

1 **High-Risk Human Papillomavirus E7 Alters Host DNA Methylation and Represses *HLA-E***
2 **Expression in Human Keratinocytes**

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22 ABSTRACT

23 Human papillomavirus (HPV) infection distinctly alters methylation patterns in HPV-associated
24 cancer. We have recently reported that HPV E7-dependent promoter hypermethylation leads to
25 downregulation of the chemokine *CXCL14* and suppression of antitumor immune responses. To
26 investigate the extent of gene expression dysregulated by HPV E7-induced DNA methylation,
27 we analyzed parallel global gene expression and DNA methylation using normal immortalized
28 keratinocyte lines, NIKS, NIKS-16, NIKS-18, and NIKS-16ΔE7. We show that expression of the
29 MHC class I genes is downregulated in HPV-positive keratinocytes in an E7-dependent manner.
30 Methylome analysis revealed hypermethylation at a distal CpG island (CGI) near the *HLA-E*
31 gene in NIKS-16 cells compared to either NIKS cells or NIKS-16ΔE7 cells, which lack E7
32 expression. The *HLA-E* CGI functions as an active promoter element which is dramatically
33 repressed by DNA methylation. HLA-E protein expression on cell surface is downregulated by
34 high-risk HPV16 and HPV18 E7 expression, but not by low-risk HPV6 and HPV11 E7
35 expression. Conversely, demethylation at the *HLA-E* CGI restores HLA-E protein expression in
36 HPV-positive keratinocytes. Because HLA-E plays an important role in antiviral immunity by
37 regulating natural killer and CD8⁺ T cells, epigenetic downregulation of *HLA-E* by high-risk
38 HPV E7 may contribute to virus-induced immune evasion during HPV persistence.

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41 INTRODUCTION

42 Human papillomaviruses (HPV) are small double-stranded DNA viruses with over 180
43 genotypes that infect mucosal and cutaneous basal epithelia. It has been estimated that up to 80%
44 of sexually active individuals will become infected in their lifetime, making HPV the most
45 common sexually transmitted pathogen¹. HPVs are classified as high- and low-risk genotypes
46 based on their oncogenic potential². High-risk HPVs are causally associated with ~5% of human
47 cancers including nearly all cervical cancer (CxCa) and about 25% of head and neck cancer
48 (HNC), making HPV a significant cause of morbidity and mortality worldwide^{2,3}. While the

49 majority of primary HPV infections are cleared within two years, ~10% of infected individuals
50 establish a lifelong persistent infection⁴. Similar studies have revealed that of the genotypes
51 tested, HPV16 is the most likely to persist⁵. Given the propensity of HPV to persist without
52 eliciting a strong immune response, it is very likely that the virus has evolved efficient immune
53 evasion mechanisms.

54 Dysregulation of host gene expression is a well-known strategy that viruses frequently
55 employ to evade the host immune response. Of note, Epstein-Barr virus (EBV) hijacks host cell
56 epigenetic machinery to modulate host gene expression⁶. These epigenetic manipulations are
57 considered a hallmark of EBV-induced lymphomas, and persist even after infection is cleared^{6,7}.
58 Interestingly, HPV-positive HNC and CxCa progression exhibit distinct changes in host DNA
59 methylation that alter host gene expression^{8,9}. In a similar study, HPV-induced cell
60 immortalization corresponded with hypermethylation at several host chromosomal loci including
61 the telomerase subunit *hTERT*¹⁰. Expression of *hTERT* is increased by promoter
62 hypermethylation which correlates with HPV-associated transformation and cancer
63 progression¹¹.

64 Interestingly, E7 directly binds and activates DNA methyltransferase 1 (DNMT1),
65 leading to a potential epigenetic mechanism of E7-mediated transcriptional modulation^{12,13}.
66 Consistently, the HPV E7-DNMT1 complex induces hypermethylation of the tumor suppressor
67 cyclin A1 (*CCNA1*) promoter, an epigenetic marker strongly correlated with HPV-associated
68 malignancy^{13,14}. Further, our recent work has revealed that the chemokine *CXCL14* is
69 significantly downregulated by E7-directed promoter hypermethylation¹⁵. Restoration of
70 *CXCL14* expression in HPV-positive cancer cells prevents tumor formation *in vivo* and increases
71 natural killer (NK) and CD8⁺ T cell populations in the tumor-draining lymph nodes¹⁵.
72 Downregulation of *CXCL14* is therefore an important immune evasion mechanism employed by
73 HPV E7, allowing for virus persistence. Thus, it is likely that HPV E7 dysregulates other host
74 gene expression by modulating DNA methylation to establish persistent virus infection.

75 To identify key host factors and pathways altered by HPV-directed DNA methylation in
76 human keratinocytes, we performed parallel global gene expression and DNA methylation
77 analyses. Here, we report that most class I major histocompatibility complex (MHC-I) molecules
78 are transcriptionally downregulated in an E7-dependent manner. Further, non-classical *HLA-E*,
79 which regulates NK and CD8⁺ T cells, is significantly downregulated by E7-mediated
80 hypermethylation in a distal regulatory CpG island (CGI). These results suggest that HPV E7-
81 mediated DNA methylation may modulate host immune responses by downregulating *HLA-E*
82 expression.

83

84 RESULTS

85 **The HPV oncoprotein E7 drives global gene expression changes in human keratinocytes.**

86 To determine gene expression alterations in human keratinocytes by high-risk HPVs, we
87 performed global gene expression analysis in normal immortalized keratinocytes (NIKS) and
88 their derivatives: NIKS-16 and NIKS-18 cells containing episomal HPV16 and HPV18 genomes,
89 respectively. NIKS-16ΔE7 cells containing the HPV16 genome lacking E7 expression¹⁶ were
90 used to investigate the roles of the HPV oncoprotein E7. Global gene expression profiles of these
91 cells were analyzed using Affymetrix GeneChip Human Genome U133 Plus 2.0 microarrays
92 (GEO accession # GSE83259). Principal component analysis (PCA) of normalized mRNA
93 expression profiles demonstrated that NIKS-16 and NIKS-18 clustered together distinctly from
94 NIKS and NIKS-16ΔE7 cells (**Fig. 1a**). NIKS-16ΔE7 cells, growing slower than the parental
95 NIKS cells, are more morphologically diverse compared to the other NIKS cells tested. These
96 differences may be reflected to high variations between replicates shown by PCA (**Fig. 1a**).

