

1 **Rapid whole genome amplification and sequencing of low cell numbers in a**  
2 **bacteraemia model**

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14

15 **Abstract**

16       Whilst next generation sequencing is frequently used to whole genome sequence bacteria from  
17 cultures, it is rarely achieved direct from sample, and even more rarely performed in a clinically  
18 relevant time frame. To demonstrate the potential of direct from blood sequencing a bacteraemia  
19 model was developed, using defibrinated horse blood to model whole human blood infections.  
20 Sample processing included removal of erythrocytes and lysis of white blood cells, before rapid and  
21 accurate none targeted amplification. The rapid approach to allow direct from sample sequencing,  
22 allowed greater than 92% genome coverage of pathogens of interest whilst limiting the sequencing of  
23 host genome (less than 7% of all reads). Analysis of *de novo* assembled reads allowed accurate  
24 genotypic antibiotic resistance prediction. The sample processing would be easily applicable to  
25 multiple sequencing platforms. Overall this model provides evidence that it is currently possible to  
26 rapidly produce whole genome bacterial data from low cell number sterile site infections.

27

28 **Introduction**

29       Bacterial sequencing of clinical isolates has been used in many settings, including for virulence  
30 determinants<sup>1-4</sup>, population structure<sup>5-6</sup> and outbreak investigations<sup>7-10</sup>. Almost exclusively current  
31 applications of sequencing rely either upon culture or targeted amplification imparting a diagnostic  
32 bias towards known pathogens and bacteria with known and relatively simple growth requirements.  
33 Bacterial whole genome sequencing is most often performed at reference or research centres to study  
34 population structures and evolution, with results rarely available in a clinically relevant time. One  
35 exception to this is the application of next generation sequencing to the diagnosis of tuberculosis<sup>11,12</sup>,  
36 where WGS was shown, in some cases, to be quicker than current routine methods for predicting  
37 resistance; however this process still relies on culturing of the bacteria prior to sequencing. Direct  
38 from sample sequencing of pathogens using an untargeted (metagenomic) approach has the potential  
39 to lower turnaround times and lower diagnostic bias. Metagenomic sequencing techniques have

40 already been applied to ecological studies<sup>13-16</sup>, gut microbiomes<sup>17-19</sup> and investigation and  
41 identification of viruses<sup>20-23</sup>.

42 In order to sequence a bacterial genome, at least 1 ng of DNA is needed, which is the equivalent  
43 of over a 200000 copies of the *E. coli* K12 genome. To directly sequence pathogens present at low  
44 levels (as few as 1 bacterial cell per ml blood) such as those found in sterile site infections an  
45 unbiased and high fidelity amplification enzyme is needed. Multiple displacement amplification  
46 (MDA) using  $\phi$  29 is an alternative method to PCR for the production of DNA in high enough  
47 amounts for sequencing.  $\phi$  29 MDA has the potential to decrease our reliance on primers and increase  
48 the lengths of DNA produced through amplification. This method has the advantage of being able to  
49 produce large lengths of DNA with lower errors than conventional PCR.  $\phi$  29 MDA has been applied  
50 to samples with very low starting DNA amounts from single cells (both prokaryotic and eukaryotic)  
51 and provided DNA in levels high enough to perform sequencing<sup>24</sup>. The high fidelity and 3'-5'  
52 proofreading activity reduces the amplification error rate to 1 in  $10^6-10^7$  bases compared to  
53 conventional Taq polymerase with a reported error rate of 1 in 90,000<sup>25</sup>. A single binding reaction  
54 can incorporate over 70kb<sup>26</sup>, meaning that this method is not limited by the length of the initial target  
55 like conventional PCR. It has been demonstrated that this method is suitable for use with single  
56 bacterial cells<sup>24</sup> and more recently malaria parasites directly from blood samples<sup>27</sup>. Bacteraemia is a  
57 major global cause of morbidity and mortality, with a large range of aetiologies and is a particular  
58 problem in healthcare settings<sup>28,29</sup>. The current microbiological diagnostic process is culture based  
59 often involving specialised equipment, with time to positivity varying due to aetiology and pathogen  
60 load. Although recent advances in MALDI-TOF processing has allowed direct identification of  
61 bacteria from positive blood cultures<sup>30,31,32</sup>, antibiotic sensitivities take a further 18 hours. The direct  
62 application of whole genome sequencing to blood samples would allow rapid pathogen diagnosis,  
63 along with simultaneous pathogen typing and genotypic resistance prediction. Furthermore, by  
64 applying unbiased pathogen detection method the diagnostic bias would be lowered. In order to allow  
65 to allow direct from sample sequencing, a two stage host cells removal was performed firstly red  
66 blood cells were removed using HetaSep®, which has previously been used to isolate nucleated cells

67 in the blood, particularly granulocytes but has not previously been applied to aid in pathogen  
68 isolation. Secondly a selective white blood cell lysis was undertaken using saponin<sup>33</sup> in order to  
69 release intracellular pathogens and aid in host nucleic acid removal.

