

1 **Caterpillars lack a resident gut microbiome**

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47 **Abstract**

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49 Many animals are inhabited by microbial symbionts that influence their hosts’  
50 development, physiology, ecological interactions, and evolutionary diversification. However,  
51 firm evidence for the existence and functional importance of resident microbiomes in larval  
52 Lepidoptera (caterpillars) is lacking, despite the fact that these insects are enormously diverse,  
53 major agricultural pests, and dominant herbivores in many ecosystems. Using 16S rRNA gene  
54 sequencing and quantitative PCR, we characterized the gut microbiomes of wild leaf-feeding  
55 caterpillars in the United States and Costa Rica, representing 124 species from 16 families.  
56 Compared with other insects and vertebrates assayed using the same methods, the microbes we  
57 detected in caterpillar guts were unusually low-density and highly variable among individuals.  
58 Furthermore, the abundance and composition of leaf-associated microbes were reflected in the  
59 feces of caterpillars consuming the same plants. Thus, microbes ingested with food are present  
60 (though possibly dead or dormant) in the caterpillar gut, but host-specific, resident symbionts are  
61 largely absent. To test whether transient microbes might still contribute to feeding and  
62 development, we conducted an experiment on field-collected caterpillars of the model species  
63 *Manduca sexta*. Antibiotic suppression of gut bacterial activity did not significantly affect  
64 caterpillar weight gain, development, or survival. The high pH, simple gut structure, and fast  
65 transit times that typify caterpillar digestive physiology may prevent microbial colonization.  
66 Moreover, host-encoded digestive and detoxification mechanisms likely render microbes  
67 unnecessary for caterpillar herbivory. Caterpillars illustrate the potential ecological and  
68 evolutionary benefits of independence from symbionts, a lifestyle which may be widespread  
69 among animals.

70

71 **Introduction**

72

73 Many animals are colonized by microbial symbionts that have beneficial and  
74 fundamentally important impacts on host biology. Microbes can regulate animal development,  
75 immunity and metabolism, mediate ecological interactions, and facilitate the evolutionary origin  
76 and diversification of animal clades (1–7). These integral host-microbe relationships have led to  
77 the notion that all animals can be conceptualized as “holobionts” (8–10), superorganism-like  
78 entities composed of the host plus its microbiome—defined here as the entire assemblage of  
79 commensal, pathogenic, and mutualistic microorganisms (11). Furthermore, the recent  
80 proliferation of microbiome surveys supports a widely held assumption that microbial symbioses  
81 are universal across animals (12, 13).

82 The Lepidoptera (butterflies, moths, and their caterpillar larvae), despite being key  
83 components of most terrestrial foodwebs and extraordinarily diverse (14), are one group in which  
84 the role of microbes remains ambiguous. Here we focus on caterpillars, which are the main—and  
85 in some Lepidoptera, the exclusive—feeding stage, and which have long been intensively studied  
86 in many fields (15). The vast majority of caterpillars are herbivores, and some insect herbivores  
87 rely on microbes to supplement missing nutrients, neutralize toxins, or digest plant cell walls (16,  
88 17). However, considering caterpillars’ simple gut morphology and rapid digestive throughput, it  
89 has been speculated that microbes may be unable to persist in the caterpillar gut and do not  
90 contribute to digestion (18, 19). Indeed, microscopy-based studies report no, or minimal,  
91 microbial growth within the caterpillar gut (20–22).

92 DNA- and culture-based investigations of caterpillar gut microbiomes have produced  
93 mixed findings, with conflicting implications for microbial involvement in caterpillar biology.  
94 Some studies report a highly abundant and consistent bacterial community (23–25),  
95 characteristics that may indicate a functional association with the host. Others report high  
96 intraspecific variability in composition, and similarity between diet- and gut-associated microbes  
97 (26–29). Inconsistencies may arise from methodological factors such as contamination of low-  
98 biomass samples (30), starvation prior to sampling, sequencing of extracellular DNA (31), and  
99 the use of laboratory-raised insects or artificial diets (27, 32, 33). Regardless of these potential  
100 biases, when studying gut microbiomes, it is often difficult to distinguish between dead or  
101 dormant passengers (“transients” (34)) and persistent, living populations (“residents” (34) or  
102 “symbionts” *sensu* (35)). Further, microbes in the latter category may be parasitic or pathogenic,  
103 as well as beneficial. While microbes were known to cause disease in caterpillars as early as  
104 Louis Pasteur’s experiments on silkworms (36), their potential importance as mutualists remains  
105 unclear.

106 Do caterpillars depend on gut microbes for feeding and development? To answer this  
107 question, we surveyed microbiomes of a taxonomically and geographically broad array of wild  
108 caterpillars and conducted a field-based experiment on the model species *Manduca sexta*  
109 (Sphingidae). Our analyses are focused on the digestive tract, the most likely habitat for  
110 microbial colonization, as abundant microbes have not been observed elsewhere in the caterpillar  
111 body (32, 37). First, we characterized gut microbial abundance and composition across 124  
112 species of actively feeding caterpillars in Costa Rica and the United States. We applied the same  
113 methods to 24 additional insect, bird, and mammal species that we expected to have functional  
114 microbiomes, to assess the reliability of our protocol and to contextualize our findings. Second,  
115 we experimentally tested whether gut bacteria impact the growth and survival of *M. sexta*. Our  
116 findings question the generality of animal-microbe symbioses, and may inform a multitude of  
117 research programs based on caterpillar herbivory in both natural and managed ecosystems (e.g.,  
118 (38–41)).

119

## 120 **Results**

121

### 122 *Survey of caterpillar gut microbiomes*

123

124 Using quantitative PCR and sequencing of the 16S rRNA gene, we found that wild  
125 caterpillars representing a broad diversity of Lepidoptera had gut bacterial densities multiple  
126 orders of magnitude lower than the whole-body microbiomes of other insects and vertebrate  
127 feces measured using identical methods ( $p < 0.0001$ , Fig. 1A, Table S1). Some animals host  
128 symbiotic fungi (42), but fungal biomass was also lower in caterpillar guts relative to other  
129 insects and vertebrates (median  $6.1 \times 10^2$  vs.  $9.5 \times 10^4$  rRNA gene copies per gram,  $p < 0.0001$ ).  
130 As another line of evidence of low microbial biomass, sequence libraries from caterpillar fecal  
131 samples were dominated by plant DNA. Though there was extensive variability, typically more  
132 than 80% of 16S rRNA gene sequences in caterpillar feces were from plant chloroplasts or  
133 mitochondria, versus 0.1% for other herbivores or omnivores with plant-rich diets ( $p < 0.0001$ ,  
134 Fig. 1B). In a subset of caterpillars from which we sampled whole, homogenized midgut and  
135 hindgut tissue, plant DNA represented an even higher proportion of sequences in guts than in  
136 feces (Fig. S1A). This pattern is more likely a function of plant DNA degradation during

137 intestinal transit than of bacterial proliferation, as bacterial density remained similar or decreased  
138 slightly from midgut to feces, depending on the caterpillar species (Fig. S1B).

139 Caterpillar gut bacterial assemblages also exhibited a high degree of intraspecific  
140 variability, as shown by higher beta diversity within caterpillar species relative to other insects  
141 and vertebrates ( $p = 0.0002$ ). Such variability could indicate that the microbes found in  
142 caterpillar guts are generally transient, as animals with functionally important, resident  
143 microbiomes tend to host a high abundance of microbial taxa shared among conspecific  
144 individuals (e.g., (43–45)). In agreement with this expectation, within most species of the other  
145 animals analyzed here, microbiomes were largely made up of a common set of bacterial  
146 phylotypes. For example, >99% of sequences in any one honeybee belonged to phylotypes found  
147 in all honeybees included in the analysis. In contrast, even when raised on the same species of  
148 food plant under identical conditions, caterpillars had a much lower proportion of their gut  
149 bacterial assemblage belonging to core phylotypes (median 19.5%,  $p < 0.0001$ ; Fig. 1C). In  
150 *Schausiella santarosensis*, which among caterpillars had the highest median core size of ~50%,  
151 four of its six core phylotypes belong to *Methylobacterium*, a typical inhabitant of leaf surfaces  
152 (46). This observation hints that many of the core phylotypes which were found in caterpillars  
153 may be transient, food-derived microbes.

