the HPV-16 dynamics in Switzerland. The pre-vaccination prevalence of HPV-16 is 3.34% among 18-24 year olds. While this is somewhat lower than the expected and observed HPV-16 prevalence in Britain (Supplementary Material Section 2, Table S.3), it is in the range that is typically observed among women in other European countries [20]. The functional relationship between vaccination coverage and the reduction in HPV-16 prevalence 2 to 4 years post-vaccination is in good agreement with the findings of a systematic review (Supplementary Material Section 3, Fig. S.2) [1]. The basic reproduction number, R_0 , of HPV-16 in our model is 1.29. This value corresponds to a vaccination threshold of 22% in the general population. If vaccination is targeting only one sex, the threshold increases to 39%.

3.2. Vaccination in two sub-populations

To better understand the effects of spatially heterogeneous vaccination uptake on infection transmission, we focused on a simplified model with just two sub-populations of the same size. We calculated the expected HPV-16 prevalence after 50

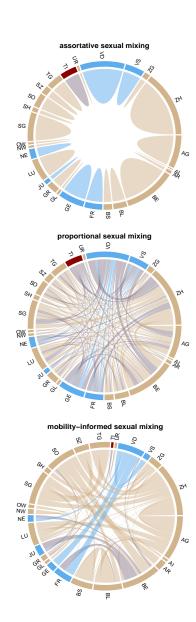
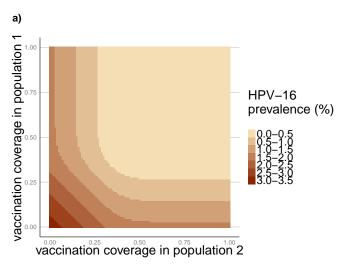
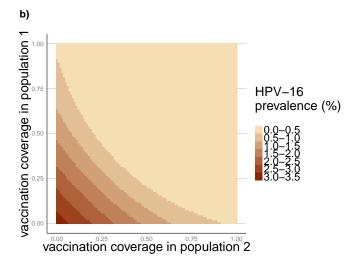


Fig. 2. Chord diagrams of inter-cantonal sexual mixing. The diagrams show the number of sexual contacts between individuals from different cantons. For the scenarios where sexual mixing between cantons occurs (proportional and mobility-informed sexual mixing), we excluded the sexual contacts between individuals that reside in the same canton for better visibility. Cantons with a French-, German- or Italian-speaking majority are indicated in blue, beige and red, respectively. Acronyms for canton names are explained in the Supplementary Material Table S.1





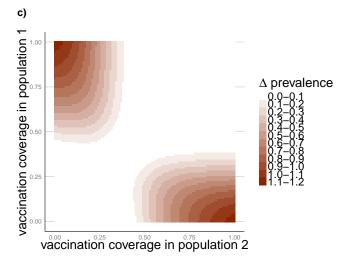


Fig. 3. Heterogeneous vaccination uptake and HPV-16 prevalence. The graphs show the expected prevalence of HPV-16 after 50 years of vaccinating two subpopulations at different coverage rates. a) HPV-16 prevalence when there is no sexual mixing between the two populations. b) HPV-16 prevalence when 20% of sexual contacts are made between the two populations ($\varepsilon_k=0.6$). c) Difference in HPV-16 prevalence between scenario a and b.