97 High-risk HPV infection significantly changes host gene expression patterns including
98 dramatic upregulation of DNA replication- and cell cycle-related gene expression^{17,18}. However,
99 the extent of E7-specific gene expression changes has not been fully determined. To define E7-
100 mediated gene expression changes in keratinocytes, we analyzed global gene expression and
101 defined genes up- or downregulated in both comparisons of NIKS-16 vs. NIKS cells and NIKS-

102 16 vs. NIKS-16ΔE7 cells. We identified 625 upregulated and 849 downregulated genes
103 exhibiting a false discovery rate (FDR)-adjusted p -value of less than 0.05 for each comparison
104 and a change greater than 30% magnitude in expression (**Fig. 1b, Table S1**). To examine the
105 physiological relevance of HPV-specific gene expression changes, we analyzed the expression
106 patterns of distinct cell cycle-specific genes which were previously identified using CxCa, HNC,
107 and normal patient tissue samples¹⁷. Consistent with the results from patient tissues, the majority
108 of the cell cycle genes upregulated in HPV-positive cancers were markedly increased in NIKS-
109 16 and NIKS-18 cells compared to NIKS cells, while none of the cell cycle genes upregulated in
110 HPV-negative HNC tissues were increased in NIKS-16 and NIKS-18 cells (**Fig. 1c**).
111 Interestingly, most of the upregulated genes in NIKS-16 and NIKS-18 cells were not changed or
112 were slightly downregulated in NIKS-16ΔE7 cells compared to NIKS cells. These results
113 indicate that the distinct patterns of cell cycle dysregulation in HPV-positive cancers are largely
114 caused by the HPV oncoprotein E7. Using RT-qPCR, we further validated expression changes of
115 selected genes from **Fig. 1c** (*CDKN2A* and *MCM7*) and previously reported (*UHRF1* and
116 *MCM5*)^{17,18} (**Fig. 1d**). These results indicate a significant role of the HPV oncoprotein E7 in
117 global gene expression changes during persistent HPV infection in keratinocytes including cell
118 cycle-related genes.

119

120 **The HPV oncoprotein E7 downregulates gene expression related to antigen presentation.**

121 To understand the biological functions of the identified HPV16 E7-regulated genes (**Table S1**),
122 we performed pathway analysis using Reactome (reactome.org). Consistent with our previous
123 findings^{17,18}, the majority of the upregulated genes were involved in cell cycle progression, DNA
124 replication, and DNA repair (**Table S2, Fig. S1a**). In contrast, the pathways of downregulated
125 genes are diverse, suggesting that E7-mediated downregulation of gene expression is more
126 complex than E7-mediated upregulation of gene expression. Interestingly, our analysis revealed
127 that genes involved in antigen presentation, IL1 signaling and extracellular matrix degradation
128 are significantly downregulated in NIKS-16 cells compared to NIKS and NIKS-16ΔE7 cells

129 (Table S2, Fig. S1b). Various matrix metalloproteinases and kallikreins were significantly
130 downregulated in NIKS-16 cells compared to NIKS cells and NIKS-16ΔE7 cells (Table S2, Fig.
131 S2a).

132 Importantly, immune response pathways were among the most significantly affected by
133 HPV16 E7 expression (Figs. S1b, Table S2). Several genes in antigen presentation (e.g. *HLA-B*,
134 *HLA-E*, *SEC31A*, *ITGAV*, *CTSL2*, and *RNASEL*) and IL1 signaling (e.g. *IL1B*, *IL1R1*, *IL1RN*,
135 and *IL36G*) were significantly altered in NIKS-16 and NIKS-18 cells, but not in NIKS-16ΔE7
136 cells, compared to NIKS cells (Fig. S2b and S2c). Using RT-qPCR, we further validated E7-
137 dependent dysregulation of genes involved in IL1 signaling (Fig. S2d). Previous studies have
138 shown that HPV16 E5 disrupts trafficking of MHC-I and -II complexes to the cell surface, and
139 HPV16 E7 downregulates cell surface expression of MHC-I complexes¹⁹⁻²¹. While multiple
140 mechanisms of inhibiting MHC-I surface expression have been observed, HPV-mediated
141 alterations in MHC-I gene expression is poorly understood. Thus, we further assessed expression
142 of all MHC-I α-subunits (*HLA-A*, *-B*, *-C*, *-E*, *-F*, and *-G*) in the NIKS cell lines. The results
143 showed that except for *HLA-F*, all MHC-I α-subunit gene expression was downregulated in
144 NIKS-16 and NIKS-18 cells compared to NIKS and NIKS-16ΔE7 cells (Fig. 2a). To determine
145 any difference in MHC-I gene expression between HPV-positive and HPV-negative cancer
146 tissues, we analyzed the TCGA data of HNC and CxCa obtained from cBioPortal. Interestingly,
147 the expression levels of *HLA-C* and *HLA-E* were significantly lower in HPV-positive HNCs and
148 CxCa than HPV-negative HNCs (Fig. 2b). This observation underscores the effect of HPV on
149 HLA gene expression in HPV-associated disease. Unfortunately, due to the presence of
150 numerous splice isoforms for MHC-I genes, we were unable to reliably detect amplicons from
151 MHC-I mRNA transcripts by RT-qPCR. However, all array probesets for *HLA-A*, *-B*, *-C*, *-E* and
152 *-G* detection consistently exhibit significant downregulation in HPV-positive keratinocytes in an
153 E7-dependent fashion (Fig. 2a). Overall downregulation of MHC-I genes suggests that HPV16
154 E7 plays an important role in immune dysregulation of HPV-infected keratinocytes by altering
155 immune cell recognition during early stages of persistent infection.

156

157 **The HPV oncoprotein E7 dysregulates DNA methylation in human keratinocytes.** A
158 previous study has shown that HPV infection distinctly modifies the DNA methylation patterns
159 in HNC patients²². Additionally, HPV16 E7 protein directly binds to DNMT1 and activates its
160 enzymatic activity¹². We recently reported that E7-dependent methylation of the *CXCL14*
161 promoter resulted in *CXCL14* downregulation and inhibition of antitumor immune responses¹⁵.
162 These findings suggest that high-risk HPV E7 is very likely to dysregulate host gene expression
163 by modulating DNA methylation. To investigate the extent of gene expression dysregulated by
164 HPV E7-induced DNA methylation, we analyzed the methylome of NIKS, NIKS-16, NIKS-18,
165 and NIKS-16 Δ E7 cell lines in triplicate using Illumina Infinium HumanMethylation450
166 BeadChip arrays (GEO accession # GSE83261). PCA of methylome showed that each cell type
167 clustered distinctly (**Fig. 3a**). Given that the PCA from our gene expression analysis showed high
168 similarity between NIKS-16 and NIKS-18 cells (**Fig. 1a**), the methylome data may discriminate
169 the molecular patterns of different cell types more precisely than the gene expression data.
170 Nevertheless, the sample-by-sample variations in the triplicates of each cell line are much lower
171 in the methylome data (**Fig. 3a**) than in gene expression data (**Fig. 1a**). This implies that DNA
172 methylation could be a better biomarker than gene expression for early detection of high-risk
173 HPV infection.

174 By assessing the relative methylation density at any given CpG site across the genome,
175 we found that NIKS cells tended to maintain β -values (the ratio of methylated probe intensity vs.
176 the overall intensity) near 0 (0% methylation) or 1 (100% methylation) with uniform distribution
177 between those two peaks on both flanks (**Fig. 3b**, black line). In contrast, both NIKS-16 and
178 NIKS-18 cells exhibited an influx in hemi-methylation near $\beta = 0.6$ (**Fig. 3b**, red and orange
179 lines). Interestingly, the methylation pattern of NIKS-16 Δ E7 cells was strikingly similar to the
180 methylation pattern of NIKS cells but distinct from the methylation pattern of NIKS-16 and 18
181 cells (**Fig. 3b**, blue line). These results suggest that E7 alters the global methylation patterns of
182 host genome.

