

## Household-level social-ecological risk factors for dengue infection in Ecuador

1 Social-ecological factors and preventive actions decrease the risk of dengue infection at the  
2 household-level: results from a prospective dengue surveillance study in Machala, Ecuador

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### 1 **ABSTRACT**

#### 2 **Background**

3 In Ecuador, dengue fever and other febrile diseases transmitted by the *Aedes aegypti* mosquito  
4 are among the greatest public health concerns in urban coastal communities. Community- and  
5 household-level vector control is the principal means of controlling disease outbreaks. The aim  
6 of this study was to assess the impact of dengue prevention knowledge, attitudes, and practices  
7 (KAPs), as well as social-ecological factors, on the presence or absence of acute or recent  
8 dengue infections in the household.

#### 9 **Methods**

10 As part of dengue surveillance in Machala, Ecuador, we invited individuals with an acute dengue  
11 illness to participate, along with other members of the household and members of four  
12 neighboring households. We conducted diagnostic testing for dengue on all study participants,  
13 and we surveyed heads of households (HOHs) regarding KAPs. We compared KAPs and social-  
14 ecological factors between households with (n=139) versus without (n=80) acute or recent  
15 dengue infections, using bivariate analyses and multivariate logistic regression models with and  
16 without interactions.

#### 17 **Results**

18 In bivariate analyses (but not multivariate modeling), the presence of dengue infections was  
19 positively associated with HOHs who were male, employed, and of younger age than households  
20 without recent or acute dengue infections ( $p<0.05$ ). Dengue infection was not associated with  
21 knowledge or attitude, or on reported barriers to prevention activities. Significant risk factors in  
22 multivariate models included proximity to abandoned properties, interruptions in piped water,

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1 and shaded patios ( $p < 0.05$ ). Significant protective factors included use of mosquito nets,  
2 fumigation inside the home, piped water inside the home ( $p < 0.05$ ).

### 3 **Discussion**

4 Specific effective actions that can be considered to decrease the risk of dengue infections in the  
5 household include alteration of shade on the property, fumigating inside the home, and use of  
6 mosquito nets. Community-level interventions include clean-up of abandoned properties, daily  
7 trash pick-up, and reliable piped water inside houses. These interventions could potentially  
8 reduce the risk of other diseases transmitted by the *Ae. aegypti* mosquito, such as chikungunya  
9 and Zika fever.

10

### 11 **Author summary**

12 Dengue, chikungunya and Zika viruses are transmitted to people primarily by the *Aedes aegypti*  
13 mosquitoes in tropical and subtropical regions. Diseases transmitted by the *Ae. aegypti* mosquito  
14 are a growing public health concern. Mosquito control is the principal means to preventing and  
15 controlling disease outbreaks, since vaccines are not readily available. In this study, we  
16 compared the characteristics of households with and without dengue infections in the city of  
17 Machala, Ecuador. We found that risk factors for dengue infection included proximity to  
18 abandoned properties, interruptions in the piped water supply, and a highly shaded patio.  
19 Protective factors included the use of mosquito nets, fumigation inside the home, and piped  
20 water inside the home. These findings can be used to inform targeted vector control interventions  
21 by the public health sector at the household and community levels.

22

23 **Keywords:** dengue infection, *Aedes aegypti*, vector-borne, prevention, KAP, Ecuador

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### 1 INTRODUCTION:

2           Dengue fever is a febrile illness caused by the *Flavivirus* DENV, of which there are four  
3 serotypes (DENV1-4). Infections may be asymptomatic, or have symptoms ranging from fever,  
4 rash, and joint pain to hemorrhage, shock and sometimes death. About 3.9 billion people, in 128  
5 countries, live in areas that are at risk of infection with dengue viruses (1,2). The dengue virus is  
6 transmitted primarily by the *Aedes aegypti*, a tropical mosquito that has adapted to live and breed  
7 in urban environments (3,4). *Ae. aegypti* also transmits chikungunya and Zika viruses, which are  
8 now co-circulating with DENV in populations in the tropics and subtropics (5,6). Because  
9 vaccines and therapeutics against these diseases are not available, with the exception of the  
10 dengue vaccine in several countries, community-level and household-level vector control is the  
11 principal means of controlling disease outbreaks (7).

12           The female mosquitoes will oviposit in most any water-bearing container, which become  
13 the habitat of juvenile mosquitoes, such as water storage drums, tires, discarded containers, and  
14 flower pots (8-11). Therefore, preventive practices against dengue include covering water  
15 storage containers, eliminating standing water, adding larvicides to water containers, and general  
16 clean-up of potential water receptacles (12,13). Placing screens on windows to protect against  
17 the mosquito vector is also effective in preventing dengue (13). Indoor residential spraying in  
18 households has been shown to decrease the dengue vector *Ae. aegypti* in the household (14), and  
19 may decrease the risk of further infections in households with dengue infections (15). Other  
20 vector control methods include Wolbachia infections and introduction of genetically-modified  
21 sterile mosquitoes into the population (16).