years of vaccinating the two sub-populations at different cov-267 erage rates (Fig. 3). In the first scenario, we assumed fully as-268 sortative sexual mixing between the two sub-populations, i.e.,269 sexual contacts only occur between individuals from the same₂₇₀ sub-population (Fig. 3a). The concave relation between vac-271 cination coverage in the two sub-populations and the expected₂₇₂ prevalence of HPV-16 overall indicates that homogeneous vac-273 cination uptake always has the largest effect on reducing preva-274 lence. For example, a vaccination coverage of 25% in both sub-275 populations results in a lower prevalence than vaccinating ei-276 ther of them at 50%. In the second scenario, we assumed a₂₇₇ certain level of proportional mixing where 20% of sexual con-278 tacts are made with individuals from the other sub-population₂₇₉ (Fig. 3b). Sexual mixing between the two sub-populations di-280 minishes the negative effect of heterogeneous vaccination up-281 take, but homogeneous vaccination still results in the lowest282 prevalence of HPV-16. Fig. 3c shows the difference in the ex-283 pected HPV-16 prevalence between the first (no sexual mixing₂₈₄ between the sub-populations) and second (sexual mixing be-285 tween the sub-populations) scenario. The higher the difference,286 the stronger the effect of sexual mixing is in reducing the nega-287 tive consequences of heterogeneous vaccination uptake. This is 288 particularly the case when vaccination is highly heterogeneous,289 i.e., when uptake is very high in one sub-population and very290 low in the other sub-population. In summary, these results il-291 lustrate that spatially heterogeneous vaccination uptake dimin-292 ishes the effect of vaccination on reducing HPV-16 prevalence,293 but that sexual mixing between sub-populations can limit these294 undesired consequences by 'homogenizing' the overall popula-295

212

213

214

216

217

218

221

222

224

225

226

228

229

230

232

233

236

237

238

240

241

243

244

246

247

251

252

253

254

255

258

259

260

262

263

3.3. Transmission of HPV-16 within and between cantons

We extended our analysis of heterogeneous vaccination uptake by simulating the transmission of HPV-16 within and be-298 tween the 26 cantons of Switzerland. The observed dynamics299 generalize some of the insights from the simplified model with300 two-subpopulations. After vaccination is introduced, HPV-16301 prevalence begins to diverge across cantons (Fig. 4). After 15₃₀₂ years of vaccination, the range of expected HPV-16 prevalences303 depends on the assumed scenario for sexual mixing between304 cantons (see Methods). For fully assortative mixing, the highest305 and lowest prevalence are 2.40% (ZG, 17% vaccination cover-306 age) and 0.12% (VS, 75% vaccination coverage), respectively₃₀₇ (Fig. 4a). The range of cantonal HPV-16 prevalence narrows₃₀₈ if sexual mixing between cantons is taken into account. The309 cantonal prevalence ranges from 1.28% to 0.23% for propor-310 tional mixing (Fig. 4b), and from 1.09% to 0.14% for mobility-311 informed mixing (Fig. 4c). Thus, sexual mixing between can-312 tons again 'homogenizes' the infection dynamics and the effect₃₁₃ of vaccination on reducing prevalence.

This effect is also reflected in the overall prevalence of HPV-315 16 in Switzerland. The national prevalence of HPV-16 is316 slightly higher under heterogeneous vaccination uptake com-317 pared with homogeneous uptake (Fig. 4a). This difference be-318 comes smaller in the two scenarios that assume sexual mixing319 between the two cantons (Fig. 4b and 4c). In the most realistic320 scenario (mobility-informed mixing), the national prevalence of 321

HPV-16 is expected to drop to 0.55% after 15 years of heterogeneous vaccination uptake, while homogeneous vaccination uptake would drop the prevalence to 0.49%. The result that heterogenous vaccination uptake yields a slightly higher HPV-16 prevalence compared with homogeneous uptake is robust to different assumptions about sexual activity, cantonal population sizes and the overall vaccination uptake ((Supplementary Material, Table S.4)).

Inter-cantonal sexual mixing helps to reduce the prevalence of HPV-16 in cantons with low vaccination coverage at the expense of cantons with high vaccination coverage. At the national level, increasing sexual mixing between cantons always results in a lower HPV-16 prevalence (Fig. 5, dashed red lines), while the effect of sexual mixing at the cantonal level is more intricate. The number of cantons that achieve a specific reduction in prevalence - expressed as relative risk (RR) reduction - can either decrease or increase with varying degrees of sexual mixing (Fig. 5). For example, high levels of sexual mixing between cantons (low ϵ_k) increase the number of cantons that achieve a 50% reduction in prevalence after 15 years of vaccination (Fig. 5a). In contrast, low levels of sexual mixing between cantons (high ϵ_k) are required to increase the number of cantons that achieve a RR reduction of 90%. On a timescale of 50 years, the number of cantons that reach a RR reduction of 99% is lowest for low, but realistic, levels of sexual mixing between cantons ($\epsilon_k = 0.85 - 0.95$) (Fig. 5b). These levels of sexual mixing prevent the elimination of HPV-16 in highcoverage cantons, but they are too low for low-coverage cantons to sufficiently benefit from the herd immunity of high-coverage cantons.