183 To validate our methylome array data, we assessed DNA methylation status at the
184 *CCNA1* and *TERT* promoter regions that are known to be hypermethylated in HPV-positive cells.
185 Consistent with previous findings, the *CCNA1* and *TERT* promoter regions showed significantly
186 increased methylation (24-28% increase in β , $p < 0.004$) in NIKS-16 cells compared to NIKS
187 cells (**Table S3**)^{10,13,14,23}. However, NIKS-18 cells did not show consistent changes in *CCNA1*
188 and *TERT* promoter methylation. Given that the global methylome data showed the distinct DNA
189 methylation patterns between NIKS-16 and NIKS-18 cells, these results suggest that HPV16 and
190 HPV18 may differentially modulate host DNA methylation.

191 A genome-wide comparison of methylated CpG sites between NIKS and NIKS-16 cells
192 revealed 5,190 differentially methylated positions (DMPs, defined as a single differentially
193 methylated CpG site) and 1,307 differentially methylated regions (DMRs, defined as a cluster of
194 two or more CpG sites, permutation p -value < 0.05) (**Fig. 3c and 3d**). To investigate DNA
195 methylation specifically regulated by HPV16 E7, we identified 953 DMRs (red bold in **Fig. 3d**)
196 in a comparison between NIKS-16 to NIKS-16 Δ E7 cells and excluded the DMRs found in the
197 NIKS-16 Δ E7 to NIKS comparison from the 953 DMRs. Using a more stringent DMR area p -
198 value less than 0.01, we identified 56 hypermethylated DMRs (**Table S4**) and 47
199 hypomethylated DMRs (**Table S4**) that are dependent on HPV16 E7 expression. Interestingly,
200 regional methylation analysis near *HLA-E* identified two significantly hypermethylated DMRs
201 across a total of 5 probed CpG sites ($p \leq 0.004$, **Table S4**), suggesting that HPV16 E7 may
202 mediate DNA methylation of the *HLA-E* gene.

203 To determine gene expression regulated by E7-mediated DNA methylation, we identified
204 genes that show gene expression changes ($> 30\%$) with an FDR adjusted p -value less than 0.01
205 and associated DMPs with an FDR adjusted p -value less than or equal to 0.005 between NIKS
206 and NIKS-16 cells (**Table S5**). DMPs rather than DMRs were used in this analysis to reduce the
207 possibility of type II errors: the locations of methylation array probes are predicted to be sentinel
208 CpG sites and may not be clustered near additional probes, thus potential true positives may be
209 eliminated from DMR classification. A total of 83 genes showed significant changes of both

210 gene expression and DNA methylation comparing NIKS-16 cells to NIKS cells. This result is
211 consistent with a previous global DNA methylation study assessing epigenetic changes directed
212 by EBV, showing that most DMPs are silent and relatively a small number of them contributed
213 to gene expression changes⁷. Our results suggest that E7-mediated DNA methylation regulates
214 gene expression of a subset of host genes. Interestingly, *HLA-E* shows a significant decrease in
215 gene expression and increase in DNA methylation (**Figs. 2 and 4**). Consistent with the *HLA-E*
216 gene expression results, the comparison between NIKS-16 and NIKS-16ΔE7 cells showed a
217 significant decrease in DNA methylation at *HLA-E* (24%) in NIKS-16ΔE7 cells compared to
218 NIKS-16 cells (**Table S5**). These results suggest that downregulation of *HLA-E* gene expression
219 shown in **Fig. 2a** is likely caused by HPV16 E7-mediated DNA methylation.

220

221 **DNA methylation of the *HLA-E* CGI is significantly increased by the HPV oncoprotein E7.**

222 Our methylome data consistently showed that DNA methylation of *HLA-E* was significantly
223 increased in NIKS-16 cells compared to NIKS cells in an E7-dependent manner (**Table S5**).
224 Unexpectedly, the *HLA-E* CGI containing the identified DMR is ~23,000 bases upstream of the
225 *HLA-E* open reading frame (ORF), potentiating its functional role as an enhancer or distal
226 promoter element. Our results from scanning the *HLA-E* CGI for DNA methylation showed a
227 dramatic increase (~50%) near the 3' region of the CGI (**Fig. 4a**). To validate DNA methylation
228 in the *HLA-E* CGI, we performed methylation-specific PCR (MSP) using primer sets specific to
229 the *HLA-E* DMR. Consistent with the methylome data, the 3' region of the *HLA-E* CGI was
230 highly methylated in NIKS-16 cells compared to NIKS cells, but the *HLA-E* CGI methylation
231 dramatically decreased in NIKS-16ΔE7 cells (**Fig. 4b**). The DNA methylation of the *HLA-E* CGI
232 is highly correlated with the decrease of *HLA-E* expression in NIKS-16 cells, but not in NIKS-
233 16ΔE7 cells (**Fig. 2a**). These results suggest that *HLA-E* gene expression may be downregulated
234 by HPV16 E7-mediated DNA methylation.

235 HPV16 E7 directly binds and activates DNMT1 through its CR3 zinc finger binding
236 domain, providing a potential mechanism of E7-induced DNA methylation¹². However, it is

237 unclear how E7-mediated DNA methylation targets specific regions in the genome. We
238 hypothesized that the regions near specific transcription factor (TF) binding sites are targeted by
239 the E7-DNMT1 complex to direct DNA methylation. Supporting our hypothesis, a previous
240 study revealed that HPV16 E7 recruits histone deacetylases (HDACs) to IRF-1 regulatory
241 promoter complexes thereby directing histone deacetylation to silence IRF-1 responsive genes²⁴.
242 To test our hypothesis, we compiled a list of hypermethylated DMRs, filtered as described above
243 for E7-dependent hypermethylation ($p < 0.04$, count 185) and submitted DMRs with 100 bp of
244 flanking sequence to MEME suite for enrichment analysis of TF binding motifs. Interestingly,
245 E7-dependent hypermethylated DMRs showed enrichment of EPAS1, FOXJ3, CDX2, IRF4,
246 FOXF1, and glucocorticoid receptor (GCR) TF binding sites (**Fig. 4c**). Enrichment of IRF4,
247 FOXF1 and GCR motifs imply that E7-mediated DNA methylation may be directed to TF
248 binding motifs near immunoregulatory and developmental genes²⁵⁻²⁸. Consistently, scanning the
249 *HLA-E* CGI (containing the identified DMR) for TF binding sites identified a GCR consensus
250 binding motif, AGAACA (**Fig. 4c**). Previous studies have shown that GCR is involved in
251 suppression of MHC-I²⁷ and MHC-II expression²⁹. Enrichment of methylation near specific TF
252 binding sites implies that HPV E7 may direct DNA methylation by recruiting DNMT1
253 methyltransferase to specific promoter elements through interactions with TFs (**Fig. 4d**). Further
254 analysis revealed that the *HLA-E* CGI contains sites for DNase I hypersensitivity and acetylated
255 H3K27 histone markers, both indicative of active regulatory elements. Additionally, small
256 noncoding RNAs (ncRNAs) are transcribed from 3' of the *HLA-E* CGI (**Fig. S3**). MicroRNA
257 target prediction analysis of these ncRNAs revealed 65 putative target cellular mRNAs (**Table**
258 **S6**), including histocompatibility 13 (*HMI3*), which is involved in loading peptides onto HLA-E.
259 HLA-E surface expression and stabilization require antigen binding, suggesting a potential
260 mechanism of downregulating HLA-E surface expression³⁰. Taken together, the *HLA-E* CGI
261 appears to be an active site for transcription of ncRNAs and other regulatory elements which
262 may have direct or indirect effects on *HLA-E* expression.