22           One aspect of community interventions against dengue is an effort to change people's  
23 knowledge, attitudes and practices (KAPs), under the model that increasing knowledge and

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1 changing attitudes toward dengue will help lead to an increase in preventive practices, and  
2 ultimately result in the decreased spread of dengue infections. To date, many studies have been  
3 published on the KAPs of people in dengue-endemic areas, with varied results. While most  
4 demonstrate that knowledge and attitude are associated with preventive practices (17-28), it  
5 should be noted that due to resource needs and other barriers, knowledge alone is not enough to  
6 create a sustainable change in behavior (29).

7         Studies of the association between social-demographic factors and KAPs have produced  
8 conflicting results. Demographic variables that have been reported to be associated with KAPs  
9 in one or more study include sex, age, marital status, education, literacy, employment,  
10 occupation, income, ethnicity, and religion (17,21,22,24,26,28,30-35). Other social-ecological  
11 factors associated with KAPs include frequent fogging of the neighborhood (20), adequate  
12 resources and assistance from public health staff (21), presence of dengue in the neighborhood  
13 (36,37), presence of mosquitoes in the neighborhood (35), internet access (30), community  
14 support or governmental infrastructure to control neighboring and public spaces (38), and having  
15 a reputable source of information such as health personnel or head of the village (27).

16         Less is known about the impact of social-ecological factors and KAPs on the prevalence  
17 of dengue infection as the ultimate outcome of interest. A recent case-control study in China  
18 showed that housing conditions, dengue knowledge, individual prevention actions, and  
19 elimination of larval habitat was associated with the dengue risk (39). In other studies, the  
20 presence of dengue antibodies (IgG, indicative of a past infection) in individuals was associated  
21 with dengue knowledge, housing density and housing conditions (37, 40-44).

22 Other studies have identified the attributes of communities considered to be dengue transmission  
23 hotspots, including demographics, dengue knowledge and prevention actions.

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1           In Ecuador, dengue fever and other febrile diseases transmitted by the *Ae. aegypti*  
2 mosquito, are among the greatest public health concerns in urban coastal communities, which  
3 have the highest burden of disease in the country. Over a five-year period (2010 to 2014), 72,060  
4 cases of dengue illness were reported in Ecuador, with an annual average of 14,412 cases (45).  
5 The prevalence of dengue illness peaks in the rainy season from February to May. Based on  
6 active surveillance in 2014 and 2015 (46), the prevalence is greatest in children and young adults  
7 under the age of 20, who accounted for 51% of all acute or recent dengue infections. Secondary  
8 infections present with more symptoms, and are more likely to result in hospitalization. The  
9 proportions of primary and secondary dengue infections vary from year to year, as do the  
10 dominant DENV serotypes in circulation. For every medically-attended case, there are about  
11 three additional unreported dengue infections, 43% of which do not have any dengue symptoms.

12           The Ministry of Health is the primary government institution responsible for dengue  
13 control in Ecuador. Control includes a schedule of indoor residual spraying with deltamethrin  
14 and ultra-low volume with malathion fogging in high-risk urban communities at the beginning of  
15 the rainy season, and household visits by inspectors to treat water-bearing containers with an  
16 organophosphate larvicide (abate/temefos). Additionally, the MOH conducts focal vector  
17 control (including indoor residual spraying) in and around the households and neighboring  
18 households of people with suspected or laboratory-confirmed dengue infections. Response times  
19 for such activities are variable, depending on the resources available to the MOH. Educational  
20 interventions for dengue prevention include television and radio campaigns, fliers, outreach to  
21 patients in Ministry of Health clinics, and community education meetings. KAP studies provide  
22 important baseline information to guide the development of targeted interventions that provoke

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1 desired behavioral changes by community members (e.g., water storage and health care seeking  
2 behaviors).

3         Prior studies in Ecuador by our group identified social-ecological risk factors for the  
4 presence of *Ae. aegypti* using entomological household surveys, and for dengue infections using  
5 geo-referenced epidemiological case reports, aggregated to the neighborhood level (47,48).  
6 These studies found that dengue risk was associated with older age and female gender of the  
7 head of the household, greater access to piped water in the home, poor housing condition,  
8 household water storage practices, higher housing density, and lack of knowledge and low risk  
9 perception. Prior studies also assessed the dengue KAP of community members and health care  
10 providers (49,50). Community members reported that dengue control required considerable time  
11 and resources, which was a greater challenge for low-income households (51), and healthcare  
12 providers reported insufficient resources to diagnose and treat dengue. Community members and  
13 health care providers had common misconceptions about dengue epidemiology and the behavior  
14 of the mosquito vector (i.e., biting habits, larval habitat), thus limiting their ability to prevent the  
15 disease in their homes.

16         As part of an ongoing dengue surveillance study in Machala, Ecuador (46), we invited  
17 individuals with an acute dengue illness (index cases) to participate in the study, along with other  
18 members of the household and members of four neighboring households located within 200 m of  
19 the index house. We conducted diagnostic testing for dengue on all study participants, and we  
20 surveyed heads of households regarding household demographics, housing condition, and  
21 dengue prevention KAPs. Here, we present the results of analysis of the association between  
22 these risk factors and the presence or absence of acute or recent dengue infections in the  
23 household in 2014 and 2015.