4. Discussion

Uptake of HPV vaccination in 16 year old girls in Switzerland shows pronounced differences between different cantons ranging from 17 to 75%. We used a dynamic transmission model to study the expected consequences of this spatial heterogeneity in vaccination uptake on the transmission and prevalence of HPV-16 in Switzerland. Using a simple model with just two sub-populations, we found that heterogeneous vaccination uptake can diminish the effect of vaccination on reducing HPV-16 prevalence. This effect is strongest when vaccination is highly heterogeneous, i.e., when uptake is very high in one sub-population and very low in the other sub-population. These results were then corroborated with an extended model simulating the transmission of HPV-16 within and between the 26 cantons of Switzerland. Homogeneous vaccination uptake would generate a lower national HPV-16 prevalence compared to heterogeneous vaccination uptake, but the overall differences in prevalence are very small. We found that inter-cantonal sexual mixing 'homogenizes' the infection dynamics, limits the undesired consequences of heterogeneous vaccination uptake, and reduces the inter-cantonal differences in HPV-16 prevalence.

This study describes the transmission of HPV-16 in Switzerland using a mathematical model to investigate how spatial heterogeneity in vaccination uptake affects prevalence. The example of Switzerland provides sufficient data for parameterizing

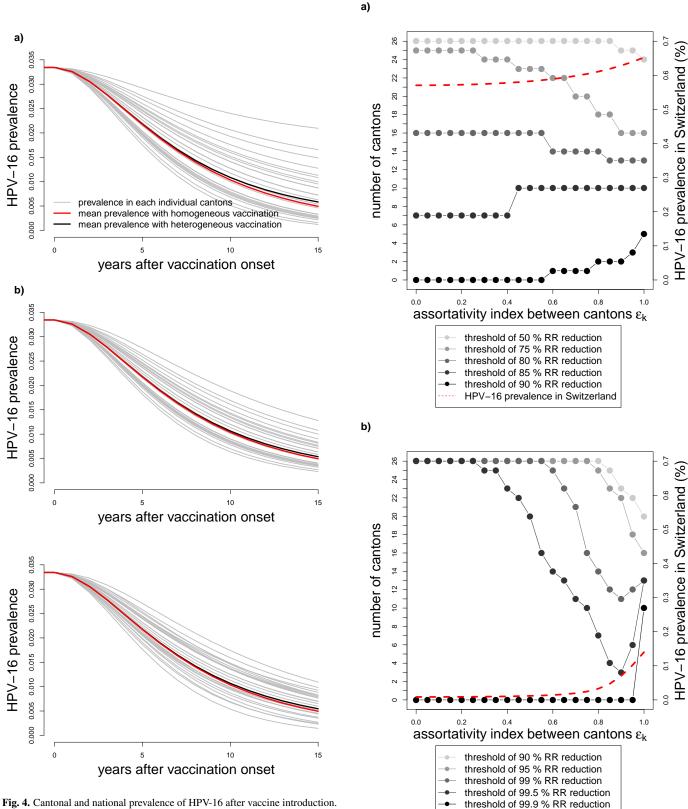


Fig. 4. Cantonal and national prevalence of HPV-16 after vaccine introduction. a) Fully assortative mixing (no sexual mixing between cantons). b) Proportional mixing (20% of sexual contacts are proportionally distributed over all of Switzerland). c) Mobility-informed mixing. Grey lines represent cantonal HPV-16 prevalence. The black and red lines correspond to the national prevalence for heterogeneous and homogeneous vaccination uptake, respectively.

Fig. 5. Relationship between inter-cantonal sexual mixing and HPV-16 prevalence. The graphs show the number of cantons that achieve a specific relative risk (RR) reduction after 15 years (a) and 50 years (b) of vaccination. The dashed red lines correspond to the national prevalence which is lowest if sexual mixing is completely proportional ($\epsilon_k = 0$). For all simulations, we used the proportional sexual mixing scenario.