154 In addition to low total abundance and high inter-individual variability, caterpillar gut  
155 bacterial assemblages are dominated by leaf-associated taxa, further suggesting that resident,  
156 host-specific symbionts are sparse or absent. The bacterial phylotypes present in the feces of at  
157 least half of the sampled caterpillar individuals are *Staphylococcus*, *Escherichia*,  
158 *Methylobacterium*, *Klebsiella/Enterobacter*, *Enterococcus*, and *Sphingomonas* (Table S2). In  
159 Colorado and Costa Rica, we sampled leaf-associated bacteria from the same plant individuals  
160 consumed by the sampled caterpillars to examine whether leaves are a potential source of these  
161 taxa. Of the aforementioned phylotypes, all but *Staphylococcus*—a potential caterpillar pathogen  
162 (47) or, like *Corynebacterium*, a transient from human skin (48)—are also among the ten most  
163 common phylotypes found in leaf samples (Table S2). Across caterpillar individuals, a median  
164 89.6% (interquartile range: 80.2–99.0%) of fecal bacterial sequences belonged to phylotypes  
165 detected on leaves. However, bacterial assemblages were not identical between leaves and  
166 caterpillar feces ( $p = 0.001$ ). Besides the potential growth of parasites and/or mutualists in the  
167 gut, this difference could arise from digestion filtering out subsets of the leaf bacterial  
168 community.

169 Transient input of leaf-associated microbes could explain the substantial variation we  
170 observed in caterpillar gut bacterial loads (Fig. 1A). Leaf bacterial densities were highly variable  
171 within (tomato) and between (milkweed, eggplant, tomato) plant species, and this variation was  
172 reflected in the feces of monarch (*Danaus plexxipus*) and *M. sexta* caterpillars feeding on them  
173 ( $R^2 = 0.24$ ,  $p = 0.03$ ; Fig. 2A). Furthermore, bacterial densities dropped by a median of 214-fold  
174 from leaves to feces, suggesting that any potential bacterial growth within the gut is relatively  
175 minor (Fig. 2A). The extent of this reduction varied widely (from 5 to 8400-fold, Fig. 2A),  
176 possibly because of inter-individual or interspecific differences in physiological traits that may  
177 eliminate leaf microbes, such as gut pH. As with patterns in total abundance, variation in  
178 bacterial taxonomic composition among leaves and caterpillar feces was correlated (Mantel  $r =$   
179  $0.28$ ,  $p = 0.001$ ; Fig. 2B). In other words, caterpillars consuming leaves with more distinct  
180 bacterial assemblages produce more distinct bacterial assemblages in their feces, as would be  
181 expected from a digestive system in which microbes are diet-derived and only transiently  
182 present. Moreover, this process could explain a potential relationship between host relatedness

183 and microbiome structure, a pattern sometimes interpreted to indicate functional host-symbiont  
184 interactions (49). Specifically, although confamilial caterpillars in Costa Rica had marginally  
185 more similar gut bacterial assemblages than did caterpillars in different families ( $p = 0.053$ ), they  
186 had also been feeding on plants with especially similar leaf microbiomes ( $p = 0.005$ ).

187  
188 *Test of microbiome function in Manduca sexta*

189  
190 Supporting our claim that caterpillars lack resident, functional gut microbiomes, we show  
191 experimentally that the growth and survival of field-collected *Manduca sexta* caterpillars are not  
192 dependent on gut bacterial activity. As measured by qPCR, wild *M. sexta* contain ~61,000-fold  
193 lower bacterial loads than expected from allometric scaling relationships based on animals with  
194 resident microbiomes ((50), Fig. S2). Feeding *M. sexta* antibiotics reduced this already low  
195 number of gut bacteria by 14- to 365-fold (range of medians across dosages), as measured using  
196 culture-dependent methods ( $R^2 = 0.13$ ,  $p = 0.003$ , Fig. S3A). These colony counts were  
197 positively correlated with the number of 16S rRNA gene copies ( $r = 0.38$ ,  $p = 0.003$ ; Fig. S3B).  
198 Suppression of viable bacteria had no effect on pupal weight (antibiotics:  $p = 0.45$ ; sex:  $p =$   
199  $0.014$ ; interaction:  $p = 0.70$ ; Fig. 3), which is correlated with fecundity in insects (51), nor on  
200 development time (antibiotics:  $p = 0.19$ ; sex:  $p = 0.023$ ; interaction:  $p = 0.63$ ; Fig. S4A).  
201 Likewise, antibiotic treatment did not affect survival from larval hatching to adult emergence ( $p$   
202  $= 0.19$ , Fig. S4B), nor generally impact total feces production, which is an integrated measure of  
203 leaf consumption and assimilation efficiency (antibiotics:  $p = 0.07$ ; sex:  $p = 0.002$ ; interaction:  $p$   
204  $= 0.048$ ). As expected with *M. sexta* (52) we found clear sexual size dimorphism, suggesting our  
205 experimental design had sufficient power to detect biologically meaningful differences. Given  
206 that antibiotics reduced fecal bacteria to a variable extent within and among treatments (Fig.  
207 S3A), we repeated the aforementioned analyses using gut bacterial abundance as the predictor  
208 variable. In all cases there was no significant relationship with host performance ( $p > 0.1$ ),  
209 further indicating that reducing or eliminating gut bacteria from caterpillars does not negatively  
210 impact *M. sexta* fitness.

## 211 212 **Discussion**

213  
214 Consistent with previous microscopy-based (20–22, 53) and molecular studies (26–29),  
215 we found that resident microbial symbionts are generally absent or present only in low numbers  
216 in caterpillar guts. As expected for herbivores consuming microbe-rich leaf tissue, diet-derived  
217 microbes are transiently present in caterpillar guts, wherein they may be dead or inactive. That  
218 the microbial biomass in caterpillar guts is far lower than in the guts or whole bodies of many  
219 other animals (Fig. 1A), and also lower than in their food (Fig. 2A), suggests a lack of persistent  
220 microbial growth within the gut. Moreover, any potential microbial metabolism might be too  
221 limited to substantially affect digestive processes, as illustrated by our observation that *Manduca*  
222 *sexta* caterpillars contain microbial loads orders of magnitude lower than comparably sized  
223 animals with resident microbiomes (Fig. S2). In addition to low abundance, the composition of  
224 microbes detected in caterpillar guts is highly variable among conspecific individuals (Fig. 1C).  
225 Lacking stable populations of core microbial taxa, caterpillar gut microbiomes may be easily  
226 influenced by the idiosyncrasies of which microbes are present on a given leaf and in what  
227 abundance, and which leaf microbes can survive transit through the digestive tract. Ingested  
228 microbes which die within the host may still be beneficial as a food source or by stimulating the

229 immune system, but are not themselves symbionts (following the original definition of symbiosis  
230 as the “living together of different species” (referenced in (35)).

231 Based on the experiment with *M. sexta*, it is unlikely that microbes have cryptic, but  
232 essential, functions in caterpillar guts. Antibiotic suppression of viable gut bacterial loads in *M.*  
233 *sexta* had no apparent negative consequences, contrasting sharply with the many examples of  
234 major reductions in host growth or survival upon removal of beneficial symbionts (e.g., (54–  
235 56)). If anything, caterpillars treated with antibiotics showed slight (but not statistically  
236 significant) increases in performance (Fig. 3, Fig. S4B). Antibiotics increase the weight gain of  
237 laboratory-bred caterpillars (57–59), and commercially made caterpillar diets often contain  
238 antibiotics. This effect, also observed in livestock (60), might reflect microbial parasitism  
239 occurring in even apparently healthy caterpillars, and/or costly immune responses to the presence  
240 of pathogens (61). Aside from known leaf-specialists, some of the most frequently detected  
241 bacterial genera in this study (Table S2), including *Acinetobacter*, *Clostridium*, *Enterobacter*,  
242 *Enterococcus*, *Escherichia*, and *Staphylococcus*, have been reported to cause disease in  
243 caterpillars under some circumstances (37, 47, 62, 63).

244 The lack of a resident gut microbiome in caterpillars may directly result from a digestive  
245 physiology that is particularly unfavorable to microbial growth (18). The midgut, the largest  
246 section of the digestive tract wherein caterpillars digest leaf material and absorb the resulting  
247 nutrients (64), is a hostile environment for microbes (24). It is highly alkaline, with pH values  
248 often >10 (65) and as high as 12 (66), and contains host-encoded antimicrobial peptides (67).  
249 Additional attributes of the caterpillar gut that may hinder microbial colonization include a  
250 simple tube-like morphology without obvious microbe-housing structures (18), a continually  
251 replaced lining (the peritrophic matrix) covering the midgut epithelium (68) which may prevent  
252 biofilm formation, and short retention times (food transit takes ~2 hours in *M. sexta* (69)).  
253 Although some insects harbor symbionts in specialized organs (53), to our knowledge, similar  
254 structures have not been reported in caterpillars. Buchner’s foundational survey of animal  
255 endosymbiosis describes Lepidoptera only as “a group in which no symbiont bearers have been  
256 discovered” ((53), p. 817). Moreover, previous studies did not find abundant microbes outside of  
257 the gut (32, 37).