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### 2 **METHODS:**

#### 3 **Ethics Statement:**

4           This protocol was reviewed and approved by Institutional Review Boards (IRBs) at  
5 SUNY Upstate Medical University, the Luis Vernaza Hospital in Guayaquil, Ecuador, and the  
6 Ecuadorean Ministry of Health. Prior to the start of the study, all participants engaged in a  
7 written informed consent or assent process, as applicable. In the event the participant was unable  
8 to participate in the informed consent or assent process, a recognized health-care proxy  
9 represented them in the process and documented consent. The study population included children  
10 (>6 months) to adults.

11

#### 12 **Study Site:**

13           Machala, Ecuador (population of approx. 276,000) is the capital city of El Oro Province  
14 and is a major port in the coastal lowland region (Figure 1). It is located 70 kilometers north of  
15 the Peruvian border. Machala is typical of mid-sized cities in Latin America that experienced  
16 rapid, unplanned growth from 1960 to 1980, resulting in uneven access to piped water, garbage  
17 collection, and paved roads in the urban periphery (48,50).

18           In Ecuador, dengue causes the greatest burden of mosquito-borne febrile illness and is a  
19 major public health concern. Historically, DENV was eradicated from Ecuador in the 1950s with  
20 support from the Rockefeller Foundation and the Pan American Sanitary Bureau, primarily  
21 through the use of DDT to control *Ae. Aegypti* (52,53). Following a weakening of the vector  
22 control program and the re-introduction of *Ae. aegypti* in the 1970s and 1980s, DENV-1 re-  
23 emerged in Ecuador in 1988 causing an major epidemic of classic dengue fever (54). From 1993



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1 to 1999, three serotypes circulated: DENV-1, DENV-2 (American strain), and DENV-4. In 2000,  
2 DENV-3 and DENV-2 (Asian strain) were introduced and the first cases of severe hemorrhagic  
3 dengue were subsequently reported (53,55). Dengue is now hyper-endemic in the coastal  
4 lowland region, where all four serotypes have co-circulated since the year 2000 (55,56). In 2014  
5 and 2015, the years of this study, there were an estimated 186 to 201 cases of dengue infection  
6 per 10,000 people per year (46).

7

### 8 **Study design:**

9 The ascertainment and recruitment of households into this study is described in detail  
10 elsewhere (46). Briefly, individuals who present with clinically-suspected dengue at one of five  
11 clinical sites operated by the Ministry of Health in Machala, Ecuador are invited to participate in  
12 this ongoing study. In this paper, we present an analysis that includes participants from January  
13 2014 through September 2015.

14 After giving informed consent, the participants were tested for dengue infection using the  
15 dengue NS1 rapid strip test (PanBio). A random subset of dengue rapid test-positive individuals  
16 was invited to participate in a household study, and these households are referred to herein as  
17 index households. In addition, individuals from four neighboring households (within 200m)  
18 were invited to participate in the household study, and are referred to as associate households  
19 (57).

20 The household study consisted of three parts: a survey of the head of the household,  
21 interviewer observation of household characteristics, and blood draw of each household member  
22 who was available and who consented for dengue testing. The survey was completed by the head  
23 of the household (self-identified), or a proxy (adult age 18 years or greater who was at home

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1 during the study team visit, usually the husband or wife) if not available. The survey included  
2 questions about the demographics of the head of the household, household demographics, access  
3 to water and sewage services, water storage and use practices, knowledge and attitudes about  
4 dengue, and prevention activities employed by members of the household. The interviewers'  
5 observations included condition of the house and patio, construction materials, presence and  
6 condition of screens in windows and doors, and presence of uncovered standing water on the  
7 property. The survey instrument has been provided in English (Supplementary Text 1) and  
8 Spanish (Supplementary Text 2)

9 Blood samples from study participants were tested for dengue using RT-PCR, NS1 rapid  
10 strip test, and PanBio commercial ELISA assays for NS1 and dengue IgM assays. See Stewart  
11 Ibarra, 2017, for details of the diagnostic testing procedures (46). A participant was categorized  
12 as having an acute or recent dengue infection if he or she tested positive for any one of these  
13 tests. Households were characterized as having dengue present if anyone in the household tested  
14 positive for dengue. All index households, by definition, had dengue present.

15

### 16 **Statistical analysis:**

17 Statistical analyses were conducted using SAS version 9.4. Bivariate analyses of  
18 households with versus without dengue were conducted using Chi-square, Fisher's Exact, or t-  
19 tests. Multivariate logistic regression was conducted in two steps using the proc logistic  
20 command with backward selection. In the first step, all potential main effects were included in  
21 the analysis. In the second step, two-way interactions between all of the variables identified in  
22 the first step were added to the analysis.

23

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### 1 **RESULTS:**

2           As part of a larger dengue surveillance and research study, we conducted a household  
3 study that consisted of a head of household survey, interviewer observation, and testing for acute  
4 or recent dengue infections. From January 2014 through September 2015, a total of 219  
5 households were included in the household study: 44 index households and 175 associate  
6 households (Figure 1). These households were distributed across the city of Machala, thereby  
7 distributing a range of social-ecological conditions. Most of the households (n=161) were  
8 recruited during 2014 and the rest (n=58) were from 2015. The head of the household was  
9 female in 24.2% of households, and had a mean age of 47.8 (SD=13.6) years. The households  
10 were classified as having (n=139) or not having (n=80) a member with an acute or recent dengue  
11 infection.