HPV-16 prevalence in Switzerland

a dynamic transmission model while exhibiting large variation³⁷⁹ in HPV-16 vaccine deployment. Using Swiss sexual behav-³⁸⁰ ior data, the model provided a realistic description of HPV-16³⁸¹ transmission in Switzerland, and allowed us to investigate the³⁸² expected effect of HPV vaccination. Our results do not change³⁸³ qualitatively when the number of cantons or parameter values³⁸⁴ are varied within reasonable ranges. In the absence of data de-³⁸⁵ scribing inter-cantonal sexual mixing in Switzerland, we used³⁸⁶ commuting data and explored three different scenarios. The two³⁸⁷ scenarios that assumed partial sexual mixing between cantons³⁸⁸ – proportional sexual mixing and mobility-informed mixing –³⁸⁹ gave rise to a similar pattern, strengthening the validity of our³⁹⁰ findings.

324

325

327

328

332

333

335

336

337

339

340

341

343

344

347

348

349

351

352

355

356

358

359

362

363

364

365

366

367

370

371

372

374

375

Our study has a number of limitations that need be consid-392 ered when interpreting the findings. First, we used a relatively₃₉₃ simple model to describe the transmission of HPV-16, not tak-394 ing into account potential sex-specific differences in sexual be-395 havior and the infection life-history. Owing to our focus on396 the transmission and prevalence of HPV-16, we did not in-397 clude the progression of HPV infections to cervical intraep-398 ithelial neoplasia (CIN), as some modeling studies have done399 [7, 8, 26, 27]. Further, we did not consider different age classes₄₀₀ and assumed that women can only become vaccinated before₄₀₁ the age of 18. It is also important to note that our results de-402 pend on the assumption that the sexual behavior and the sub-403 sequent risk of HPV infection is the same across different can-404 tons. Second, comparing HPV-16 prevalence and the sexual₄₀₅ behavior data (i.e., the estimated heterosexual partner change406 rates) between Swiss and British women needs to be treated 407 with caution. Although the particular question about the num-408 ber of new heterosexual partners was the same in both surveys,409 the methods for sampling and data collection differed consider-410 ably. While the SIR survey interviewed participants by phone,411 Natsal-3 relied on individuals filling in questionnaires at the412 participants' homes. This difference could have introduced a413 social desirability bias that could result in an underestimation414 of heterosexual partner changes based on the SIR study. This₄₁₅ underestimation might be further compounded by the fact that416 the SIR survey included only women. Given the sensitivity of₄₁₇ our model with regard to per partnership transmission probabil-418 ities (Supplementary Material Fig. S.3) and heterosexual part-419 ner change rates, our calculations of R_0 and the corresponding₄₂₀ vaccination thresholds should therefore be interpreted with cau-421 tion. Third, in absence of data about the levels of sexual mixing422 between cantons, we assumed that inter-cantonal sexual mixing₄₂₃ is proportional to the observed commuting patterns. Further-424 more, we assumed that the national average of sexual contacts₄₂₅ that are made with individuals from the same canton is 80%,426 and that 20% are made with individuals from another canton.427 This assumption was informed by a Canadian study on couple₄₂₈ composition regarding language membership (French, English₄₂₉ or other) led in 1981 [31]. On average, 18.2% of couples in₄₃₀ Quebec were exogamous, with some heterogeneity over differ-431 ent regions. Fourth, besides inter-cantonal variation in HPV432 vaccination uptake, there is also intra-cantonal variation. For₄₃₃ example, vaccination uptake in Geneva, which has a school-434 based vaccination program, varies significantly among differ-435 ent nationalities and socio-economical status [32]. Investigating the causes and consequences of intra-cantonal variation in HPV vaccination uptake in Switzerland is part of ongoing work.