## 70 **Methods**

### 71 *Model process*

72 Clinical isolates were collected from the Royal Free Hospital Hampstead, where they had been  
73 stored at -80°C after isolation from septic patients. Phenotypic data was produced using the BD  
74 phoenix and was retrieved from final hospital reports. Bacteraemia models were set up by adding an  
75 estimated ten bacterial cells, (*S. aureus* or *E. coli*) calculated using serial dilutions to 1ml horse blood.  
76 The workflow depicted in Figure 1 was then applied, with samples being cultured at each stage to  
77 assess bacterial survival, sample processing was repeated three times. 200 µl HetaSep® was added  
78 and the sample vortexed and incubated at 37°C for 10 minutes. 550 µl supernatant was removed and  
79 200 µl 5% saponin was added to a final 2% solution, and incubated at room temperature for 5  
80 minutes. 700µl sterile water was added for a water shock and incubated at room temperature for 30  
81 seconds before salt restoration with the addition of 21µl 5M NaCl. The sample was centrifuged at  
82 4000xg for 5 minutes and the supernatant discarded before addition of 2 µl turbo DNase1 and 5 µl of  
83 10x buffer (Ambion). The sample was vortexed and incubated at 37°C for 15 minutes EDTA was  
84 added to a final concentration of 15nM. The sample was then centrifuged for 5 minutes at 4000xg and  
85 the supernatant removed and discarded. The pellet was washed in decreasing volumes of PBS,  
86 initially 200µl then 100µl followed by 20 µl with each stage being centrifuged at 6000xg for three  
87 minutes.

88 Bacteria were suspended in a total of 4 µl sterile PBS. Extraction was performed using alkaline  
89 method; briefly cell suspensions were added to 200 mM potassium hydroxide (Qiagen) and 50mM  
90 dithiothreitol (Qiagen) and incubated at 65°C for 10 minutes. The reaction was then neutralised using  
91 neutralisation buffer (Qiagen). The sample was then briefly vortexed and placed on ice.

92 Amplification was performed using  $\phi$ 29 MDA (Repli-g Single Cell Kit Qiagen). A master mix  
93 was prepared on ice in a total volume of 40  $\mu$ l, with 29  $\mu$ l reaction buffer, containing endonuclease  
94 resistant hexamer primers and 2  $\mu$ l (40 U) of  $\phi$ 29 polymerase (Qiagen, REPLI-g Single Cell Kit). The  
95 extracted DNA was then added to the master mix and the sample was then incubated at 30<sup>0</sup>C for 2  
96 hours, and the reaction stopped by heating to 65<sup>0</sup>C for 3 minutes.

### 97 ***Sequencing***

98 DNA was quantified using Qubit BR kit and 3  $\mu$ g of  $\phi$ 29 MDA DNA was de-branched using S1  
99 nuclease in a 90  $\mu$ l reaction as follows, 3  $\mu$ l 10x buffer, 3  $\mu$ l 0.5M NaCl, 10  $\mu$ l S1 nuclease (1U/  $\mu$ l)  
100 with water to make the volume to 90 $\mu$ l. The digestion reaction was left at room temperature for 30  
101 minutes and the enzyme deactivated by incubating at 70<sup>0</sup>C with 6  $\mu$ l 0.5M EDTA. The DNA was then  
102 fragmented by nebulisation at 30psi for 180 seconds. The DNA was sequenced using the 454 Junior  
103 using the manufacturer's recommended methods. A negative library was produced by using sterile  
104 PBS in the  $\phi$ 29 MDA, this was then sequenced using the same method.