12           We compared the social-ecological characteristics and reported barriers to dengue  
13 prevention in households with versus without dengue infections (Table 1). In bivariate analyses,  
14 the presence of dengue infections was positively associated with heads of households who were  
15 male, employed, and of younger age than households without dengue ( $p<0.05$ ). Households with  
16 dengue infections were more likely to have a patio with more than 50% shade or to have adjacent  
17 abandoned property, and were less likely to have piped water inside of the house or have their  
18 trash picked up daily ( $p<0.05$ ).

19           We also compared KAPs in households with versus without dengue infections (Table 2).  
20 The households with versus without dengue infections did not differ on any of the five  
21 knowledge and attitude questions, or on reported barriers to dengue prevention activities. We  
22 asked survey respondents about whether they engaged in twelve different preventive activities.  
23 The most commonly-reported dengue prevention activities were eliminating standing water

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1 (37.9%), covering water containers (37.9%), fumigating inside the house (37.9%), cleaning the  
2 garbage (37.0%), applying chemicals to standing water (i.e., larvicides) (25.6%) and using  
3 mosquito nets (20.6%). Households with dengue infections were more likely to report that they  
4 applied chemicals to standing water, and were less likely to report the use of indoor fumigation  
5 (Table 2). The other prevention activities did not differ between households with versus without  
6 dengue infections.

7 All social-ecological factors and KAPs were used in a logistic regression analysis to  
8 identify a multivariate model to predict the presence of an acute or recent dengue infection in the  
9 household (Table 3). Model 1 included main effects only, and demonstrated that abandoned  
10 properties nearby, frequent interruptions in water supply, and patios with >50% shade were risk  
11 factors for dengue. Protective factors in this model included piped water inside the house,  
12 fumigation inside the house, use of mosquito nets, and reporting cost of as a barrier to protective  
13 practices. The strongest factor in this model was the presence of >50% shade on the patio, with  
14 an adjusted odds ratio (adj. OR) of 16.2 (95%CI: 2.98-88.1,  $p=0.001$ ).

15 We then added all two-way interactions of these variables to the model, and eliminated  
16 non-significant factors and in a backward selection process. In Model 2, the presence of a patio  
17 with more than 50% shade was highly predictive of dengue infections in the household, with an  
18 adj. OR of 13.3 (95%CI: 3.2-54.3,  $p=0.0003$ ), compared to households without a patio or with a  
19 patio that had <50% in the shade. Use of mosquito nets was protective against dengue infections  
20 in this model (adj. OR=0.39, 95%CI: 0.18-0.85,  $p=0.02$ ). There were two significant interaction  
21 terms in Model 2. First, fumigation in the house was protective against dengue when there were  
22 no abandoned houses nearby (adj. OR=0.19, 95%CI: 0.09-0.42,  $p<0.0001$ ) but not when there  
23 was one or more abandoned properties nearby. Second, fumigation was protective when there

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1 was piped water inside the house (adj. OR=0.19, 95%CI: 0.09-0.39,  $p<0.0001$ ) but not when  
2 there was no piped water in the house.

3

### 4 **DISCUSSION:**

5 In this study, we found that specific social-ecological factors and preventive actions were  
6 associated with effective dengue control. The strongest predictor of dengue infections in the  
7 household, in both multivariate models, was having a patio that was more than 50% shaded with  
8 an adj. OR of 12 to 16. Patio shade and patio condition have been shown to be associated with  
9 the presence of *Ae. aegypti* mosquitoes in prior studies, including studies in Machala (48, 58).  
10 Our results also support the use of mosquito nets, as people who did not report the use of  
11 mosquito nets were about twice as likely to live in a household that had an acute or recent  
12 dengue infection. Other studies have shown associations among dengue infections, KAPs and  
13 demographic factors. In our study, with dengue infection as the outcome, demographic variables  
14 are not significant factors in the multivariate model. Likewise, knowledge and attitude responses  
15 were not associated with dengue in the household.

16 Abandoned properties nearby and lack of piped water inside the house were significant  
17 predictors of dengue infections in the house when only main effects were included in Model 1,  
18 but not in Model 2. Likewise, fumigation inside the home was found to be protective against  
19 dengue infections in Model 1, but only in conjunction with other factors in Model 2. The  
20 statistical interactions suggest that the risk factors of abandoned properties and lack of piped  
21 water inside the house cannot be overcome with fumigation inside the home. That is, fumigation  
22 inside the home is only effective in the absence of abandoned properties nearby and the presence  
23 of piped water inside the house. Prior studies in Peru (14) and Australia (15) demonstrated the

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1 impacts of indoor residual spraying on a reduction on *Ae. aegypti* densities and dengue risk. In  
2 our study, 37.9% of participants reported fumigation inside the house as a preventive action, but  
3 we did not distinguish between fumigation by the Ministry of Health versus by the household  
4 members themselves. Many people in Ecuador fumigate their own homes, and there are a  
5 variety of products available on the market (51). It should be noted that insecticide resistance in  
6 *Ae. aegypti* has been reported in this region (A. Stewart, pers. comm.). Resistance is a major  
7 public health concern, since insecticides are one of the primary means of controlling *Ae. aegypti*  
8 transmitted diseases (59). Studies are ongoing to document the prevalence of resistance to  
9 specific groups of insecticides, to inform vector control interventions.