There are currently no population-based prevalence estimates of type-specific HPV in Switzerland. Our modeled prevaccination prevalence of HPV-16 is 3.34%, and is within a plausible range for women in European countries. A metaanalysis of more than 1 million women estimated HPV-16 prevalence at 4.8% and 3.2% in Europe and globally, respectively [20]. Only a few studies provide estimates for the basic reproduction number, R_0 , or equivalently, the vaccination threshold of HPV-16 or other HPV types. Ribassin-Majed et al. [33] estimated $R_0 = 1.73$ for HPV-16/18 in France, corresponding to a vaccination threshold of 67% for one sex. These values are higher than what we calculated for Switzerland, but in a similar range to what would be expected in Britain (Table ??). The lower values that we calculated for Switzerland underline the possibility of underreporting in the Swiss sexual behavior survey.

Our results need to be interpreted in the context of the current HPV literature considering heterogeneity in vaccination. The finding that decreasing heterogeneity in vaccination uptake increases impact helps to interpret the result by Durham et al. [15] who showed that vaccination efforts should be targeted towards low-vaccination states in the USA. Increasing vaccination uptake in populations with low-vaccination uptake has the strongest effect for reducing vaccination heterogeneity overall. The study by Shafer et al. [16] on unequal HPV vaccination uptake among different ethnic groups in Canada, suggests that heterogeneous vaccination can lead to cross-over effects across groups and depends on the amount of sexual mixing between the groups. Our study corroborates these findings and illustrates the effect of heterogeneous vaccination uptake between different populations and its relationship with different amount of sexual mixing between them.

We showed that the effect of cantonal variations in vaccination uptake on reducing the overall effect of vaccination on HPV-16 prevalence in Switzerland is small. This result is remarkable as eight cantons (ZG, AR, SZ, OW, AI, TG, BE and TI) have a vaccination uptake that is below the vaccination threshold in our model (39.6%). In contrast, all cantons are above this threshold assuming homogeneous vaccination at an uptake that is identical to the national average (52%). One might expect that the effects of herd immunity in the latter scenario would result in a substantially lower prevalence of HPV-16 compared with heterogenous uptake. However, we compared the expected prevalence after 15 years of vaccination when prevalence is still declining rapidly in all cantons and the post-vaccination equilibrium has not been reached. The rapid and pronounced decline in HPV-16 prevalence that we found in our model even for populations with low vaccination uptake is in good agreement by epidemiological studies [1, 34].

Our findings could have implications for the future planning of HPV vaccination programs at the cantonal and national level in Switzerland. From the point of view of a particular canton, the achieved reduction in HPV-16 prevalence will not only depend on the cantonal vaccination program, but also on the in-

direct effects of vaccination efforts in other (particularly neigh-495 boring) cantons and how these effects are dissipated via intra-496 cantonal sexual mixing. For the most plausible scenario for 498 inter-cantonal mixing (mobility-informed sexual mixing), we499 found that cantons with high vaccination coverage experience500 a less effective reduction in HPV-16 prevalence to what would⁵⁰¹ be expected if they were isolated (assortative sexual mixing). 502 Conversely, this effect benefits those cantons with a low vac-504 cination uptake that achieve a higher reduction in prevalence505 to what would be expected in absence of intra-cantonal sexual506 mixing. The intensity of cantonal dissipation of vaccination ef-507 508 forts is again mediated by intra-cantonal sexual mixing. The₅₀₉ number of cantons that surpass a pre-defined relative risk re-510 duction is highly sensitive to the level of assortative mixing be-511 tween cantons (Fig. 5). The results of this study suggest that 513 a harmonization of programs between cantons, and a reduction₅₁₄ in vaccination heterogeneity, would result in a stronger effect⁵¹⁵ of vaccination on reducing HPV-16 prevalence in Switzerland. 516 The generality of our results on the effects of spatial hetero-518 geneity in vaccination uptake are also relevant for the planning₅₁₉ of vaccination programs in other countries, and in the context⁵²⁰ of infectious diseases other than HPV.

In summary, we found that spatial heterogeneity in HPV vac-523 cination uptake is expected to diminish the effect of vaccinations24 on HPV-16 prevalence, but the overall effect is small. In the525 context of Switzerland, this means that cantonal efforts towards526 a reduction of HPV-prevalence are impaired by cantons with528 low vaccination uptake. Harmonization of cantonal vaccination529 programs would reduce inter-cantonal differences in HPV-16530 prevalence.