### 105 ***Data analysis***

106 Data analysis was performed in three stages, firstly host and contaminating reads were removed  
107 before abundance trimming, secondly reads were classified using lowest common ancestor (LCA)  
108 analysis and finally classified reads were assembled and analysed. Initially reads were mapped using  
109 Newbler standard parameters against host and a local contamination library consisting of sequenced  
110 negative controls and reads identified as contamination in previous runs. Unmapped reads were  
111 written into a new fastq file using a custom python script (supplementary material) and taken forward  
112 for further analysis. The remaining reads were abundance trimmed using Khmer<sup>34</sup> using two passes  
113 to a maximum depth of 50, to remove over represented reads produce by the  $\phi$ 29 MDA method.  
114 Following this the reads were error trimmed using Prinseq<sup>35</sup>, with ends being trimmed to a Q20 cut  
115 off. Blastn was then used to assign the reads against the nr database. Once completed lowest common  
116 ancestor (LCA) analysis was performed using MEGAN<sup>36</sup>, and reads associated with the bacterial  
117 species of interest were extracted to a new fastq file. These reads were then *de novo* assembled using  
118 standard parameters in SPAdes<sup>37</sup> assembly outputs were assessed using QUASt (Quality Assessment

119 Tool for Genome Assemblies)<sup>35</sup>. Reference assemblies were performed using the closest reference  
120 sequence identified using the LCA analysis. Antibiotic prediction was performed using Mykrobe<sup>11</sup>  
121 for *S. aureus* and ResFinder<sup>38</sup> for *E. Coli* using the *de novo* assembled reads.

## 122 **Results**

### 123 ***Sample processing***

124 When the full blood processing method was applied to *E. coli* and *S. aureus*, good survival rates  
125 was found for both bacteria through-out all stages with the final survival rate being 100%. Details of  
126 survival at each stage of processing can be found in Table 1

### 127 ***Sequencing results S. aureus***

128 After processing and sequencing the horse blood spiked with *S. aureus* the number of reads  
129 passing basic filter was 128500. Once known contaminants were removed and error and abundance  
130 trimming complete 124,145 reads remained. Using Blastn and MEGAN, 62.1% reads were identified  
131 as *S. aureus*. 6.76% of the reads were identified as the genus Equus, and 1.72% were identified as  
132 *Parascaris equorum*. When examining the *S. aureus* reads closer 4254 reads were identified to the  
133 subspecies level, (*Staphylococcus aureus subsp. aureus* HO 5096 0412), this subspecies has a  
134 complete genome available (GenBank GCA\_000284535.1) and was used as a reference for reference  
135 mapping. Reference mapping produced 451 contigs and covered 92% of the reference genome. When  
136 the reads identified as *Staphylococcus* using the LCA analysis were extracted and the reads *de novo*  
137 assembled 1212 contigs were produced with an N50 of 3882. When this was compared to the  
138 reference sequence 83% of the genome was covered with 10 misassemblies. Mykrobe analysis using  
139 the *de novo* assembly gave genotypic result for 12 antibiotics. When comparing the genotypic and  
140 phenotypic (BD Phoenix™) results matched in 11 of the 12 antibiotics. The results for ciprofloxacin  
141 were inconclusive in genotypic tests, but resistant by phenotypic methods.

### 142 ***Sequencing results E. coli***

143 Post sequencing 173597 reads passed the initial filter, once contaminants were removed and the  
144 reads error and abundance trimmed 170243 reads remained. Overall 73% of all reads remaining after

145 the analysis pipeline were identified as *E. coli*. 31959 (18%) reads had no identity. Unlike *S. aureus* it  
146 was not possible to type the *E. coli*, as 150 reads were assigned to O7:K1 and 211 reads were assigned  
147 to JJ1886. Reads which were identified as *Enterobacteriaceae*, *Escherichia* and *E. coli* by BLAST  
148 and LCA analysis were extracted from the fastq produced after pipeline completion. The genome of  
149 JJ1886 was available from the Integrated Microbial Genomes database (ID 2558309052), and the  
150 chromosomal sequence was used as the reference against which the extracted reads were assembled.  
151 After reference mapping assembly 93.5% of the genome was covered in 548 contigs. When the reads  
152 were *de novo* assembled, 89% of the same reference was covered in 1334 contigs. The *de novo*  
153 assembly was used to identify several resistance markers using ResFinder. The resistance markers  
154 included *dfrA*, conferring trimethoprim resistance, *gyrA* conferring resistance to fluoroquinolones.  
155 *mdtK* an efflux pump conferring resistance to norfloxacin and *blaCMY-2* which is an AmpC,  
156 conferring resistance to beta-lactams including cephalosporins. Additionally, eight drug efflux  
157 systems were identified. These results were concordant to the phenotypic data.