258 Without the aid of microbial symbionts, how are caterpillars able to overcome the dietary  
259 challenges posed by herbivory? First, caterpillars use a combination of mechanical disruption,  
260 endogenously produced digestive enzymes, and high pH to extract easily solubilized nutrients,  
261 primarily from the contents of plant cells (18, 70, 71). Although this method of processing leaves  
262 is relatively inefficient, essential nutrients are not totally absent, so that caterpillars can  
263 compensate by simply eating more (18, 64). Some insects likely require microbes for  
264 detoxification (16), but many caterpillars possess host-encoded mechanisms for degrading or  
265 resisting plant allelochemicals (72). However, there may be a vestigial role for microbes in these  
266 processes, as genomes of many Lepidoptera contain microbial genes encoding enzymes with  
267 related functions (73, 74). These gene acquisitions may have enabled a symbiont-free feeding  
268 strategy.

269 The caterpillars surveyed here are likely to be representative of most externally leaf-  
270 feeding Lepidoptera, as we included a range of families, habitat types, and diet breadths from  
271 monophagous to highly generalist. However, a lack of resident gut microbiome in the caterpillar  
272 may not apply to the adult butterfly or moth. Compared with larvae, adult butterflies host distinct  
273 bacterial communities (32) and high gut microbial loads (75). Many other Lepidoptera lack  
274 functioning mouthparts or digestive tracts as adults, and in these groups microbes may be

275 altogether irrelevant to digestion or nutrition. However, we cannot exclude the possibility that  
276 microbial symbionts may influence host fitness by their potential activities in eggs or pupae.

277 The extraordinary diversity and abundance of Lepidoptera (14) indicates that a symbiont-  
278 independent feeding strategy can be highly successful. Perhaps such success reflects a release  
279 from constraints imposed on other animals that do host and depend on symbionts. There are costs  
280 to engaging in mutualisms (e.g., (76–78)), and in a gut microbiome context one cost includes  
281 nutrient competition between host and microbes (60). A high availability of food allows  
282 caterpillars to “skim the cream” (64), assimilating simple nutrients that might otherwise be used  
283 by gut microbes and excreting recalcitrant material. In other words, “Why not do the digestion  
284 yourself rather than pay someone else to do it?” ((79), p. 53). Other costs include the risk of gut  
285 microbes becoming pathogenic (80, 81), and the potential for pathogens to exploit a gut  
286 environment that is hospitable to microbial mutualists. The extreme conditions in the caterpillar  
287 midgut may instead exclude all microbial growth, providing some degree of protection against  
288 disease.

289 Dependence on microbes with different physiological tolerances than the host constrains  
290 overall niche breadth (7, 77). As compared with groups lacking functional microbiomes, animals  
291 whose biology is heavily influenced by microbial mutualists may be less able to switch to new  
292 food plants or new habitats over evolutionary time. Indeed, it has been argued that while  
293 microbial symbioses can provide novel ecological functions, they may also increase the  
294 extinction risk of host lineages (7, 82). As Lepidoptera represent one of the most speciose animal  
295 radiations (83), a conspicuous question is whether independence from microbes may, in some  
296 cases, facilitate animal diversification.

297 Caterpillars do not appear to be unique in lacking a resident microbiome that is important  
298 for feeding and development. Microbiomes of walking sticks (84), sawfly larvae (85, 86), a  
299 saprophagous fly (87), a parasitic horsehair worm (88), a leaf beetle (89, 90), and certain ants  
300 (91) display features similar to those we observed in caterpillars. In fact, our data suggest that  
301 some vertebrates also have minimal gut microbiomes, and these species may feed relatively  
302 autonomously. Feces of the herbivorous brent goose (*Branta bernicla*) had low bacterial loads  
303 and a high proportion of plant DNA, and the insectivorous little brown bat (*Myotis lucifugus*) had  
304 similarly low fecal bacterial loads (Figs. 1A,B; Table S1). These species exhibit caterpillar-like  
305 physiological traits such as a relatively short gut and rapid digestive transit (92, 93). Additional  
306 examples in the microbiome literature might be obscured by contaminants masquerading as  
307 mutualists (94), a frequent lack of quantitative information (91) and experimental validation of  
308 microbial function *in vivo*, and publication bias against “negative results.”

309 While recent literature has documented extraordinary variation in the types of services  
310 provided by microbial symbionts, less explored is variation in the degree to which animals  
311 require any such services. Animals likely exist on a spectrum from tightly integrated host-  
312 microbe holobionts to simply animals, *sensu stricto*, in which a microbial presence is only  
313 relictual (i.e. mitochondria and horizontally transferred genes). Documenting the existence of  
314 microbially independent animals as well as their ecological, physiological and phylogenetic  
315 contexts, is a first step toward understanding the causes and consequences of evolutionary  
316 transitions along this continuum.

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## 321 **Methods**

322

323 *Sampling and Sequencing.* Caterpillar fecal samples (N=185) were obtained from actively  
324 feeding, field-collected individuals in AZ, CO, MA, and NH, USA, and Área de Conservación  
325 Guanacaste, Costa Rica. To sample plant microbiomes, we collected leaves from the same  
326 branch used to feed caterpillars prior to fecal or gut sampling. Sequence composition was  
327 corelated between feces and guts (*Supplemental Methods*), in line with a previous finding (32).  
328 All samples were preserved in 95% ethanol or dry at -20°C (90). We extracted DNA, PCR-  
329 amplified the 16S rRNA V4-V5 gene region and sequenced amplicons on an Illumina MiSeq in  
330 the same manner as previous insect microbiome studies (32, 90). These DNA extracts and  
331 primers were also used for quantitative PCR, which provides microbial biomass estimates  
332 concordant with those from microscopy (91) and culturing (Fig. S3B). We did not find evidence  
333 that low amplification of caterpillar fecal bacteria is due to primer bias, PCR inhibitors, or  
334 storage methods (*Supplemental Methods*).

335

336 *Antibiotic Experiment.* We collected *Manduca sexta* eggs from *Datura wrightii* plants near  
337 Portal, AZ, USA. 72 newly hatched larvae were randomly and evenly divided among six  
338 treatments varying from 0-1.68 mg total antibiotics per ml distilled water, and reared in separate  
339 unused plastic bags on *D. wrightii* foliage at the Southwestern Research Station. Water with or  
340 without antibiotics was sprayed onto leaves, which were briefly dried prior to feeding. The  
341 compounds used (rifampicin, tetracycline, streptomycin, in a 1:2:4 ratio) suppressed bacterial  
342 symbionts in other insect herbivores (55, 95). We collected a fresh fecal pellet from each  
343 caterpillar midway through the final instar, from which one subsample was cultured on LB  
344 media, and another used for qPCR and sequencing with the aforementioned protocol. Pupae were  
345 weighed six days after pupation and monitored daily for adult eclosion.

346

347 *Data Analysis.* Statistical analyses were conducted in R (96). Differences in bacterial loads, core  
348 sizes, and *M. sexta* performance variables were tested using linear models; residuals were  
349 visually inspected for Gaussian structure. The betadisper function in the vegan package was used  
350 to compare intraspecific beta diversity. *M. sexta* survival was analyzed using logistic regression.  
351 We used a Mantel test to estimate the rank correlation between leaf and fecal microbiome  
352 dissimilarities. A Wilcoxon test was used for proportions of plant DNA. Differences in  
353 community composition were analyzed using PERMANOVA. DNA sequences, metadata, and R  
354 code available at doi:10.6084/m9.figshare.4955648.