Acknowledgment

438

439

442

446

447

449

450

453

454

457

460

461

462

463

465

468

469

470

472

473

474

476

478

480

481

483

484

486

487

488

489

491

492

494

We would like to thank the Swiss Federal Office of Public⁵³⁴ Health (FOPH), the Swiss Federal Office for Spatial Develop-⁵³⁵ ment (ARE), and the investigators of the British National Sur-⁵³⁷ vey of Sexual Attitudes and Lifestyles (Natsal) for providing⁵³⁸ access to the data used in this study. We would also like to⁵³⁹ thank J.A. Bogaards for his valuable comments on our study.

Funding: This study was supported by the Swiss Cancer 542 League and the Swiss Cancer Research foundation (# 3049-08-543 2012).

References

- [1] M. Drolet, E. Benard, M.-C. Boily, H. Ali, L. Baandrup, H. Bauer, S. Bed-549 dows, J. Brisson, J. M. L. Brotherton, T. Cummings, B. Donovan, C. K. 550 Fairley, E. W. Flagg, A. M. Johnson, J. A. Kahn, K. Kavanagh, S. K. Kjaer, E. V. Kliewer, P. Lemieux-Mellouki, L. Markowitz, A. Mboup-552 D. Mesher, L. Niccolai, J. Oliphant, K. G. Pollock, K. Soldan, P. Sonnen-563 berg, S. N. Tabrizi, C. Tanton, M. Brisson, Population-level impact and 654 herd effects following human papillomavirus vaccination programmes: a 555 systematic review and meta-analysis, Lancet Infectious Diseases 15 (5) 556 (2015) 565–580. doi:10.1016/S1473-3099(14)71073-4.
- [2] C. Giambi, S. Donati, S. Declich, S. Salmaso, M. L. C. degli Atti, M. P. 558 Alibrandi, S. Brezzi, F. Carozzi, N. Collina, D. Franchi, A. Lattanzi, 559 M. Meda, M. C. Minna, R. Nannini, I. Scherillo, A. Bella, Estimated 560 acceptance of HPV vaccination among Italian women aged 18–26 years, 561 Vaccine 29 (46) (2011) 8373–8380. doi:10.1016/j.vaccine.2011.08.079. 562
- [3] M. Rahman, T. H. Laz, A. B. Berenson, Geographic variation in hu-563 man papillomavirus vaccination uptake among young adult women in₅₆₄ the United States during 2008-2010, Vaccine 31 (47) (2013) 5495–9.565 doi:10.1016/j.vaccine.2013.09.022.