10 In this study, 20.6% of households reported the use of mosquito nets, and use of nets was  
11 associated with a 2.6-fold decreased risk of dengue in the household. Other studies have failed  
12 to find an association between mosquito net use and dengue infections (60), presumably because  
13 *Ae. aegypti* feeds during the day (morning and afternoon) (61). However, nets could provide  
14 protection for children napping during the day, and could prevent further spread of the virus by  
15 viremic individuals resting at home under mosquito nets during the day (62). We did not  
16 distinguish between insecticide-treated and untreated mosquito nets, nor did we gather  
17 information on the use of nets (i.e., bed nets versus curtains). Insecticide-treated bed nets offer  
18 protection both as a physical barrier during daytime sleeping, and by killing mosquitoes that  
19 come into contact with them. Further research is needed to elucidate the association between  
20 mosquito nets and dengue protection observed in this study.

21 There were two additional social-ecological factors that were significant in bivariate  
22 analyses but not multivariate model: daily trash pick-up, and application of chemicals to standing  
23 water. Applying chemicals to standing water positively correlated with having dengue in the

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1 household, probably because those who responded “yes” had standing water to begin with. In  
2 our experience, chemical application refers to the larvicides (temefos/abate) provided by the  
3 Ministry of Health, the use of bleach by households to purify the water. Daily trash pick-up  
4 appears to be protective against dengue in the household, and could be considered by  
5 communities as part of dengue prevention programs, even though it was not included in the  
6 multivariate model.

7         The results of this study contribute to a growing body of knowledge on the role of social-  
8 ecological factors and KAPs on the prevalence of dengue infection. Risk factors vary by  
9 location and over time, highlighting the importance of local studies to understand disease risk  
10 factors and to inform localized interventions. In a recent case-control study in China, Chen and  
11 colleagues showed that living in old apartment buildings increased the risk of dengue infection,  
12 while knowledge of dengue fever, use of repellent, and cleaning trash/water containers decreased  
13 the risk of dengue (39). In studies from Cameroon, India, the Texas-Mexico border, Sudan, and  
14 Key West, Florida, USA, the presence of dengue antibodies (IgG, indicative of a past infection)  
15 in individuals was associated with lack of knowledge about dengue fever, high household density  
16 (more than three people per bedroom), more than two children in the home, water storage, lack  
17 of air conditioning, and poor housing conditions (40-44). In a Malaysian study, the  
18 seroprevalence of dengue IgG in school children was positively associated with  
19 apartment/condominium homes and households in a rural setting, while neighborhood fogging,  
20 preventive actions and knowledge were associated with the absence of seropositivity in the  
21 community’s school children (37). In a community-level study in Singapore, investigators  
22 compared the attributes of communities that were and were not transmission dengue hotspots.  
23 They found that protection factors included male heads of households, higher education, having

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1 landed property, knowledge of preventive practices, and practicing certain preventive activities  
2 (changing water in vases or bowls on alternate days, and removing water from flower pot plates  
3 on alternate days) (36).

4         The main strength of this study is that we were able to look at acute and recent dengue  
5 infections as the outcome variable of interest, while many prior studies look at the use of  
6 preventive activities. The main limitation to this study is that we have no way of knowing where  
7 the individuals with dengue were infected. In addition to the home, individuals could also have  
8 been exposed at other locations such as school or work, and we do not account for risk factors at  
9 these locations. In the bivariate analyses, employment by the head of the household was a risk  
10 factor for dengue, suggesting that exposure at work may be an important factor. A second  
11 limitation is that most of the dengue-positive households in this study were index cases, all of  
12 whom were identified through health care facilities. Bias related to health care-seeking behavior  
13 may have been introduced as a result. Ideally, the analysis would include only associate  
14 households, but the sample size would have been too small for statistical analysis. We chose to  
15 include all households in the analysis in order to maximize the power of our analysis. A third  
16 limitation is that it is not possible to rule out the possibility that members of households with  
17 acute or recent dengue infections have recently changed their behavior in response to the dengue  
18 infection. Finally, for individuals who tested positive only for IgM, the infection could have  
19 occurred up to two to three weeks prior.

20         Our results suggest that specific actions at the household and community levels could  
21 reduce the spread of dengue infections. In resource-limited communities such as Machala,  
22 public health actions by the Ministry of Health could focus vector control interventions in high-  
23 risk households and communities. Tun-Lin and colleagues have shown that targeted



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1 interventions based on either types of water containers (63) or conditions of the household (64)  
2 can be at least as effective as non-targeted interventions. As in those studies, we found that  
3 homes next to abandoned properties and homes with heavily-shaded patios should be high-  
4 priority homes for interventions. However, we did not find any specific container type to be  
5 associated with dengue in the household. Future studies should investigate the impact of the  
6 following interventions on dengue infections: alteration of shade on the property, fumigating  
7 inside the home, and use of mosquito nets. Community-level interventions include clean-up of  
8 abandoned properties, daily trash pick-up, and reliable piped water inside houses. Our results  
9 suggest that these community actions moderate the effectiveness of fumigation in prevention of  
10 dengue infection, and thus represent very important components of a community approach to  
11 dengue prevention. These community and household level interventions should also provide  
12 some protection against other *Ae. aegypti*-borne diseases such as chikungunya and Zika fever.