263

264 **The promoter activity of the *HLA-E* CGI is repressed by DNA methylation.** To assess the
265 transcriptional regulation by DNA methylation at the *HLA-E* CGI, we employed a promoter
266 reporter assay using a CpG-free firefly luciferase expression vector, pCpGL-Basic³¹. We first
267 determined the promoter and/or enhancer activity of the *HLA-E* CGI by cloning the *HLA-E* CGI
268 (hg19, chr6:30,434,030-30,434,730) into the pCpGL-Basic vector. pCpGL-HLAE-CGI
269 constructs were prepared to test the promoter activity of the *HLA-E* CGI in forward and reverse
270 orientations (pCpGL-HLAE-Fwd and pCpGL-HLAE-Rev). Additionally, we tested the enhancer
271 activity of the *HLA-E* CGI in combination with a downstream EF1 α promoter (pCpGL-HLAE-
272 Fwd-EF1 α and pCpGL-HLAE-Rev-EF1 α) (**Fig. 5a**). Each construct was transfected into 293FT
273 cells along with a *Renilla* luciferase vector as a transfection control. Promoter activity was
274 determined by relative luciferase activity. Our results revealed the strong promoter activity of the
275 *HLA-E* CGI, showing near 200-fold and 130-fold increases in luciferase activity by insertion of
276 the *HLA-E* CGI in forward or reverse orientations, respectively (**Fig. 5b**).

277 We next tested if hypermethylation in the *HLA-E* CGI represses its promoter activity
278 using *in vitro* DNA methylation. The pCpGL reporter constructs were methylated *in vitro* using
279 the M.SssI CpG methyltransferase. To verify successful DNA methylation of the pCpGL
280 reporter constructs, methylated and unmethylated plasmids were digested with restriction
281 enzymes BstUI and McrBC, which cut only unmethylated and methylated CpG motifs,
282 respectively (**Fig. 5c**). Each methylated and unmethylated plasmid was transfected into 293FT
283 cells and relative luciferase activity was measured. The CpG-free pCpGL-CMV-EF1 α plasmid
284 (unaffected by CpG methylation) was used as a negative control³¹ and the pCpGL-CXCL14
285 promoter (repressed by CpG methylation) plasmid and pCpGL-CRE4X (activated by CpG
286 methylation) plasmid were used as positive controls^{15,32}. Interestingly, *in vitro* DNA methylation
287 dramatically decreased the luciferase activity of all *HLA-E* CGI containing reporter plasmids
288 (**Fig. 5d**). As expected, while the luciferase activity of pCpGL-CMV-EF1 α was unchanged, the
289 luciferase activity of pCpGL-CXCL14 and pCpGL-CRE4X and were significantly decreased and

290 increased by *in vitro* DNA methylation, respectively (**Fig. 5d**). These results suggest that *HLA-E*
291 expression is negatively regulated by DNA methylation in the *HLA-E* CGI.

292

293 **HLA-E protein expression is downregulated by high-risk HPV E7, but not by low-risk**
294 **HPV E7.** To determine if HLA-E protein levels are also decreased by E7, cell surface expression
295 of HLA-E proteins was determined in NIKS, NIKS-16, and NIKS-18 cells by flow cytometry.
296 Gating for flow cytometry and staining controls are shown in **Figs. S4 and S5**, respectively. As
297 previous studies have shown that surface expression of MHC-I molecules is frequently
298 downregulated in HPV-positive cells and tissues³³, we also examined HLA-B/C expression in
299 NIKS, NIKS-16, and NIKS-18 cells. Consistent with our mRNA expression data, protein
300 expression of HLA-E as well as HLA-B/C was dramatically decreased in NIKS-16 and NIKS-18
301 cells compared to NIKS cells (**Fig. 6a and 6b**). As shown above, HPV16 E7 expression is
302 necessary for *HLA-E* downregulation (**Fig. 2a**). To test if expression of high-risk E7 is sufficient
303 for HLA-E downregulation, we generated stable NIKS cell lines expressing E7 from high-risk
304 HPV genotypes (16 and 18) and low-risk HPV genotypes (6 and 11). E7 expression in each
305 NIKS cell line was validated by RT-PCR (**Fig. S6**), as antibodies detecting E7 from different
306 genotypes are not available. Interestingly, high-risk HPV16 E7 or HPV18 E7 expression was
307 sufficient for decrease of HLA-E proteins on NIKS cells, while low-risk HPV6 E7 or HPV11 E7
308 expression rather increased HLA-E expression on NIKS cells (**Fig. 6c and 6d**). In contrast, low-
309 risk E7 expression moderately decreased HLA-B/C expression compared to substantial
310 downregulation of HLA-B/C by high-risk E7 expression (**Fig. 6e and 6f**). These results highlight
311 the distinct functions of high-risk and low-risk E7 proteins in dysregulation of MHC-I
312 expression.

313 As we showed that demethylation at the *HLA-E* CGI restored *HLA-E* gene expression, we
314 treated NIKS-16 cells with the demethylating agent, 5-aza-2'-deoxycytidine (5-aza).
315 Interestingly, 5-aza treatment dramatically restored HLA-E protein expression on NIKS-16 and
316 NIKS-18 cells (**Fig. 6g and 6h**). Demethylation at the *HLA-E* CGI with 5-aza treatment in

317 NIKS-16 cells was verified by MSP (**Fig. S7**). Taken together, our findings suggest that HLA-E
318 expression is downregulated by the HPV oncoprotein E7-mediated DNA methylation and can be
319 restored by treatment with a demethylating agent.

320

321 **DISCUSSION**

322 Our previous global gene expression studies using CxCa and HNC patient tissue samples have
323 shown that expression of cell cycle-related genes is highly upregulated in HPV-positive cancers
324 compared to normal tissue and HPV-negative HNC^{17,18}. Additionally, other studies have shown
325 that HPV alters global DNA methylation as a mechanism to silence host gene expression using
326 genetically dissimilar HNC tissues and cell lines^{22,34}. In parallel gene expression and methylome
327 analyses using homogeneous keratinocytes, we found that the *HLA-E* CGI is hypermethylated in
328 HPV-positive cells in an E7-dependent manner, correlating with downregulation of *HLA-E*
329 expression. Previous studies have shown that DNA hypermethylation is an effective mechanism
330 of repressing MHC-I and -II gene expression, which is reversed by methylation inhibitors^{35,36};
331 however, any effect of HPV on *HLA-E* expression was unknown.