- [4] Bundesamt für Gesundheit, Die HPV-Impfung in der Schweiz: Resulate einer nationalen Befragung im Jahr 2014, Bulletin 23/15 (2015) 445–452.
- [5] Swiss Federal Office of Public Health, Durchimpfung von 2-, 8- und 16-jährigen Kindern in der Schweiz, 1999-2015, https://www. bag.admin.ch/bag/de/home/themen/mensch-gesundheit/ uebertragbare-krankheiten/impfungen-prophylaxe/ informationen-fachleute-gesundheitspersonal/ durchimpfung.html (accessed May 10, 2017).
- [6] R. V. Barnabas, P. Laukkanen, P. Koskela, O. Kontula, M. Lehtinen, G. P. Garnett, Epidemiology of HPV 16 and Cervical Cancer in Finland and the Potential Impact of Vaccination: Mathematical Modelling Analyses, PLoS Med 3 (5) (2006) e138. doi:10.1371/journal.pmed.0030138.
- [7] Y. H. Choi, M. Jit, N. Gay, A. Cox, G. P. Garnett, W. J. Edmunds, "transmission dynamic modelling of the impact of human papillomavirus vaccination in the united kingdom", Vaccine 28 (24) (2010) 4091–102. doi:10.1016/j.vaccine.2009.09.125.
- [8] J. A. Bogaards, V. M. H. Coupé, M. Xiridou, C. J. L. M. Meijer, J. Wallinga, J. Berkhof, Long-term impact of human papillomavirus vaccination on infection rates, cervical abnormalities, and cancer incidence, Epidemiology (Cambridge, Mass.) 22 (4) (2011) 505–515. doi:10.1097/EDE.0b013e31821d107b.
- [9] A. Scherer, A. McLean, Mathematical models of vaccination, Br Med Bull 62 (2002) 187–99.
- [10] K. Glass, K. Kappey, B. T. Grenfell, The effect of heterogeneity in measles vaccination on population immunity, Epidemiology and Infection 132 (4) (2004) 675–683.
- [11] E. T. Alexander, S. D. McMahon, N. Roberts, E. Sutti, D. Burkow, M. Manning, K. E. Yong, S. Suslov, The Effects of Regional Vaccination Heterogeneity on Measles Outbreaks with France as a Case Study, arXiv:1408.0695 [q-bio]ArXiv: 1408.0695.
- [12] E. A. Ferguson, K. Hampson, S. Cleaveland, R. Consunji, R. Deray, J. Friar, D. T. Haydon, J. Jimenez, M. Pancipane, S. E. Townsend, Heterogeneity in the spread and control of infectious disease: consequences for the elimination of canine rabies, Scientific Reports 5 (2015) 18232. doi:10.1038/srep18232.
- [13] J. A. Bogaards, M. Kretzschmar, M. Xiridou, C. J. L. M. Meijer, J. Berkhof, J. Wallinga, Sex-Specific Immunization for Sexually Transmitted Infections Such as Human Papillomavirus: Insights from Mathematical Models, Plos Medicine 8 (12) (2011) e1001147. doi:10.1371/journal.pmed.1001147.
- [14] J. A. Bogaards, J. Wallinga, R. H. Brakenhoff, C. J. L. M. Meijer, J. Berkhof, Direct benefit of vaccinating boys along with girls against oncogenic human papillomavirus: bayesian evidence synthesis, Bmj-British Medical Journal 350 (2015) h2016. doi:10.1136/bmj.h2016.
- [15] D. P. Durham, M. L. Ndeffo-Mbah, L. A. Skrip, F. K. Jones, C. T. Bauch, A. P. Galvani, National- and state-level impact and cost-effectiveness of nonavalent HPV vaccination in the United States, Proceedings of the National Academy of Sciences 113 (18) (2016) 5107–5112. doi:10.1073/pnas.1515528113.
- [16] L. A. Shafer, I. Jeffrey, B. Elias, B. Shearer, K. Canfell, E. Kliewer, Quantifying the impact of dissimilar HPV vaccination uptake among Manitoban school girls by ethnicity using a transmission dynamic model, Vaccine 31 (42) (2013) 4848–4855. doi:10.1016/j.vaccine.2013.07.073.
- [17] R. M. Anderson, R. M. May, Infectious Diseases of Humans: Dynamics and Control, Oxford University Press, Oxford, 1991.
- [18] K. Rock, S. Brand, J. Moir, M. J. Keeling, Dynamics of infectious diseases, Reports on Progress in Physics 77 (2) (2014) 026602.
- [19] S. M. Fingerhuth, S. Bonhoeffer, N. Low, C. L. Althaus, Antibiotic-Resistant Neisseria gonorrhoeae Spread Faster with More Treatment, Not More Sexual Partners, PLoS Pathog 12 (5) (2016) e1005611. doi:10.1371/journal.ppat.1005611.
- [20] L. Bruni, M. Diaz, M. Castellsagué, E. Ferrer, F. X. Bosch, S. d. Sanjosé, Cervical Human Papillomavirus Prevalence in 5 Continents: Meta-Analysis of 1 Million Women with Normal Cytological Findings, Journal of Infectious Diseases 202 (12) (2010) 1789–1799. doi:10.1086/657321.
- [21] J. S. Smith, L. Lindsay, B. Hoots, J. Keys, S. Franceschi, R. Winer, G. M. Clifford, Human papillomavirus type distribution in invasive cervical cancer and high-grade cervical lesions: a meta-analysis update, Int J Cancer 121 (3) (2007) 621–32. doi:10.1002/ijc.22527.
- [22] C. L. Althaus, M. Choisy, S. a. Alizon, Number of sex acts matters for heterosexual transmission and control of *Chlamydia trachomatis*, PeerJ

546

PrePrints 3 (2015) e1164. doi:10.7287/peerj.preprints.940v1.