355

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## 581 582 **Figure Legends**

583  
584 **Figure 1.** Comparisons of bacterial densities, proportions of plant DNA in sequence libraries,  
585 and intraspecific variability between caterpillars and other animals expected to host functional  
586 microbiomes. Medians are indicated by black dashed lines, and points are horizontally jittered.  
587 Data for each species are listed in Table S1. One caterpillar species yielding <100 total  
588 sequences was excluded. A) The density of bacterial 16S rRNA gene copies in caterpillar feces  
589 versus fecal (vertebrates) or whole-body homogenate (other insect) samples of other animals  
590 (N=121 caterpillar species, 24 other species). Two caterpillar species with lower amplification  
591 than DNA extraction blanks are not shown. For species with multiple replicates, the median is  
592 plotted. B) The proportion of sequence libraries assigned to plant chloroplast or mitochondrial  
593 16S rRNA (N=123 caterpillars, 21 other herbivores). C) The proportion of sequences belonging  
594 to core phylotypes, defined as those present in all conspecific individuals. Included are species  
595 with at least three replicates with >100 bacterial sequences each (N=7 caterpillars, 19 other

596 animals). For species with more than three, points show the median core size across all  
597 combinations of three individuals, and error bars show the interquartile range.

598  
599 **Figure 2.** The abundance and composition of bacteria present in caterpillar fecal samples, as  
600 compared with paired diet (leaf) samples. A) The density of bacterial 16S rRNA gene copies in  
601 ground leaves versus feces, for 16 individuals collected in Colorado. Parallel lines indicate the  
602 association observed between plant and fecal bacterial abundances across paired samples. B) The  
603 correlation between beta diversity (Bray-Curtis dissimilarity metric) across caterpillar fecal  
604 samples collected in Costa Rica, and their paired leaf surface samples (N=24 caterpillar species,  
605 19 plant species; 26 individuals each). Here only samples with >2,000 sequences are shown, to  
606 facilitate visualization.

607  
608 **Figure 3.** Increasing concentration of an antibiotic cocktail, delivered by a spray applied to  
609 *Datura wrightii* leaves prior to feeding, does not reduce *Manduca sexta* growth (N=62). Males  
610 and females are plotted separately, as they were expected to differ in size. Fresh weight was  
611 measured six days after pupation. Pupal weight correlates with adult fecundity and is often used  
612 as a proxy of insect fitness.

613  
614 **Figure S1.** Community composition, bacterial density, and midgut pH in five caterpillar species  
615 (Saturniidae) from Área de Conservación Guanacaste (ACG), Costa Rica. A) The composition of  
616 sequence libraries from the leaf surface, midgut, hindgut, and feces. The median across five  
617 replicate individuals is displayed. The food plant species is indicated in parentheses. Note that  
618 one species, *Eacles imperialis*, was reared separately on two plant species. Only plant chloroplast  
619 or mitochondrial sequences, reagent contaminants, and the top 10 bacterial genera (among the  
620 dissected individuals only) are shown; the remainder of the community (summing to 1)  
621 represents sequences from a variety of low-abundance taxa. B) The number of bacterial 16S  
622 rRNA gene copies per gram (fresh weight) in homogenized midgut or hindgut tissue and feces  
623 (N=5 individuals per species, except *E. imperialis* with 10 individuals (5 each on two plant  
624 species)). C) Photographs of each species taken in ACG.

625  
626 **Figure S2.** Allometric scaling of whole-individual microbial loads with body size. Triangles and  
627 the solid line show data replotted from (50), which were originally measured using microscopy  
628 or culturing. Circles show data generated in this study, using quantitative PCR. The dashed  
629 regression line is calculated from a model only including non-caterpillar species analyzed in this  
630 study, limited to those species with bacterial densities not less than 1/100 of the group median.  
631 The red horizontal dotted line indicates the median per-caterpillar bacterial load for 17 *Manduca*  
632 *sexta* individuals collected in Colorado (N=15) or Arizona (N=2). The photograph is *M. sexta*  
633 feeding on *D. wrightii*.

634  
635 **Figure S3.** The relationships between antibiotic dose, the number of bacterial colony-forming  
636 units cultured on LB media, and the number of bacterial 16S rRNA gene copies measured by  
637 qPCR. Fecal samples that yielded no cultured colonies are plotted at  $10^0$  on  $\log_{10}$  axes; for these,  
638 nonzero estimates of 16S rRNA gene copies are likely due, in large part, to amplification of  
639 DNA from dead or nonviable cells (see *Supplemental Methods*). A) Effect of antibiotic treatment  
640 on the number of culturable bacteria in caterpillar feces. Points are individual caterpillars (N=60)  
641 and are horizontally jittered for clarity. Dashed lines are medians for each treatment. B)

642 Correlation of bacterial density as measured by culturing versus by DNA quantification. The 1:1  
643 line between the two variables is shown.

644

645 **Figure S4.** The relationship between antibiotic treatment and other components of *M. sexta*  
646 fitness. 12 individuals, randomly selected from the population, were used to initiate each group.  
647 A) Number of days from larval hatching from eggs to the cessation of feeding, which marks the  
648 beginning of the prepupal stage. Shown are the 64 individuals that survived to this point. We  
649 were unable to identify the sex of two individuals. B) The proportion of individuals surviving  
650 from larval hatch to adult eclosion, for the control group and each antibiotic treatment.

651

652 **Figure S5.** Two tests for PCR inhibitory substances in caterpillar feces. A) Fecal DNA from  
653 eight *M. sexta* individuals, arranged left-right by decreasing 16S rRNA gene copy number in  
654 original extracts. For each individual,  $\log_{10}$ (16S rRNA gene copies) is shown for the original  
655 sample, and for and extracts diluted 1:10 and 1:100 in pure water. Copy number estimates are  
656 standardized per  $\mu$ l of original DNA extract. Note that variability between technical replicates  
657 increases with low concentrations of template DNA. One sample, D-0.01, had less amplification  
658 than negative controls and is not shown. B) Amplification (arbitrary units) of rDNA ITS of *B.*  
659 *dendrobatidis*, a chytrid fungus of amphibians, showing 12 replicate controls (PCR-grade water  
660 only) versus 12 reactions to which 5  $\mu$ l of caterpillar fecal DNA was substituted for water.  
661 Means of triplicate reactions are shown. The twelve caterpillar species with the lowest total 16S  
662 rRNA gene copy number were used for this test. Dashed lines show medians for each group.

## Figures

Figure 1.