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14

### 15 **Availability of data and materials**

16 Since the publication includes confidential patient information, we cannot make the datasets  
17 fully available with the paper. This would be in violation of confidentiality as expressed in the  
18 Informed Consent approved by U.S. and Ecuadorian IRBs. This study reports the results of a  
19 two-year arbovirus surveillance study, where we collected sensitive patient information  
20 including demographics, clinical presentation, home address, and final disease diagnosis. The de-  
21 identified datasets in the current study are available from Lisa Ware at [warel@upstate.edu](mailto:warel@upstate.edu) on  
22 reasonable request.

23

## Household-level social-ecological risk factors for dengue infection in Ecuador

### 1 **Competing Interests**

2 The authors declare no competing interests, financial or non-financial.

3

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11

### 12 **Authors' Contributions**

13 AMSI and TE had overall responsibility for the study, which included the study conception,  
14 collaboration with co-authors on the study protocol, and oversight of data collection. AK  
15 analyzed the data and drafted the manuscript. All co-authors provided critical revision of the  
16 article and approved the final manuscript.

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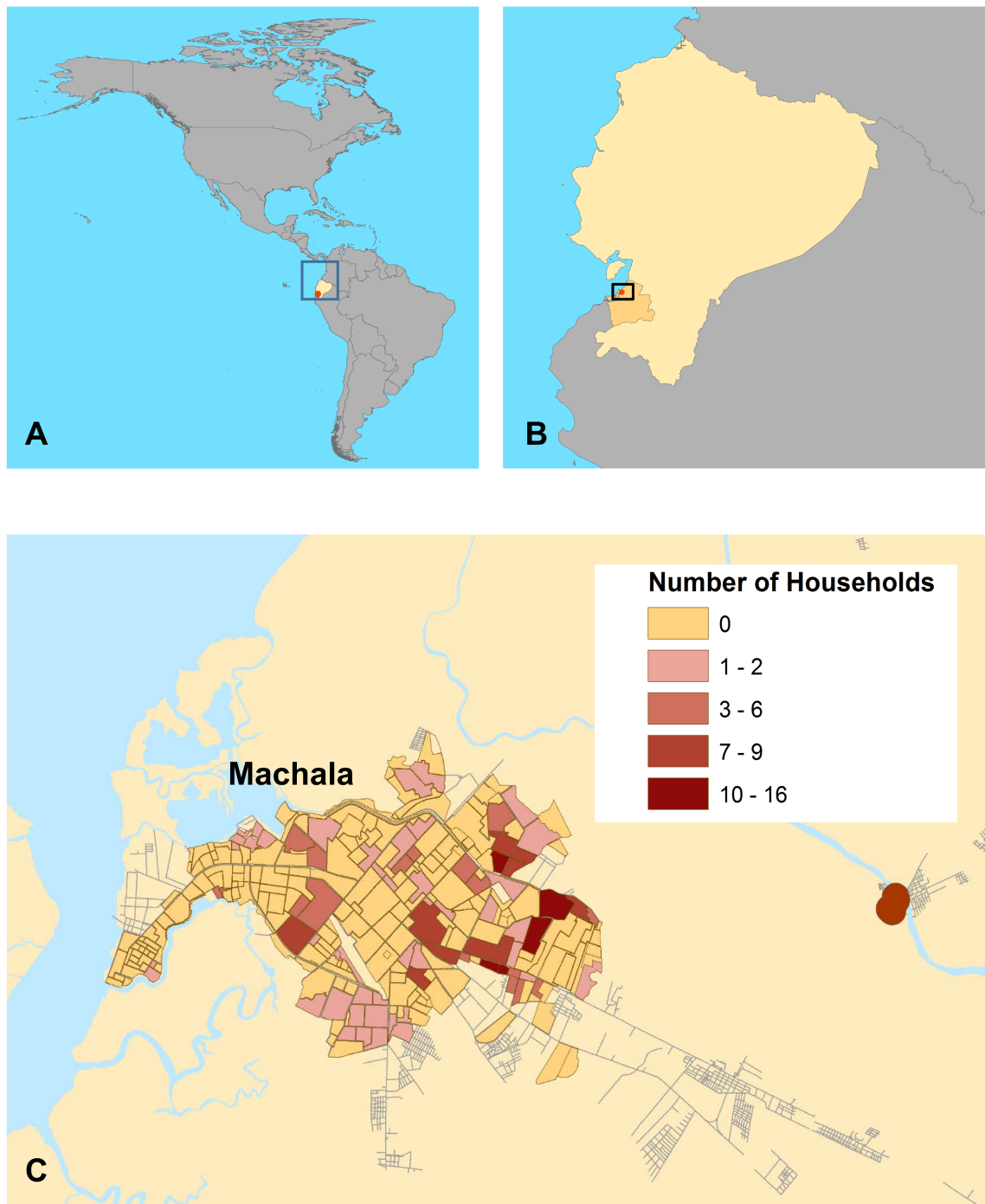
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2 **Figure 1.** A map of the study site and distribution of study households. (A) location of Ecuador in the  
3 Americas (B) location of the city of Machala, El Oro Province, Ecuador (C) the distribution of  
4 households surveyed in this study. Household locations were aggregated to the neighborhood level for de-  
5 identification. Some clusters (5 households) have been disaggregated across block boundaries.