567

568

569

570 571

572

573

583

584 585

586 587

588

589

590 591

592

- [23] C. L. Althaus, J. C. Heijne, S. A. Herzog, A. Roellin, N. Low, Individual and population level effects of partner notification for Chlamydia trachomatis, PLoS One 7 (12) (2012) e51438.
- [24] Swiss Federal Office for Spatial Development, Mobility and Transport Microcensus (MTMC), https://www.are.admin.ch/are/en/home/ transport-and-infrastructure/data/mtmc.html (accessed 10 May, 2017).
- 574 [25] Swiss Federal Statistical Office, Population size and population composi-575 tion - Permanent population in year 2013 per canton, male and female be-576 tween 18-24 years, https://www.pxweb.bfs.admin.ch/default. 577 aspx?px_language=fr (accessed October 10, 2016).
- [26] J. A. Bogaards, M. Xiridou, V. M. H. Coupé, C. J. L. M. Meijer,
 J. Wallinga, J. Berkhof, Model-based estimation of viral transmissibility
 and infection-induced resistance from the age-dependent prevalence of
 infection for 14 high-risk types of human papillomavirus, American Journal of Epidemiology 171 (7) (2010) 817–825. doi:10.1093/aje/kwp466.
 - [27] H. C. Johnson, K. M. Elfström, W. J. Edmunds, Inference of Type-Specific HPV Transmissibility, Progression and Clearance Rates: A Mathematical Modelling Approach, PLoS ONE 7 (11) (2012) e49614. doi:10.1371/journal.pone.0049614.
 - [28] R Core Team, R: A Language and Environment for Statistical Computing, R Foundation for Statistical Computing, Vienna, Austria (2016).
 - [29] O. Diekmann, J. a. P. Heesterbeek, J. a. J. Metz, On the definition and the computation of the basic reproduction ratio R0 in models for infectious diseases in heterogeneous populations, Journal of Mathematical Biology 28 (4) (1990) 365–382. doi:10.1007/BF00178324.
- [30] O. Diekmann, J. A. P. Heesterbeek, M. G. Roberts, The construction of next-generation matrices for compartmental epidemic models, Journal of the Royal Society Interface 7 (47) (2010) 873–885.
 doi:10.1098/rsif.2009.0386.
- [31] M. Termote, D. Gouvreau, La situation démolinguistique du Québec, http://www.cslf.gouv.qc.ca/bibliotheque-virtuelle/publication-html/?tx_iggcpplus_pi4%5bfile%5d=publications/pubb128/b128ch1.html#02 (accessed October 11, 2016).
- [32] E. Jeannot, C.-A. Wyler, A. Meynard, B. Kaiser, P. Sudre, J. Alvarin, P. Chastonay, Factors associated with HPV immunization for 13-14-year-old girls in the Geneva district, Switzerland, Revue D Epidemiologie Et De Sante Publique 61 (6) (2013) 513–518. doi:10.1016/j.respe.2013.07.683.
- [33] L. Ribassin-Majed, R. Lounès, S. Clémençon, Modeling Human Papillomavirus transmission. Impact of a quadrivalent vaccine., 17 pages (Nov. 2010).
- [34] L. E. Markowitz, S. Hariri, C. Lin, E. F. Dunne, M. Steinau, G. Mc-Quillan, E. R. Unger, Reduction in Human Papillomavirus (HPV) Prevalence Among Young Women Following HPV Vaccine Introduction in the United States, National Health and Nutrition Examination Surveys, 2003–2010, Journal of Infectious Diseases 208 (3) (2013) 385–393. doi:10.1093/infdis/jit192.
 - URL http://jid.oxfordjournals.org/content/208/3/385