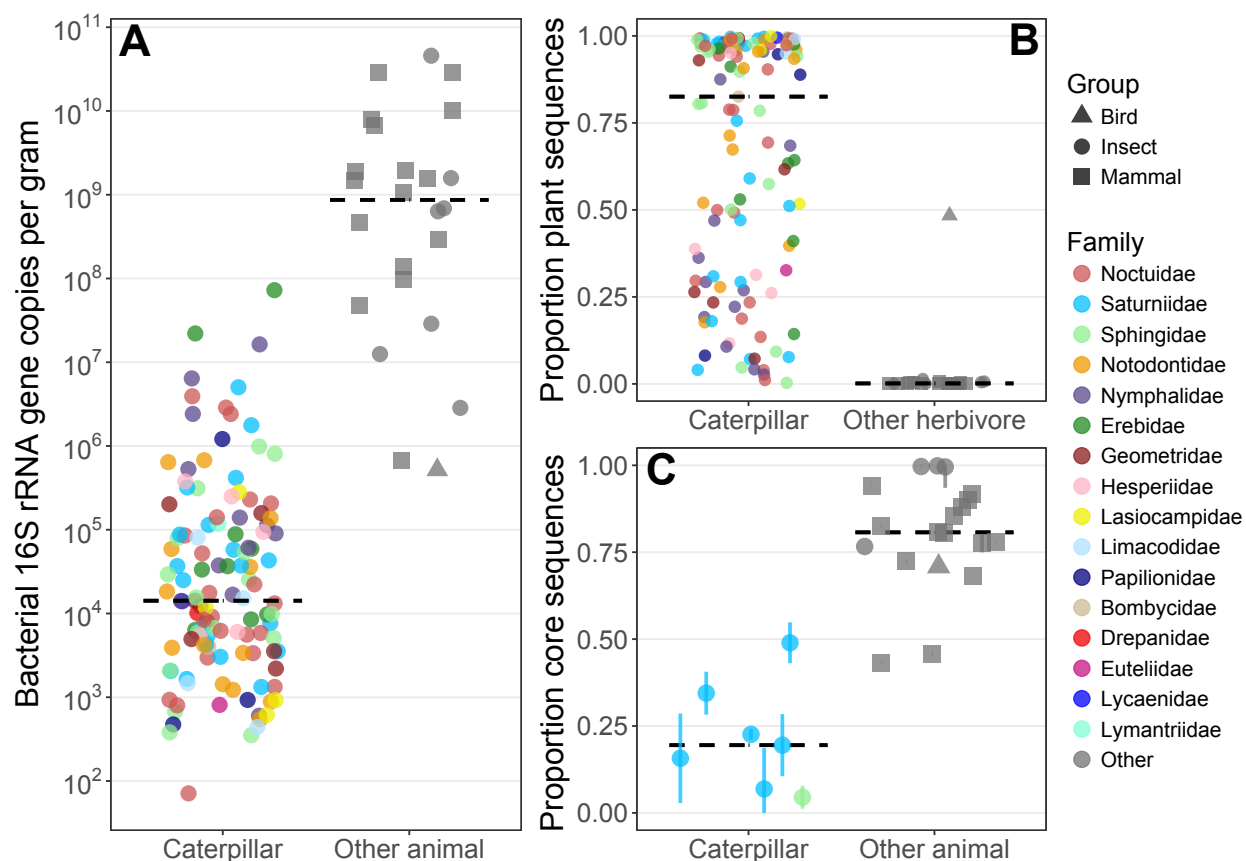
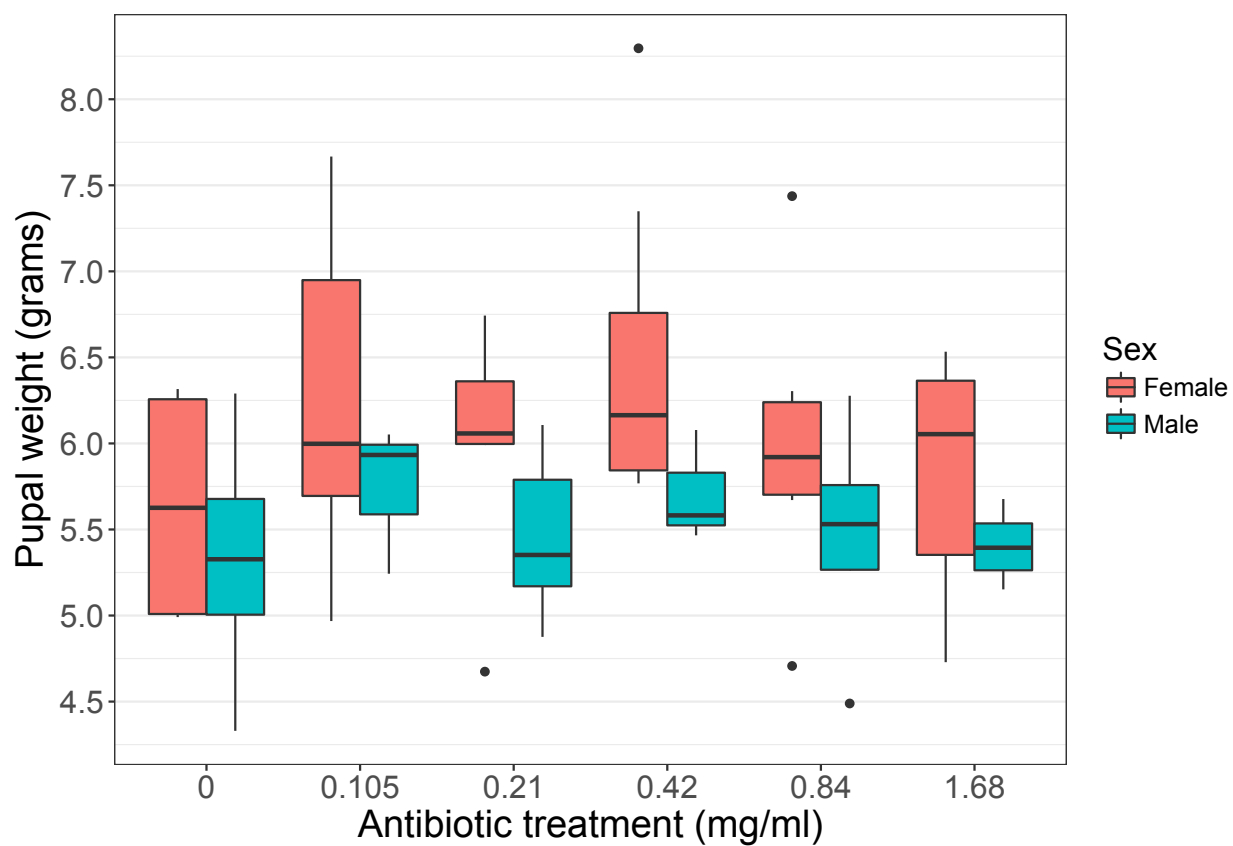


Figure 2.





Figure 3.



## Supplemental Figures

Figure S1.

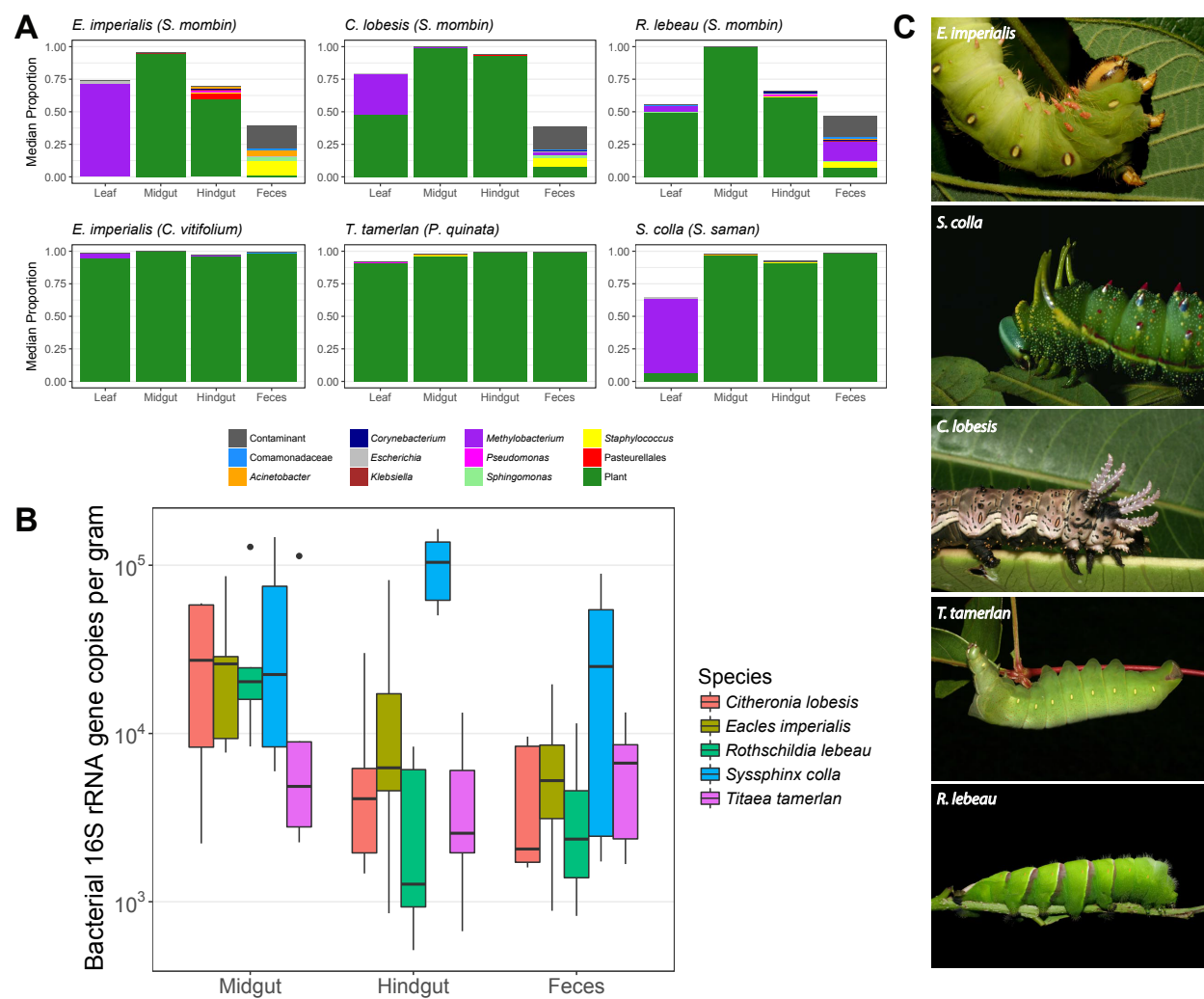


Figure S2.