332 MHC expression is modulated by various cellular mechanisms. For example, the *HLA-G*
333 promoter contains a series of *cis* regulatory elements that govern tissue-specific expression³⁷.
334 Distal promoter elements are scattered throughout the MHC gene locus and activate transcription
335 of ncRNAs that may regulate expression of the MHC genes³⁸. One distal regulatory element has
336 been characterized 25 kb upstream of the *HLA-DRA* promoter³⁹. Similarly, we here report that a
337 distal CGI located 23 kb upstream of the *HLA-E* ORF exhibits strong promoter activity. We
338 showed that the *HLA-E* CGI remains hypomethylated in normal (NIKS) cells ($\beta = 12.4\%$), but
339 hypermethylated in NIKS-16 cells (change in $\beta > 50\%$) and its methylation is linked to *HLA-E*
340 downregulation. Thus, it is possible that HPV E7-induced DNA methylation silences promoter
341 activity of the distal *HLA-E* CGI, or silences expression of a regulatory ncRNA which may
342 modulate *HLA-E* regulatory elements, as previously hypothesized³⁸. Additionally, DNase I
343 hypersensitivity (indicative of a relaxed chromatin structure), H3K27 acetylation (a histone

344 marker synonymous with active transcription)⁴⁰, conserved TF binding sites, and ncRNAs from
345 this region indicate that the *HLA-E* CGI is an active regulatory region for *HLA-E* expression
346 (**Fig. 4c, Fig. S3**).

347 It has been suggested that HPV E7 inhibition of STAT1 activation represses *TAP1*
348 transcription, which leads to a decrease of surface expression of MHC-I molecules⁴¹. However,
349 the *TAP1* mRNA levels were not changed in either NIKS-16 or NIKS-18 cells compared to
350 NIKS and NIKS16ΔE7 cells (data not shown). This observation suggests that downregulation of
351 HLA-B/C protein expression in NIKS-16 and NIKS-18 cells might not be mediated by E7-
352 induced TAP1 downregulation. Here, our study showed that *HLA-E* expression is regulated by
353 DNA methylation and is restored by treatment of a demethylating agent. In contrast, the *HLA-*
354 *B/C* gene regions showed no significant changes in DNA methylation induced by HPV16 or
355 HPV18. Thus, *HLA-B* and *-C* downregulation is likely caused by HPV E7, or is mediated by
356 other unknown mechanisms. Interestingly, the MHC-I transactivator NLRC5, which is necessary
357 for MHC-I gene expression and repressed by DNA methylation in various cancers⁴², was
358 downregulated in NIKS-16 and NIKS-18 cells when compared to NIKS and NIKS-16ΔE7 cells
359 (**Table S1**). This may in part explain E7-dependent downregulation of HLA-B/C expression,
360 while further studies are essential to fully understand the mechanism.

361 *HLA-E* is constitutively expressed in various tissues and at different stages in
362 development, suggesting tight spatial-temporal regulation of expression⁴³. We observed that
363 HLA-E expression in NIKS cells is not homogeneous (**Fig. 6**). This may be due to heterogeneous
364 populations and/or the nature of the co-culture model with feeders wherein NIKS cells propagate
365 in islands with visually distinct morphologies depending on their relative position to other NIKS
366 cells. It would be interesting, therefore, to further explore HLA-E expression in distinct layers of
367 three-dimensional tissue, which may also dictate HLA-E functionality.

368 HLA-E regulates NK and T cells through direct contact with surface receptors. The NK
369 cell inhibitory receptor NKG2A and the activating receptor NKG2C were initially found to bind
370 HLA-E on the cell surface⁴⁴. HLA-E presentation of MHC leader peptides to NK cells leads to

371 NKG2A-mediated inhibition of NK effector function^{44,45}. Interestingly, HLA-E presentation of
372 stress-inducible heat shock protein 60 peptides interferes with NKG2A recognition, leading to
373 NK cell-mediated killing of stressed cells⁴⁶. In addition to regulating NK cells, HLA-E also acts
374 on CD8⁺ T and natural killer T (NKT) cells to modulate adaptive immune responses^{47,48}. HLA-E
375 activates or inhibits NK and CD8⁺ T cell functions by presenting a narrow range of viral and
376 bacterial antigens⁴⁹. Of note, a viral-derived peptide presented by HLA-E on HIV-1-infected
377 CD4⁺ T cells activates NK cells and induces cytolysis of virus-infected cells⁵⁰. In contrast, a viral
378 peptide presented by HLA-E on the surface of hepatitis C virus-infected cells inactivates NK
379 cells but elicits HLA-E-restricted CD8⁺ T cell responses⁵¹. These findings imply that HLA-E has
380 the potential to present HPV-derived peptides to NK or CD8⁺ T cells, which may lead to lysis of
381 the HPV-infected cells. Interestingly, we have previously found that high-risk HPV E7
382 significantly reduces NK and CD8⁺ T cell infiltration into the HPV-positive tumor
383 microenvironment through *CXCL14* downregulation by its promoter hypermethylation¹⁵.
384 Together, these results suggest that HPV evade antiviral NK and CD8⁺ T cell activity by
385 dysregulating DNA methylation.

386 In contrast, *HLA-E* is upregulated in several cancers, including HNC, CxCa, breast,
387 rectal, colon, and ovarian cancers⁸. Accordingly, it has been speculated that the high levels of
388 HLA-E may inhibit NK cell activation caused by downregulation of classical MHC-I expression
389 on cancer cells. The better survival rate of ovarian cancer patients with infiltrating CD8⁺ T cells
390 disappears when NKG2A signaling is activated by high *HLA-E* expression⁵². *HLA-E* expression
391 is also linked to poor clinical outcome and low overall survival in breast and colon cancer
392 patients^{53,54}. Further, knockdown of *HLA-E* expression enables NKG2D-mediated lysis of glioma
393 cells by NK cells⁵⁵. Our results showing that high-risk HPV E7 downregulates *HLA-E* expression
394 imply dual roles of *HLA-E* that induces antiviral immunity in normal cells but suppresses
395 antitumor immunity in cancer cells.

396 Indeed, previous studies have shown that HLA-E plays an important role in antiviral
397 immune responses. HLA-E presentation of viral peptides elicits cytotoxic responses of NK and

398 CD8⁺ T cells that kill virus-infected cells^{50,51,56}. We report here that high-risk HPV E7
399 significantly downregulates *HLA-E* expression in keratinocytes, while low-risk HPV E7
400 increases *HLA-E* expression. The epigenetic repression of *HLA-E* expression by E7 suggests a
401 previously undescribed immune evasion mechanism employed by high-risk E7, but not by low-
402 risk E7. Additionally, HLA-E expression can be restored through treatment with the
403 demethylating agent, 5-aza. This may provide a new therapeutic approach to treat HPV-positive
404 lesions by activating the HLA-E mediated antitumor immune responses of NK and CD8⁺ T cells.

405

406 **METHODS**

407 **Cell Culture.** Human keratinocytes NIKS⁵⁷, NIKS-16, NIKS-18⁵⁸, and NIKS-16ΔE7¹⁶ cells
408 were co-cultured with NIH 3T3 feeder cells in E-complete medium as previously described¹⁷.
409 NIKS-6E7, NIKS-11E7, NIKS-16E7, and NIKS-18E7 cell lines were generated by lentiviral
410 transduction and puromycin selection. HeLa cells were obtained from ATCC and cultured in
411 DMEM supplemented with 10% FBS according to the manufacturer's recommendations.