## 158 **Discussion**

159 Direct diagnostics using WGS from clinical samples would, in many ways, provide the ideal  
160 diagnostic method. By providing all the information from whole genome data with the speed of direct  
161 sample testing. However, there are various obstacles, including low pathogen numbers, high host  
162 background and difficulty in interpreting genotypic data. Here, a model was prepared to demonstrate  
163 the potential for direct from sample sequencing from whole blood.

164 Fresh whole horse blood was used to model bacteraemia, as it was readily available. The process  
165 was developed to remove RBCs early in the process, as they represent the largest proportion of the  
166 cellular makeup of blood (up to 96%<sup>39</sup>), debulking the sample, and preventing release of oxidative  
167 agents. Selective lysis on WBCs allowed the release of any intracellular pathogens and exposed host  
168 nucleic acid to nucleases. *S. aureus* and *E. coli* were chosen due to different cell wall types and cell  
169 morphology; both demonstrated good survival during the developed sample processing.

170 The majority of sequencing reads from spiked horse blood were associated with the spiked  
171 bacteria (62.1% *S. aureus* and 73% *E. coli*), considering the horse genome is over 500 times larger  
172 than the *E. coli* genome this shows that the vast majority of the host material was removed. Overall  
173 there was good concordance of phenotypic and genotypic results showing the potential for rapid  
174 genotypic prediction of antibiotic resistance from 10 bacterial cells in 1 ml of host blood. The  
175 inconclusive ciprofloxacin results demonstrate the need for improved understanding of the  
176 mechanisms of resistance. Ciprofloxacin resistance is harder to predict as it is chromosomal mutation  
177 rather than gene acquisition. Three mechanisms of fluoroquinolone resistance have been proposed in  
178 *S. aureus*, Topoisomerase IV gene mutations, DNA gyrase gene mutations and an active efflux pump  
179 (NorA)<sup>40</sup>. The complexity of predicting ciprofloxacin resistance suggests that the database may be  
180 lacking in its ability to predict ciprofloxacin resistance, and so this is the most likely cause of the  
181 inconclusive result for ciprofloxacin resistance. Additionally the creators of Mykrobe (Bradley et al<sup>11</sup>)  
182 found a false negativity rate of 4.6% for ciprofloxacin resistance.

183 In addition to identifying the isolates resistance to beta-lactams the database was able to identify  
184 the blaZ gene and MecA gene. Genotypic testing will never entirely replace phenotypic susceptibility  
185 testing, due to its inability to identify novel resistance determinants and the comprehensive nature of  
186 phenotypic testing. However, in this scenario, of invasive sepsis, the gain in speed provided by not  
187 having to culture the organism to determine susceptibility could be life-saving.

188 Multiple antibiotic resistance factors were identified in the *E. coli*, which gave good concordance  
189 with the phenotypic data. Using the genotypic data, it was possible to rapidly identify the beta-  
190 lactamase present as BlaCMY-2. The rapid identification of the specific resistance genes in bacteria  
191 could help identify outbreaks by providing more information than a simple antibiogram. Additionally,  
192 it could help monitor novel resistance genes, or genes that are increasing in incidence. Large amounts  
193 of horizontal genome transfer amongst Gram negative bacteria has the potential to cause outbreaks of  
194 resistance bacteria through genes or plasmids<sup>41</sup>, which would be more complex to track. Rapid  
195 identification of genes causing the resistance in isolates could help inform epidemiological and  
196 outbreak studies which could involve several species of bacteria. The output for the resistance



197 prediction was complex to interpret with several genes identified which didn't always have specific  
198 drug resistance associated. This highlights a down side of generic databases, as they are often time-  
199 consuming to interpret. A study of NGS sequencing data from bacteraemia isolates of *E. coli* have  
200 shown resistance prediction specificity of 97%<sup>2</sup>, if this was coupled with direct from sample  
201 sequencing genotypic prediction could inform treatment more rapidly than phenotypic test.

202 Limitations of this model include differences in horse and human blood, and difference in sample  
203 between spiked bacteria and bacteria causing a true infection. Additionally, factors in the blood such  
204 as immune and inflammatory reactions could alter the efficiency of the preparation method. However,  
205 this model shows proof of principal that direct unbiased sequencing directly from blood is possible  
206 and could be used to diagnose and inform treatment of bacterial bloodstream infections.