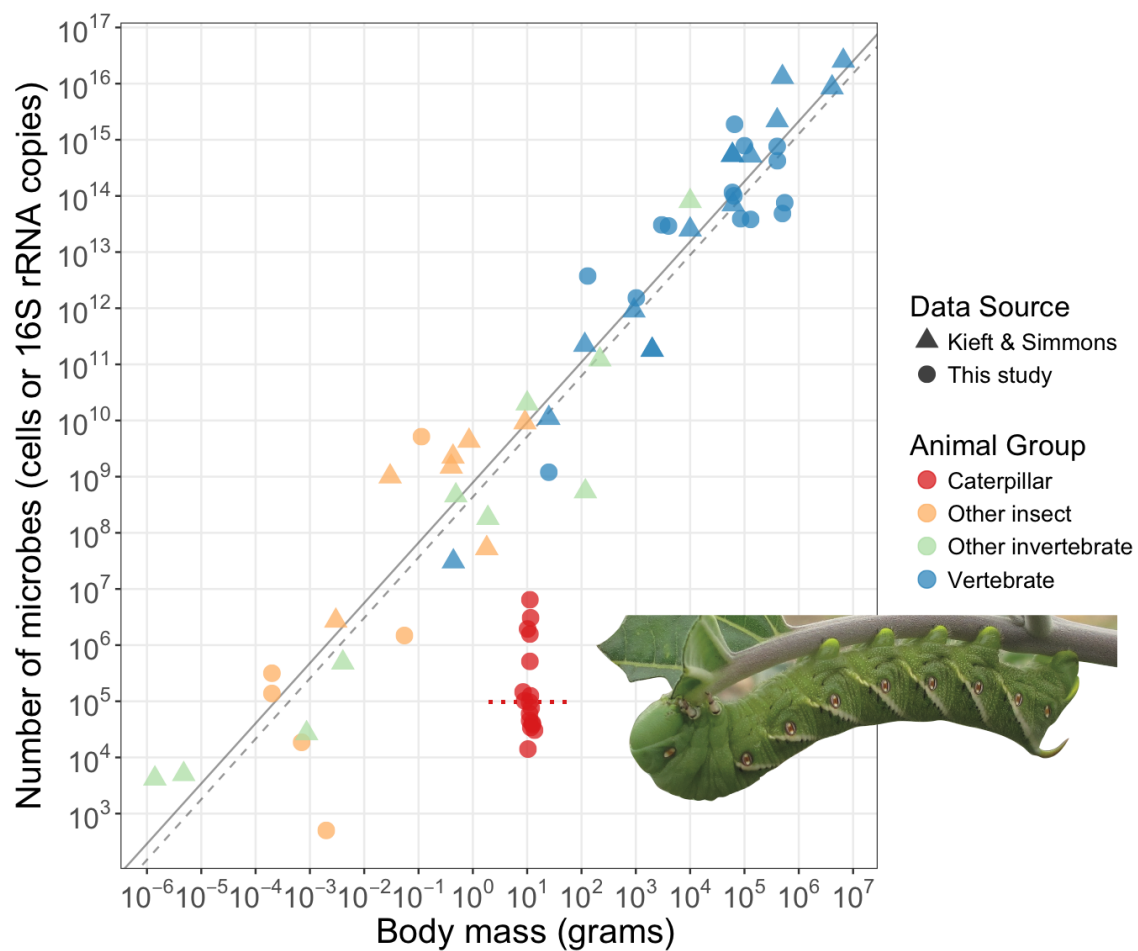
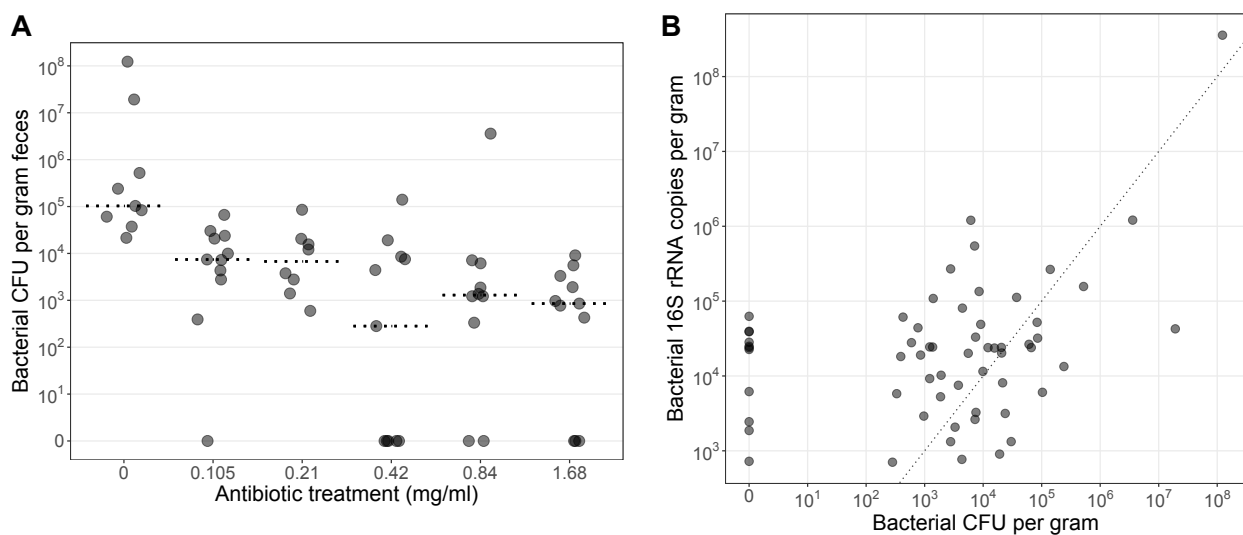
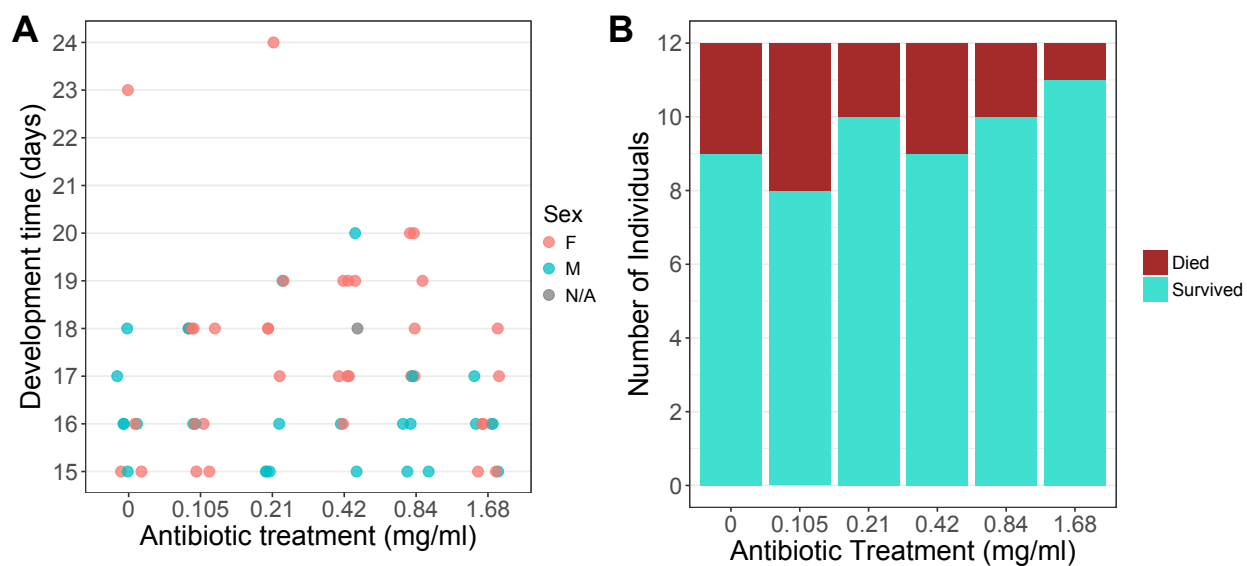