412

413 **Genome-wide Expression and DNA Methylation Arrays.** For gene expression analysis, total
414 RNA was extracted from NIKS, NIKS-16, NIKS-18, and NIKS-16ΔE7 cells using the RNeasy
415 kit (Qiagen) and hybridized to Affymetrix Human Genome U133 Plus 2.0 Array chips as
416 previously described¹⁷. For methylome analysis, genomic DNA (gDNA) was isolated from
417 NIKS, NIKS-16, NIKS-18, and NIKS-16ΔE7 cells using the DNeasy kit (Qiagen). Bisulfite-
418 converted gDNA was prepared using the EZ DNA Methylation Kit (Zymo Research) and
419 assessed using Illumina Infinium HumanMethylation450 BeadChip Kits according to the
420 manufacturer's protocol. Data processing methods are discussed in supplemental material.

421

422 **Methylation Specific PCR (MSP) and *in vitro* DNA Methylation.** Methylation of the *HLA-E*
423 CGI was analyzed by MSP. gDNA was extracted from NIKS, NIKS-16, and NIKS-16ΔE7 cells
424 using DNeasy Blood & Tissue Kit (Qiagen). 500 ng of the gDNA was used in each bisulfite

425 reaction using the EZ DNA Methylation Kit (Zymo Research) according to the manufacturer's
426 instruction. MSP was performed with primers described in **Table S7** and validated using
427 methylated or unmethylated control gDNA. Control DNA was generated by *in vitro* DNA
428 methylation of gDNA extracted from W12E, W12G, and W12GPXY cells using McrBC
429 endonuclease *or* M.SssI CpG methyltransferase followed by BstUI digestion (New England
430 Biolabs). *In vitro* DNA methylation was performed using the M.SssI CpG methyltransferase and
431 methylation efficiency was validated by McrBC or BStUI digestion. For DNA demethylation,
432 NIKS-16 cells were treated daily with 5 μ M 5-aza-2'-deoxycytidine (5-aza) for five days.

433

434 **Plasmids and Lentiviral Constructs.** The pCpGL-Basic luciferase reporter vector was a gift
435 from Michael Rehli (University of Regensburg, Germany)³¹. The four repeats of the cAMP-
436 response element (CRE4X) were synthesized as oligonucleotides and cloned into pCpGL-Basic
437 using BamHI and NcoI³². The *CXCL14* promoter was cloned into pCpGL-Basic using specific
438 primers (**Table S7**) between the BamHI and NcoI sites. A new multiple cloning site (MCS) was
439 introduced into the pCpGL-Basic vector in place of the CMV enhancer by PCR-mediated
440 mutagenesis, and the *HLA-E* CGI was directionally cloned in using specific primers (**Table S7**).
441 For generation of E7-expressing NIKS cells, the HPV E7 genes were obtained from Joe Mymryk
442 (University of Western Ontario, Canada) and cloned into lentiviral expression vectors (pCDH-
443 CMV-MCS-EF1-Puro, System Biosciences) between the XbaI and BamHI sites using PCR with
444 specific primers (**Table S7**).

445

446 **Microarray data accession number.** The microarray data of gene expression and DNA
447 methylation are accessible in the NCBI GEO database under accession numbers GSE83259 and
448 GSE83261, respectively.

449

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598

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604

605 **AUTHOR CONTRIBUTIONS**

606 L.C., R.Z.B., M.E.M., J.A.W., K.J.K., and D.P. conceptualized and designed experiments. L.C.,
607 R.Z.B., M.E.M., J.A.W., C.J.W., C.S., and D.P. analyzed and interpreted data. L.C. and D.P.
608 drafted the manuscript. L.C., R.Z.B., J.A.W., D.R., K.J.K., and D.P. revised and critically
609 reviewed the manuscript.

610

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619

620 **COMPETING FINANCIAL INTERESTS STATEMENT**

621 David Raben consulted for AstraZeneca during 2015-2016.

622

623 **FIGURE LEGENDS**

624 **Figure 1. High-risk HPV E7 distinctly alters host gene expression in keratinocytes.** Gene
625 expression profiles were assessed by Affymetrix Human Genome U133 Plus 2.0 arrays in
626 triplicate for keratinocytes lines, NIKS, NIKS-16, NIKS-18, and NIKS-16 Δ E7, in three different
627 passages. **(a)** Principal component analysis data are shown for each of three replicates of NIKS
628 (red circle), NIKS-16 (blue square), NIKS-18 (green triangle) and NIKS-16 Δ E7 (black triangle)
629 cells. **(b)** Log₂ fold changes of differentially expressed genes in both NIKS-16 vs. NIKS cells
630 and NIKS-16 vs. NIKS-16 Δ E7 cells are shown by heat map (FDR adjusted $p < 0.05$ and a
631 change $> 30\%$ magnitude in expression). Probe IDs are listed in **Table S1**. **(c)** Heat map presents
632 log₂ fold changes (NIKs-16 vs. NIKS cells and NIKs-16 vs. NIKs-16 Δ E7 cells) of dysregulated
633 cell cycle-related genes previously identified in HNC and CxCa patient tissue samples¹⁷. **(d)**
634 *CDKN2A*, *MCM5*, *MCM7*, and *UHRF1* expression levels were determined by RT-qPCR and
635 normalized to β -actin expression levels. Each sample was quadruplicated, and shown are
636 representative of three repeats. Fold changes compared to NIKS cells are plotted. *P*-values were
637 calculated by Student's *t* test. * $p < 0.0001$, ** $p < 0.001$, *** $p < 0.01$, **** $p < 0.05$.

638

639 **Figure 2. MHC-I gene expression is downregulated in HPV-positive keratinocytes and**
640 **cancers in an E7-dependent manner.** **(a)** Normalized gene expression of *HLA-A*, *-B*, *-C*, *-E*, *-F*,

641 and -G in triplicated NIKS (circle), NIKS-16 (square), NIKS-18 (triangle), and NIKS-16 Δ E7
642 (inverse triangle) cells is shown. Fluorescence intensity (\log_2) of each replicate is plotted with p -
643 values calculated by one-way ANOVA test. **(b)** The RNA-seq RSEM (RNA-seq by expectation
644 maximization) counts of *HLA-A*, *-B*, *-C*, *-E*, *-F*, and *-G* were obtained from the TCGA data
645 through cBioPortal (cbioportal.org): HPV-negative HNC, $n = 243$; HPV-positive HNC, $n = 36$;
646 CxCa, $n = 309$ (NCI, TCGA, Provisional). Normalized RSEM counts are shown in scatter plots
647 with mean and standard deviation. P -values were determined by Mann-Whitney test. *n.s.*, not
648 significant.