Household-level social-ecological risk factors for dengue infection in Ecuador

- 1 Table 1: Social-ecological factors in households with versus without acute or recent dengue
- 2 infections.

	All households N=219	Households without dengue N=80	Households with dengue N=139	p- value
<b>Head of Household Characteristics</b>				
Age - mean (SD)	47.8 (13.6)	50.3 (14.7)	46.3 (12.8)	<b>0.0403</b>
Sex – female	53/219 (24.2%)	36/80 (32.5%)	27/139 (19.4%)	<b>0.0296</b>
Education – secondary or more	128/215 (59.5%)	47/78 (60.3%)	81/137 (59.1%)	0.8708
Employed	182/219 (83.1%)	59/80 (73.8%)	123/139 (88.5%)	<b>0.0051</b>
If employed, make more than minimum wage	74/165 (44.9%)	24/52 (46.2%)	50/113 (44.2%)	0.8231
If employed, employment is stable	132/12 (72.5%)	43/59 (72.9%)	89/123 (72.4%)	0.9410
<b>Household Characteristics</b>				
# People in household - mean (SD)	4.57 (1.90)	4.29 (1.86)	4.73 (1.91)	0.0999
# bedrooms - mean (SD)	2.53 (1.06)	2.49 (1.10)	2.55 (1.04)	0.6726
#People per bedroom - mean (SD)	2.12 (1.32)	2.02 (1.32)	2.18 (1.32)	0.3926
# families on property – mean (SD)	1.40 (0.88)	1.40 (1.04)	1.40 (0.78)	0.9815
Rented	38/219 (17.4%)	11/80 (13.8%)	27/139 (19.4%)	0.2856
Other renters on property	23/219 (10.5%)	10/80 (12.5%)	13/139 (9.35%)	0.4644
Condition of patio				
No patio	44/218 (20.2%)	16/80 (20.0%)	28/138 (20.3%)	
Disorganized	34/218 (15.6%)	9/80 (11.2%)	25/138 (18.1%)	
Average	106/218 (48.6%)	41/80 (51.2%)	65/138 (47.1%)	
Very organized/clean	34/218 (15.6%)	14/80 (17.5%)	20/138 (14.5%)	0.5712
Shade on patio				
No patio	44/218 (20.2%)	16/80 (20.0%)	28/138 (20.3%)	
Sunny (<25% shade)	50/218 (40.8%)	39/80 (48.8%)	50/138 (36.2%)	
Partial (25-50% shade)	35/218 (26.2%)	32/80 (27.5%)	35/138 (25.4%)	
Shaded (>50% shade)	25/218 (12.8%)	3/80 (3.75%)	25/138 (18.1%)	<b>0.0172</b>
Screens on all windows	63/219 (28.8%)	22/80 (27.5%)	41/139 (29.5%)	0.7533
Screens have no holes	58/98 (51.0%)	22/37 (59.5%)	28/61 (45.9%)	0.1931
Standing water present	94/217 (43.3%)	35/79 (44.3%)	59/138 (42.8%)	0.8245
Standing water in trash bins	30/219 (13.7%)	10/80 (12.5%)	30/139 (14.4%)	0.6955
Standing water in 55 gallon drums	49/219 (21.4%)	17/80 (21.2%)	32/139 (23.0%)	0.7620
Standing water in puddles	33/219 (15.1%)	11/80 (13.8%)	22/139 (15.8%)	0.6790
Standing water in tires	9/219 (4.11%)	4/80 (5.00%)	5/139 (3.60%)	0.7271
Abandoned property nearby	69/217 (31.8%)	18/79 (22.8%)	51/138 (37.0%)	<b>0.0310</b>
<b>Services</b>				

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Piped water inside house	166/219 (75.8%)	68/80 (85.0%)	98/139 (70.5%)	<b>0.0159</b>
Always running water	110/218 (50.5%)	36/80 (45.0%)	74/138 (53.6%)	0.2197
Air conditioning	23/219 (10.5%)	10/80 (12.5%)	13/139 (9.35%)	0.4644
Cistern or elevated tank	160/219 (73.1%)	60/80 (75.0%)	100/139 (72.0%)	0.6234
Other water storage	95/219 (43.4%)	36/80 (45.0%)	59 /139 (42.4%)	0.7135
Sewage services	187/219 (85.4%)	72/80 (90.0%)	115/139 (82.7%)	0.1427
Trash picked up daily	54/219 (24.6%)	26/80 (32.5%)	28/139 (20.0%)	<b>0.0411</b>

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Household-level social-ecological risk factors for dengue infection in Ecuador