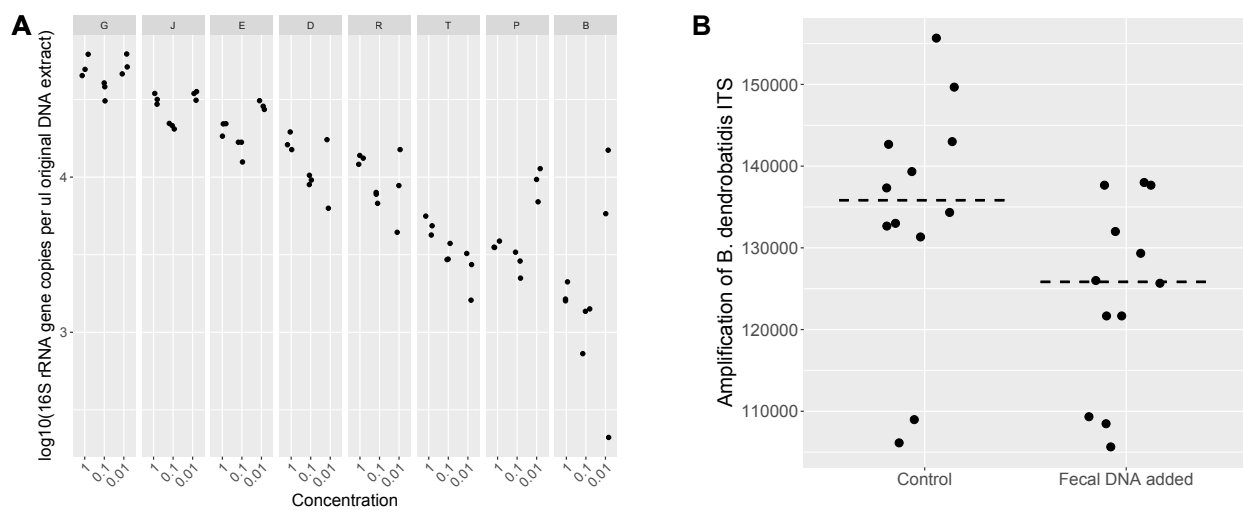
Figure S3.



*Figure S4.*



*Figure S5.*



## 664 Supplemental Methods

665

### 666 *Sampling*

667

668 Fecal samples were obtained from wild populations of caterpillars in four regions: Área  
669 de Conservación Guanacaste (Costa Rica), New Hampshire and Massachusetts (USA), Boulder  
670 County, Colorado (USA), and Portal, Arizona (USA). Caterpillars were collected in ACG under  
671 permit #ACG-PI-027-2015 and in Arizona under a Scientific Use Permit from the United States  
672 Forest Service. For more details about the ACG landscape and collection, rearing, and  
673 identification protocols, see (1–3). Most species were collected as caterpillars, but some ACG  
674 specimens were reared from eggs either found on foliage or laid by females caught at light traps  
675 (see data file “Metadata\_ACG2015”). For some caterpillars we had information on whether they  
676 died of parasitoids or disease after sampling, and these samples were discarded in order to focus  
677 on apparently healthy individuals. Most caterpillars were sampled in the final or penultimate  
678 instar.

679 All samples were preserved within 30 minutes of defecation, as preliminary evidence  
680 suggested rapid (by 6-12 hours) bacterial and fungal growth in excreted fecal pellets, which  
681 would render old feces unsuitable as a proxy for gut microbial communities. In five caterpillar  
682 species, we did not find evidence for abundant bacterial populations in the midgut (including  
683 both ecto- and endoperitrophic spaces) or hindgut that were not captured in feces (Fig. S1A),  
684 supporting a previous finding that caterpillar feces approximates the whole-body microbial  
685 community (4). Further supporting the use of fresh feces to sample microbes in the caterpillar  
686 gut, we found that the inter-individual variation in sequence composition (including nonbacterial  
687 DNA) was reflected in fecal samples (Mantel test: midgut  $r = 0.33$ ,  $p = 0.001$ ; hindgut  $r = 0.39$ ,  $p$   
688  $= 0.001$ ).

689 We preserved gut and fecal samples using either dry storage at  $-20^{\circ}\text{C}$  or 95% ethanol  
690 (Table S1); both methods are suitable for storing insect microbiome samples and do not  
691 substantially alter community composition (5). Approximately 50 mg (fresh weight) of sample  
692 was used for DNA extraction. Prior to DNA extraction, ethanol-preserved samples were dried in  
693 a vacuum centrifuge; since this also evaporated water, their fresh weight equivalent was  
694 estimated using percent water content calculated from *M. sexta* guts or feces. To test whether  
695 microbial biomass estimates may have been biased by ethanol storage, we compared PCR  
696 amplification for paired ethanol-stored and frozen fecal pellets from eight *M. sexta* individuals.  
697 From a collection of pellets defecated by each individual during a 1-2 hour window, separate  
698 pellets were randomly chosen for each storage type (note that pre-storage inter-pellet microbial  
699 variation is possible even under these relatively controlled conditions). As assessed by a linear  
700 mixed-effects model treating individual as a random effect, there was no significant influence of  
701 storage method on 16S rRNA gene copy number ( $\chi^2(1) = 1.09$ ,  $p = 0.30$ ).

702 For caterpillars in Costa Rica and Colorado, we also sampled microbes from leaves of the  
703 same branch as that fed to the caterpillar prior to feces collection. With this strategy we aimed to  
704 maximize microbial similarity between the leaves that were sampled and those consumed by the  
705 caterpillar, although leaf microbiomes can also vary substantially within a branch (6). These  
706 leaves appeared clean and had not, to our knowledge, come into contact with any caterpillars  
707 prior to sampling. Leaves from Colorado plants were frozen dry at  $-20^{\circ}\text{C}$  and ground under  
708 liquid  $\text{N}_2$  with a mortar and pestle prior to DNA extraction (thus including endophytes as well as  
709 surface-associated microbes). Leaves from Costa Rican plants were stored in 95% ethanol, and

710 surface-associated microbes were concentrated in a vacuum centrifuge and resuspended in  
711 molecular grade water prior to DNA extraction. As this sampling method was not quantitative,  
712 we did not perform qPCR on plant samples from Costa Rica and used them only for analyses of  
713 microbial composition.

714 Non-lepidopteran animals were sampled using the same procedures outlined above, with  
715 five species preserved in ethanol and 19 preserved dry at -20°C (Table S1). With the exception  
716 of two dung beetles feeding on herbivore dung, and the insectivorous bat *M. lucifugus*, these  
717 species are either predominantly or exclusively herbivorous, although the type of plant matter  
718 consumed (leaves, seeds, fruit, pollen, etc.) varies. We extracted DNA from feces for vertebrates  
719 and from subsamples of homogenized whole bodies for insects (as some insects house the  
720 majority of symbionts in organs outside the gut). By including all tissue from these insects, we  
721 may have underestimated bacterial densities in the particular organs where microbes are housed  
722 (Fig. 1A).

723

### 724 ***DNA extraction, PCR and sequencing***

725

726 Following previous studies of insect microbiomes (4, 5, 7), we used the MoBio Powersoil  
727 kit to extract DNA (100 µl eluate) from measured amounts of sample material. We then PCR-  
728 amplified a portion of the 16S rRNA gene with barcoded 515f/806r primers (8). PCR products  
729 were cleaned and normalized (up to 25 ng DNA/sample) using the SequalPrep Normalization kit  
730 (Thermo Fisher Scientific), and then sequenced on an Illumina MiSeq. Paired-end sequences of  
731 16S rRNA amplicons were merged, quality-filtered, and clustered into operational taxonomic  
732 units (“phylotypes”) at the 97% sequence similarity level using UPARSE (9), and classified  
733 using the RDP classifier and Greengenes (10, 11) as previously described (12). The  
734 representative sequences of phylotypes unclassified at this stage, and mitochondrial rRNA  
735 phylotypes (which could be from plant, insect, fungal or other mitochondria) were aligned to the  
736 NCBI nonredundant nucleotide database (nt) using BLAST for taxonomic identification. (Many  
737 universal 16S primers amplify rRNA genes of chloroplasts and mitochondria as well as bacteria  
738 and archaea (13)).

739 As bacterial DNA is ubiquitous in laboratory reagents used for DNA extraction and PCR,  
740 and especially problematic with low-biomass samples (such as caterpillar feces) (14), we  
741 removed contaminants from our samples using information from the 22 DNA extraction blanks  
742 and PCR no-template controls that yielded >100 bacterial sequences. Importantly, phylotypes  
743 detected in these blanks are not exclusively composed of reagent contaminants, because they  
744 receive some input from sample DNA during laboratory processing (15). As high-biomass  
745 samples are both least likely to experience reagent contamination (14), and themselves most  
746 likely to be the source of “real” sample phylotypes identified in blanks, they can be used to  
747 distinguish between laboratory contaminants and true sample sequences (15). We classified  
748 contaminants as phylotypes present at  $\geq 1\%$  abundance in one or more blank samples, excepting  
749 phylotypes present at  $\geq 1\%$  abundance in one or more of the best-amplifying samples (the top  
750 third in 16S rRNA gene copy number as measured by qPCR). These 25 phylotypes were  
751 removed from the dataset prior to analyses of bacterial abundance and composition (they are  
752 retained only in Fig. S1A). This approach does not include other types of contaminants  
753 introduced prior to DNA extraction, such as those from human skin. Finally, we note that the  
754 high relatedness between microbes commonly present in laboratory reagents (listed in (14)) and

755 those present in soil, water and leaves—all possible genuine microbial inputs to the caterpillar  
756 gut—precludes a taxonomy-based approach to removing contaminants.