649

650 **Figure 3. HPV16 E7 alters host genome methylation in keratinocytes.** Global DNA
651 methylation profiles in NIKS, NIKS-16, NIKS-18, and NIKS-16 Δ E7 cells were analyzed in
652 triplicate using Illumina Infinium HumanMethylation450 BeadChip arrays. **(a)** Principal
653 component analysis data are shown for each replicate of normalized data from NIKS (red circle),
654 NIKS-16 (blue square), NIKS-18 (green triangle) and NIKS-16 Δ E7 (black triangle) cells. **(b)**
655 Methylation array data from NIKS (black), NIKS-16 (red), NIKS-18 (orange) and NIKS-16 Δ E7
656 (blue) cells were normalized using SWAN and the relative methylation (β) density across the
657 genome are plotted. β represents the ratio of methylated signal to total signal (methylated +
658 unmethylated) at a given CpG site. β near 0 or 1 indicates no methylation or complete
659 methylation, respectively. Three pairwise comparisons are summarized by Venn diagrams
660 showing the number of overlapping **(c)** differentially methylated positions (DMP, FDR adjusted
661 $p < 0.05$) and **(d)** differentially methylated regions (DMR, permutation $p < 0.05$). DMPs are
662 defined as a single differentially methylated CpG site between groups while DMRs consist of at
663 least two CpG sites separated by no more than 500 bp meeting the cutoff determined by
664 bumpHunter algorithm and permutation p -value < 0.05 .

665

666 **Figure 4. HPV16 E7 is necessary for hypermethylation at a distal *HLA-E* CpG island.** **(a)**
667 The difference in methylation (β) of all probed CpG dinucleotides in the *HLA-E* CpG island

668 (CGI, chr6:30,434,030-30,434,730) between NIKS and NIKS-16 cells is shown. Positive and
669 negative β indicates increased or decreased methylation in NIKS-16 cells compared to NIKS
670 cells, respectively. The red line represents a locally weighted scatter plot (LOESS) regression
671 curve, showing the trend of differences in Beta values (Y) along the genomic position (X). **(b)**
672 Methylation specific PCR (MSP) products were separated in 2% agarose gel to evaluate the
673 methylation status of the *HLA-E* CGI using bisulfite-converted gDNA from NIKS, NIKS-16, and
674 NIKS-16 Δ E7 cells and primers listed in **Table S7**. **(c)** Sequence logos of enriched transcription
675 factor (TF) binding motifs are shown. 100 bp regions flanking E7 sensitive DMRs (comparing
676 NIKS to NIKS-16 cells, $p < 0.04$, count 185) were assessed for enrichment of TF binding motifs
677 using MEME Suite software and nucleotide frequencies in the submitted sequences as
678 background (enrichment $p < 0.05$). **(d)** Schematic diagram of the potential mechanism of
679 targeted E7-induced DNA methylation. E7 binds transcription factors (or complexes) through its
680 CR1/2 domain and DNMT1 through its CR3 domain, leading to hypermethylation near specific
681 transcription factor binding motifs.

682

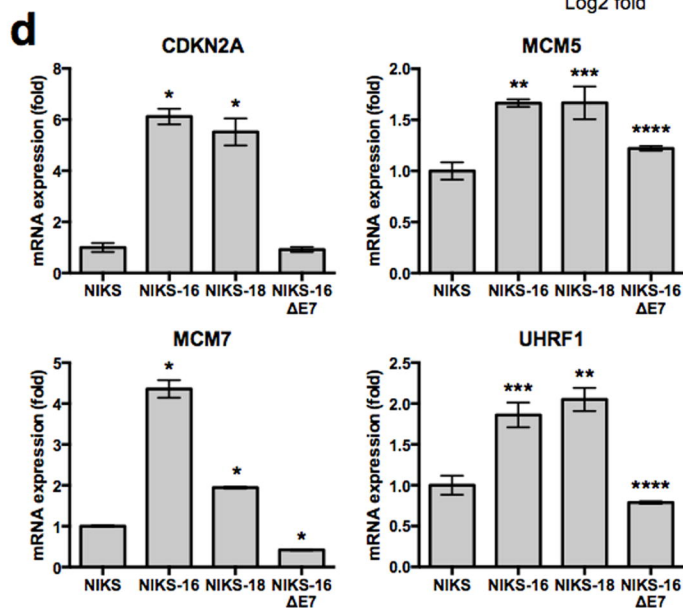
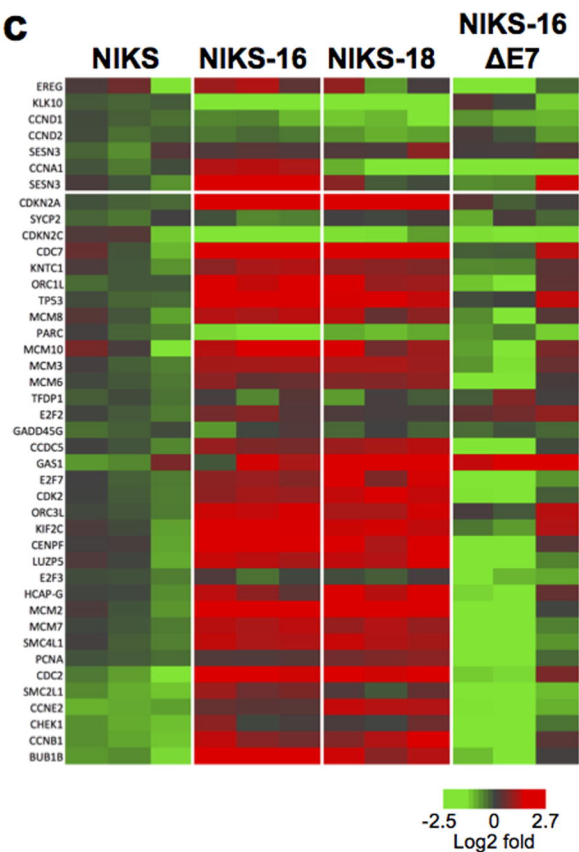
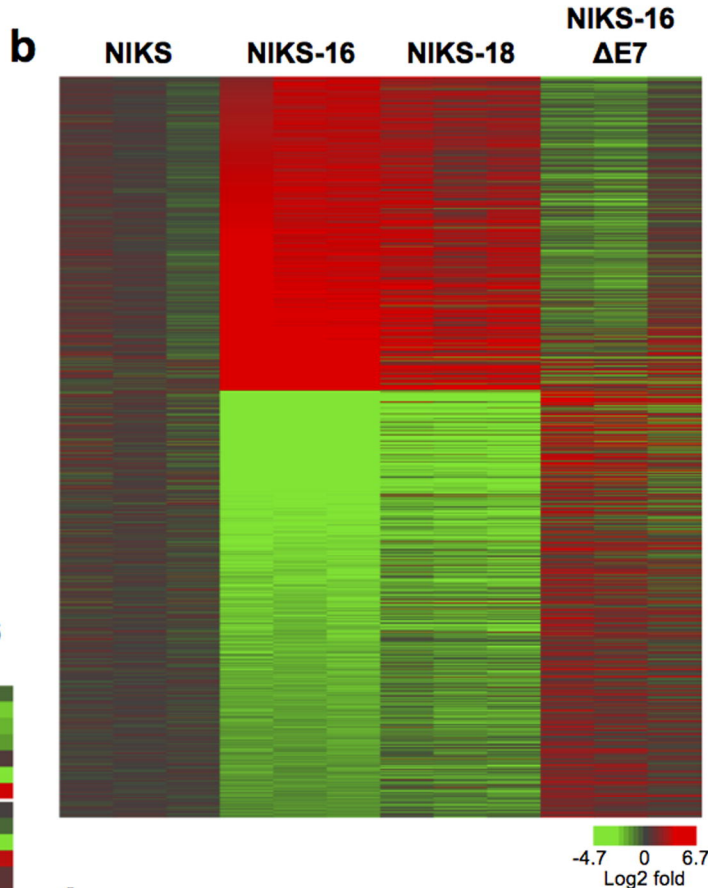
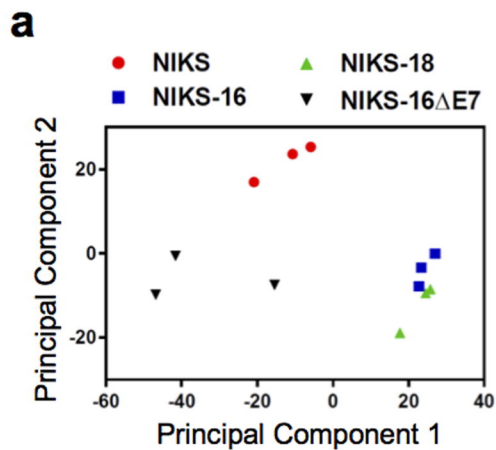
683 **Figure 5. Promoter activity of the *HLA-E* CGI is repressed by DNA methylation. (a)**