1 Table 2. KAPs in households with versus without acute or recent dengue infections.

	All households N=219	Households without dengue N=80	Households with dengue N=139	p-value
<b>Knowledge and Attitudes</b>				
Considers dengue to be a serious problem in the community.	196/218 (89.9%)	69/80 (86.2%)	127/138 (92.0%)	0.1722
Believes dengue is a severe disease.	197/219 (89.9%)	69/80 (86.2%)	128/139 (92.1%)	0.1665
Believes dengue prevention in the household is difficult or impossible.	53/218 (24.3%)	23/79 (29.1%)	30/139 (21.6%)	0.2127
Knows that dengue is transmitted by mosquitoes.	197/218 (90.8%)	70/80 (87.5%)	127/137 (92.7%)	0.2013
Knows breeding location of mosquitoes.	212/218 (96.8%)	79/80 (98.8%)	133/139 (95.7%)	0.2141
Believes that lack of information is a barrier to prevention	39/219 (17.8%)	10/80 (12.5%)	29/139 (20.9%)	0.1193
Believes that cost is a barrier to prevention	15/219 (6.85%)	6/80 (7.50%)	9/139 (6.47%)	0.7724
Believes that lack of time is a barrier to prevention	20/219 (9.13%)	7/80 (8.75%)	13/139 (9.35%)	0.8815
Believes that too many mosquitoes is a barrier to prevention	39/219 (17.8%)	13/80 (16.2%)	26/139 (18.7%)	0.6475
Reports no barriers to prevention	119/219 (54.3%)	48/80 (60.0%)	71/139 (51.1%)	0.2019
<b>Prevention Actions Taken</b>				
Screens on windows/doors	22/219 (10.0%)	7/80 (8.75%)	15/139 (10.8%)	0.6285
Apply repellent	22/219 (10.0%)	10/80 (8.63%)	12/139 (12.5%)	0.3593
Clean garbage	81/219 (37.0%)	28/80 (35.0%)	53/139 (38.1%)	0.6441
Burn palosanto	11/219 (5.02%)	6/80 (7.50%)	5/139 (3.60%)	0.2029
Cover water containers	83/219 (37.9%)	24/80 (30.0%)	59/139 (42.4%)	0.0675
Shut windows/doors	21/219 (9.59%)	9/80 (11.2%)	12/139 (8.63%)	0.5265
Cut vegetation	3/219 (1.37%)	0/80 (0%)	3/139 (2.16%)	0.1858
Apply chemicals to standing water	56/219 (25.6%)	13/80 (16.2%)	43/139 (30.9%)	<b>0.0165</b>
Eliminate standing water	83/219 (37.9%)	25/80 (31.2%)	58/139 (41.7%)	0.1238
Pour diesel on the floors/puddles	2/219 (0.91%)	1/80 (1.25%)	1/139 (0.72%)	0.6910
Fumigate in my house	83/219 (37.9%)	45/80 (56.2%)	38/139 (27.3%)	<b>&lt;0.0001</b>
Use mosquito net	45/219 (20.6%)	21/80 (26.2%)	24/139 (17.3%)	0.1131

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Household-level social-ecological risk factors for dengue infection in Ecuador

- 1 Table 3. Multivariate logistic regression model of predictors of acute or recent dengue infections
- 2 in the household.

<b>Analysis of Maximum Likelihood Estimates</b>						
<b>Parameter</b>	<b>DF</b>	<b>Estimate</b>	<b>SE</b>	<b>Wald Chi-Square</b>	<b>Pr &gt; ChiSq</b>	<b>OR (95%CI)</b>
<b>Model 1: Main Effects</b>						
Intercept	1	-1.5256	0.5209	8.5780	0.0034	
Abandoned property nearby	1	0.5292	0.2386	4.9191	0.0266	2.882 (1.131-7.342)
Frequent interruptions in water supply	1	0.4542	0.2110	4.6341	0.0313	2.480 (1.085-5.672)
Piped water inside the house	1	-0.9461	0.2912	10.5543	0.0012	0.151 (0.048-0.472)
Patio with >50% shade	1	1.3929	0.4318	10.4046	0.0013	16.213 (2.983-88.108)
Fumigation inside house	1	-0.7358	0.2102	12.2566	0.0005	0.230 (0.101-0.523)
Use mosquito nets	1	-0.5192	0.2540	4.1790	0.0409	0.354 (0.131-0.958)
Cost is a barrier	1	-0.8624	0.4392	3.8565	0.0496	0.178 (0.032-0.997)
<b>Model 2: Main Effects and Two-way Interactions</b>						
Intercept	1	1.2075	0.4201	8.2636	0.004	
Abandoned property nearby	1	0.3443	0.4495	0.5868	0.4437	
Piped water inside house	1	-0.2848	0.4643	0.3763	0.5396	
Patio with >50% shade	1	2.5854	0.7196	12.9066	0.0003	13.268 (3.238-54.373)
Fumigation inside house	1	0.3292	0.9075	0.1316	0.7168	
Use mosquito nets	1	-0.9427	0.4008	5.5337	0.0187	0.390 (0.178-0.854)
Abandoned property*fumigation	1	1.4994	0.7442	4.0596	0.0439	
Piped water inside*fumigation	1	-2.5311	0.9907	6.5277	0.0106	

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