757

### 758 ***Sequence Data Analysis***

759

760 All analyses were conducted in R version 3.3.2 and are available in the file  
761 “Hammer2017\_Rcode.R”. Analyses involving bacterial composition were limited to samples  
762 with at least 100 bacterial sequences. To calculate phylotype-level overlap between fecal and  
763 plant samples, “phylotypes detected on leaves” are defined as those present at any abundance in  
764 any plant sample in our dataset. New England and Arizona fecal samples which lack paired plant  
765 samples were excluded from this comparison. In measuring intraspecific beta diversity and core  
766 microbiome size in caterpillars and other animals, we excluded species with fewer than three  
767 replicate individuals. Further, to be conservative, only caterpillars sampled from the same  
768 location, and feeding on the same species of plant were compared. As the number of replicates  
769 could affect these metrics, and varied among species, we iterated these analyses over multiple  
770 combinations of only three replicates per species.

771

### 772 ***Quantitative PCR***

773

774 We measured 16S rRNA gene copy number using quantitative PCR with the same  
775 primers and DNA extracts as above. Reaction conditions and other details are specified in (16).  
776 Each sample was run in triplicate (except 11 non-caterpillar species for which limited DNA was  
777 available, which were run singly) and the mean of these technical replicates was used for  
778 subsequent analyses. Standard curves were calculated using purified genomic DNA from *E. coli*  
779 DH10B, which has seven 16S rRNA operons per genome (17). The median copy number of 31  
780 qPCR'd DNA extraction blanks was subtracted from sample copy numbers. Resulting counts of  
781 total 16S rRNA genes in samples were then multiplied by the proportion of noncontaminant  
782 bacterial sequences identified from the same DNA extract, resulting in estimates of bacterial 16S  
783 copy numbers.

784 It is unlikely that the low amplification we found in caterpillar samples results from  
785 primer bias against abundant bacterial taxa. First, these primers successfully amplified bacteria in  
786 non-lepidopteran animals, even when in some cases (such as aphids, (18)), the dominant  
787 symbiont has been strictly vertically transmitted between hosts for tens of millions of years.  
788 Even in this case, divergence from free-living relatives has not been so great that its 16S rRNA  
789 gene is un-amplifiable using 515f/806r primers. Second, the caterpillar gut-associated microbial  
790 taxa we found are similar to those reported as being relatively (i.e., in terms of the proportion of  
791 sequence libraries) abundant in metagenomic surveys (19, 20) and amplicon-based studies using  
792 different 16S rRNA-targeting primer pairs (e.g., (21–25)).

793 To estimate the relationship between body size and whole-animal microbial loads (Fig.  
794 S2), we combined published data from (26) with body mass data we calculated directly or  
795 derived from other studies (see supplemental file “Body\_mass\_data.txt”). To restrict the  
796 allometric scaling relationship for noncaterpillar animals to those species likely to harbor  
797 resident microbiomes, we removed species that had bacterial densities  $< 1/100^{\text{th}}$  of the group  
798 median. These species were the goose *Branta bernicla*, the bat *Myotis lucifugus*, and the dung  
799 beetle *Geotrupes stercorosus*. The body size of two *M. sexta* individuals from Arizona was not  
800 recorded and so we substituted the median from other *M. sexta*. Furthermore, as we only had

801 direct gut mass measurements for *M. sexta* (30-40% of body mass), for species sampled using  
802 feces (including *M. sexta*) we calculated total microbial loads by multiplying 16S rRNA gene  
803 density in feces by body mass. This procedure is likely to have slightly overestimated the  
804 microbial load for these species. Despite the numerous methodological uncertainties, microbial  
805 counts from (26) and our qPCR-based data, and their allometric scaling relationship with body  
806 size (excepting *M. sexta*) were remarkably similar (compare solid and dashed line in Fig. S2).

807

### 808 ***PCR inhibition assays***

809

810 To examine whether low 16S rRNA gene copy number estimates in caterpillar samples  
811 are an artifact of caterpillar-specific PCR inhibitors, we used two distinct approaches. First, we  
812 tested whether diluting extracted DNA improves PCR amplification by minimizing inhibitor  
813 effects (27). However, 1:10 and 1:100 dilutions of fecal DNA from eight *M. sexta* individuals did  
814 not have this effect (Fig. S5A). Second, we individually added the twelve lowest-amplifying  
815 caterpillar fecal samples—which might be especially likely to contain PCR inhibitors—to qPCR  
816 reactions with targeted primers and a template highly unlikely to be present in caterpillar feces  
817 (rDNA ITS region of *Batrachochytrium dendrobatidis* strain JEL270, a chytrid fungus  
818 pathogenic to amphibians). As compared to replicate reactions with pure molecular-grade water,  
819 adding caterpillar fecal DNA reduced amplification of *B. dendrobatidis* rDNA by 7.4% (Fig.  
820 S5B). This inhibition effect, which is also present in feces of humans (27) and likely many other  
821 species, is miniscule relative to the difference in bacterial loads between caterpillars and non-  
822 lepidopterans spanning multiple orders of magnitude (Fig. 1A). Therefore, the relatively low  
823 PCR amplification of 16S rRNA genes from caterpillar feces is most likely due to low microbial  
824 biomass rather than high PCR-inhibitory substances.

825

### 826 ***Additional information on the antibiotic experiment***

827

828 *M. sexta* larval feces production was measured by collecting, drying (50°C for 24 hours),  
829 and weighing all fecal pellets in the final instar. To culture bacteria, we plated a dilution series  
830 (in sterilized phosphate-buffered saline) of weighed (10-20 mg) subsamples of feces, incubated  
831 in aerobic conditions at 37°C. After 24 hours, visible colonies were counted and then, if present,  
832 collected *en masse* from the agar surface for sequencing using a sterile swab. This plate-scrape  
833 method produces a list of the most abundant bacterial phylotypes potentially culturable using our  
834 approach. It should be noted that the presence of fecal bacteria in culture demonstrates that these  
835 taxa were viable, but not necessarily growing or metabolically active, while in the caterpillar gut.

836

### 837 ***Comparison of biomass estimates and evidence of extracellular DNA***

838

839 Among *M. sexta* fecal samples collected during the antibiotic experiment, we found that  
840 qPCR-estimated bacterial abundances were correlated with the number of cultured bacterial  
841 colonies (see Results; Fig. S3B). Eleven individuals' fecal pellets did not produce any bacterial  
842 colonies whatsoever, but did contain measurable levels of DNA (Fig. S3B), and excluding these  
843 “zero-colony” samples yielded a stronger association between bacterial colony counts and 16S  
844 rRNA gene copy number ( $r = 0.51$ ,  $p = 0.0002$ ). This result could stem from the presence of  
845 bacteria that cannot grow aerobically or on LB. Alternatively, it may be due to PCR  
846 amplification of extracellular DNA or DNA from dead or otherwise nonviable cells (16). To



847 evaluate these possibilities, we compared the phylotypes (identified by 16S rRNA gene  
848 sequencing) in zero-colony fecal samples to those from other samples that did yield colonies, in  
849 which bacterial biomass was swabbed directly from the agar surface and sequenced. Most of the  
850 16S rRNA gene sequences in the zero-colony fecal samples (median 84%, interquartile range:  
851 74-95%) belong to phylotypes cultured from other samples, suggesting that qPCR may have  
852 overestimated viable bacterial loads by amplifying DNA from lysed or nonviable cells. If the  
853 fraction of the gut microbiome originating from dead or nonviable cells is disproportionately  
854 high in caterpillars in general (e.g., due to their digestive physiology – see Discussion), then the  
855 difference in living, active microbial biomass between caterpillars and other animals (Fig. 1A)  
856 may have been underestimated.

857

## 858 **References for Supplemental Methods**

859

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