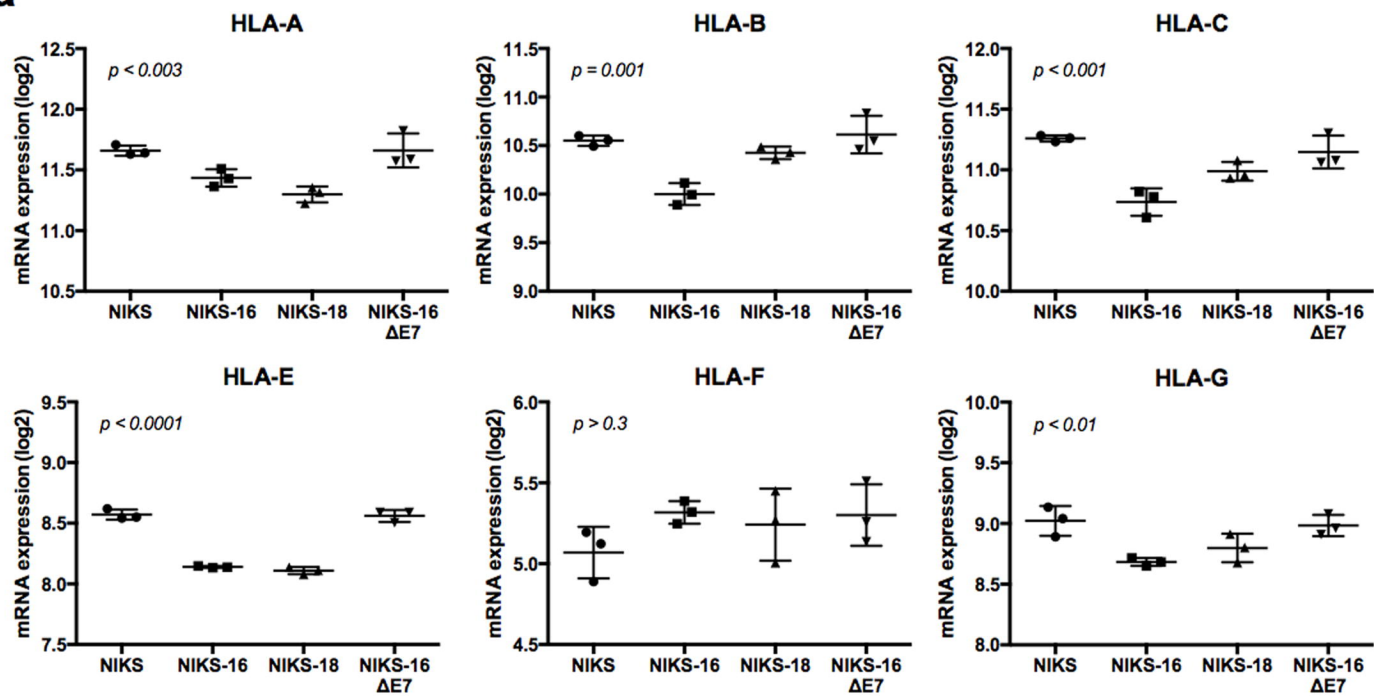
684 Schematic representation of pCpGL plasmid constructs. The *HLA-E* CGI (HLAE CGI) was
685 directionally cloned into pCpGL constructs indicated by an arrow head at the 3' end. **(b)** 293FT
686 cells were transfected with indicated pCpGL constructs (panel **a**) along with a *Renilla* luciferase
687 (RL) plasmid as a transfection control. Luciferase activity was measured 24 hours post
688 transfection using the Dual Luciferase Reporter Assay (Promega). Representative data of three
689 independent experiments is shown as a fold ratio (FL/RL) of relative light units (RLU) from
690 quadruplicates. **(c)** The pCpGL plasmid containing the *CXCL14* promoter element was incubated
691 with M.SssI methyltransferase (MT) or buffer only (untreated). Samples were subsequently
692 treated with buffer only (uncut), BstUI or McrBC methylation-sensitive endonucleases. Samples
693 were separated in 0.7% agarose gel to verify *in vitro* methylation. **(d)** 293FT cells were
694 transfected with M.SssI MT-treated (methylated) or buffer only control (unmethylated) pCpGL

695 reporter constructs (described in panel **a**) along with an RL plasmid as a transfection control.
696 Luciferase activity was assessed. Fold changes to the unmethylated reporter constructs are
697 plotted. Shown are representative data of four to five repeats. *P*-values were calculated by
698 Student's *t* test. **p* < 0.0005, ***p* < 0.005, ****p* = 0.01.

699

700 **Figure 6. High-risk HPV E7, but not low-risk HPV E7, is sufficient for downregulation of**
701 ***HLA-E* protein expression in keratinocytes.** NIKS and NIKS derivative cells were dissociated
702 to single cell populations using citric saline buffer, fixed in 4% paraformaldehyde, incubated
703 with HLA-E or HLA-B/C antibodies, and assessed by flow cytometry as described in
704 Supplementary Methods. HLA-E (**a**) and HLA-B/C (**b**) protein expression in NIKS (grey) and
705 NIKS-16 (red) cells. (**c-f, and h**) NIKS cells stably expressing E7 from HPV6, 11, 16, and 18
706 (NIKS-6E7, NIKS-11E7, NIKS-16E7, and NIKS-18E7, respectively) were generated by
707 lentiviral transduction followed by puromycin selection. HLA-E (**c and d**) and HLA-B/C (**e and**
708 **f**) expression in NIKS cells (grey) and NIKS cells expressing high-risk (HPV16 and 18, red) or
709 low-risk (HPV6 and 11, blue) E7 was analyzed by flow cytometry. HLA-E expression in NIKS-
710 16 cells mock treated or treated with 5 μM 5-aza for five days was assessed by flow cytometry (**g**
711 **and h**). Shown are representative data of three repeats.



a**b**