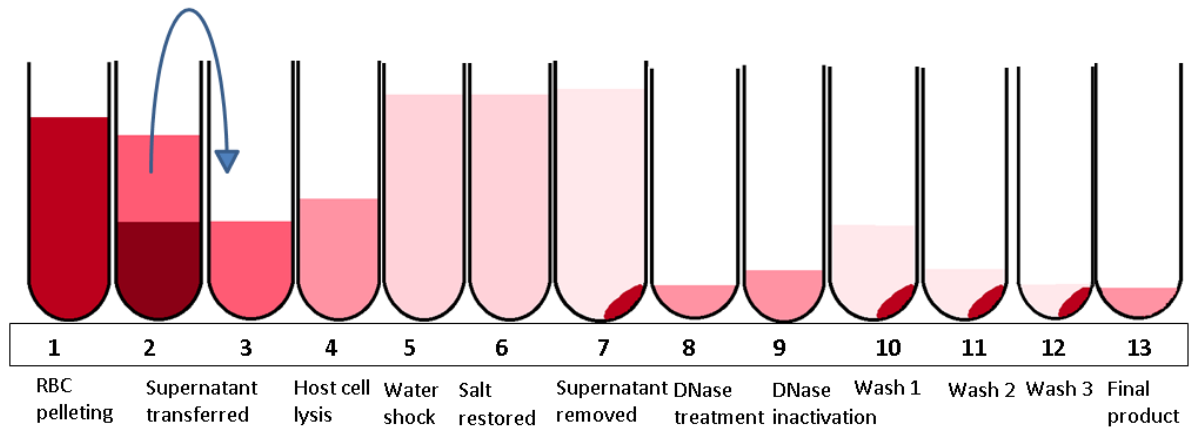
207 The method presented here is easily adapted to allow application to other sequencing platforms.  
208 Overall the method presented allows sufficient DNA for whole genome sequencing of pathogens in  
209 blood to be produced within a single day.

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211

212

213 **Tables and Figures**



214

215 **Figure 1** Illustration of the process for bacterial isolation from whole blood using HetaSep and  
 216 selective lysis with Saponin. Numbers refer to sampling points where bacterial recovery was  
 217 investigated.

218

Stage		<i>S. aureus</i>	<i>E. coli</i>
1	Spiked cells	10	11
2	RBC separation-bottom	0	0
3	RBC separation-top	10	9
4	Addition of Saponin	12	9
5	Water shock	12	12
6	Salt restoration	10	10
7	Cell pelleting-top	0	0
	Cell pelleting-bottom	9	9
8	DNase treatment	11	12
9	EDTA and PBS	10	12
10	Wash 1-top	0	0
	Wash 1-bottom	9	11
11	Wash 2-top	0	0
	Wash 2-bottom	10	10
12	Wash 3-top	0	0
	Wash 3-bottom	8	9
13	Final pellet	11	9

219 **Table 1** the average number of CFU isolated at each processing stage for bacterial isolation from  
 220 whole blood using HetaSep and selective lysis with Saponin after workflow improvements. Numbers  
 221 refer to sampling points where bacterial recovery was investigated as illustrated in **Figure 1**

222

	Phenotypic-(MIC)	Genotypic	Comment	224
<i>E. coli</i>				
Ampicillin	R (>8)	R	blaCMY-2	225
Ceftriaxone	R (>4)	R	blaCMY-2	
Ciprofloxacin	R (>1)	R	gyrA	226
Colistin	S (≤0.5)	S		227
Gentamicin	S (≤0.25)	S		
Levofloxacin	R (>2)	R	gyrA	228
Meropenem	S (≤0.25)	S		
Nalidixic acid	(>16)	R	ermB	
Nitrofurantoin	S (≤16)	S		
Trimethoprim	R (>4)	R	dfrA	
<i>S. aureus</i>				
Ciprofloxacin	R (>2)	Inconclusive		
Clindamycin	S (≤0.25)	S		
Erythromycin	S (≤0.25)	S		
Fusidic acid	S (≤1)	S		
Gentamicin	S (<1)	S		
Methicillin	R (oxacillin 2)	R	MecA	
Mupirocin	S (≤1)	S		
Penicillin G	R (> 0.25)	R	blaZ	
Rifampicin	S (≤0.25)	S		
Tetracycline	S (≤0.5)	S		
Trimethoprim	S (≤1)	S		
Vancomycin	S (1)	S		

**Table 2** phenotypic and genotypic result for antibiograms of *E. coli* and *S. aureus*

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