

1 **Single-cell and single-nucleus RNA-sequencing from paired normal-adenocarcinoma lung**
2 **samples provides both common and discordant biological insights**

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29 **Abstract**

30 Whether single-cell RNA-sequencing (scRNA-seq) captures the same biological information as single-
31 nuclei RNA-sequencing (snRNA-seq) remains uncertain and likely to be context-dependent. Herein, a
32 head-to-head comparison was performed in matched normal-adenocarcinoma human lung samples to
33 assess biological insights derived from scRNA-seq versus snRNA-seq and better understand the
34 cellular transition that occurs from normal to tumoral tissue. Here, the transcriptome of 160,621
35 cells/nuclei was obtained. In non-tumor lung, cell type proportions varied widely between scRNA-seq
36 and snRNA-seq with a predominance of immune cells in the former (81.5%) and epithelial cells
37 (69.9%) in the later. Similar results were observed in adenocarcinomas, in addition to an overall
38 increase in cell type heterogeneity and a greater prevalence of copy number variants in cells of
39 epithelial origin, which suggests malignant assignment. The cell type transition that occurs from
40 normal lung tissue to adenocarcinoma was often discordant whether cells or nuclei were examined. In
41 addition, we showed that the ligand-receptor interactome landscape of lung adenocarcinoma was
42 largely different whether cells or nuclei were evaluated. Immune cell depletion in fresh specimens
43 partly mitigated the difference in cell type composition observed between cells and nuclei. However,
44 the extra manipulations affected cell viability and amplified the transcriptional signatures associated
45 with stress responses. In conclusion, research applications focussing on mapping the immune landscape
46 of lung adenocarcinoma benefit from scRNA-seq in fresh samples, whereas snRNA-seq of frozen
47 samples provide a low-cost alternative to profile more epithelial and cancer cells, and yield cell type
48 proportion that more closely match tissue content.

49

50 **Keywords:** Single Cell, Single Nuclei, RNAseq, adenocarcinoma, lung cancer, cell type annotation,
51 Immune cell depletion

52 **Introduction**

53 Single-cell transcriptomics (scRNA-seq) has the ability to inspect the cellular heterogeneity of
54 tissue and cancer with unprecedented details, and as such provide important insights into the cellular
55 origin and cell-specific molecular defects that play a role in disease pathogenesis¹⁻⁴. However, given
56 the pace at which the field is evolving, uncertainties remain with respect to the design and analysis of
57 single-cell transcriptomic datasets in order to gain the most from priceless biological samples. Fresh
58 biospecimens are generally prioritized for cell viability and greater yield of high-quality cells. For
59 tissues, scRNA-seq requires disaggregating the tissue to release individual cells into a single-cell
60 suspension. Differences in dissociation and sample preparation efficiency across cell types are known
61 to affect RNA integrity and can skew cell type proportions. A well-known instance of dissociation bias
62 is observed in human lung tissue, where dissociation of fresh tumor (biopsies or resected specimens)
63 commonly results in a majority of immune cells being sequenced⁵⁻⁷. While the aforementioned cell-
64 type dissociation bias can be partly alleviated by enriching the epithelial cell fraction using EPCAM-
65 based cell sorting⁶, single cell preparation protocols may also affect cell viability and introduce
66 transcriptional signatures associated with dissociation and stress responses^{6,8,9}.

67 Analyzing nuclei (snRNA-seq) instead of cells has been proposed as an alternative for frozen
68 samples and tissues that cannot be readily dissociated¹⁰. While cellular compositions recovered from
69 scRNA-seq versus snRNA-seq can vary substantially¹¹, the transition from cell to nuclei sequencing
70 may help to reduce the dissociation bias and transcriptional stress responses, facilitate the study of
71 difficult-to-dissociate tissues and cell types, and allow the assessment of large cells that cannot pass
72 through microfluidics systems. At the same time, reference databases and cell type-specific gene
73 markers, which are readily used to annotate unknown cell populations, have been largely built from
74 scRNA-seq datasets⁴ and therefore may not be optimal for snRNA-seq. Cell types and gene expression
75 differences between scRNA-seq and snRNA-seq have been observed in mouse kidneys^{12,13} and

76 brain^{14,15} as well as in human metastatic breast cancer and neuroblastoma¹¹. However, head-to-head
77 comparisons between scRNA-seq and snRNA-seq are still scarce and to the best of our knowledge, this
78 direct comparison has never been evaluated in the context of patient-matched normal lung and tumor
79 tissues.

80 Lung cancer is highly prevalent and the number one cause of cancer mortality. It thus represents
81 a medically valuable case study to compare the biological signal recovered through cells and nuclei
82 sequencing. A variety of experimental designs and samples have been evaluated by scRNA-seq in
83 patients with lung cancer. This includes lung samples enriched (e.g. FACS-sorted) for immune cells^{16,17},
84 lung tumor of mixed histological types^{2,7}, and non-small cell lung cancer (NSCLC) samples before and
85 after targeted therapy¹⁸ or immunotherapy¹⁹. More specifically in lung adenocarcinomas (LUAD), the
86 most common histological subtype of lung cancer, which originates from epithelial cells that line the
87 inside of the lungs, resected specimens or biopsies from two to eleven^{2,5-7,20} patients have been
88 evaluated, but with a very limited number of paired normal-adenocarcinoma lung samples. Compared
89 with normal lung samples, epithelial cells from lung adenocarcinomas were characterized by a
90 depletion of alveolar cells (AT1 and AT2)^{2,6}, lost cell identity and more cells annotated as mixed-
91 lineage^{5,21}, higher transcriptome complexity and cell heterogeneity^{6,22}, patient-specific cancer cell
92 clusters¹⁸, transcriptional states associated with survival^{20,21}, and AT2 cells dedifferentiated into a stem-
93 like state²². The shift in immune cells from normal to LUAD samples observed in previous studies
94 were similarly informative. It unveiled an increase in B, plasma and T regulatory cells coupled with a
95 decline in natural killer cells as well as reduced signatures of cytotoxicity in T cells, antigen
96 presentation in macrophages, and inflammation in dendritic cells, which are all coherent features of an
97 immunosuppressive tumor microenvironment^{6,16}. Finally, differentially enriched ligand-receptor
98 interactions promoting tumorigenesis were also observed between LUADs and normal tissues^{6,20}.

99 Herein, specimens derived from the same patients were tested using both scRNA-seq in fresh
100 tissues and snRNA-seq from flash frozen tissues using the 10x Genomics[®] workflows. The biology
101 captured by both methods was compared in the context of paired tumor-normal human lung samples
102 explanted from patients that underwent surgery for lung adenocarcinoma. This study design revealed
103 the cellular and molecular transition that occurs from normal lung to adenocarcinoma, and evaluated
104 the commonality and discordance in the stemming biological insights gained from cells versus nuclei.
105 In addition, we compared the same paired normal-adenocarcinoma human lung samples using an
106 immune cell depletion protocol that alleviates the cell-type dissociation bias, with the aim of recovering
107 a more representative biological signal.
108

109 **Results**

110 **Single cell/Nucleus dataset preparation**

111 Four patients, two tissue type (Normal/Tumor) and three experimental methods (scRNA-seq,
112 snRNA-seq & immune-depleted scRNA-seq, hereafter labelled as *Cell*, *Nucleus* and *Immune-depleted*
113 *cell*) were processed for a total of twenty-four samples. 160,621 cells/nuclei passed quality control
114 (53,286; 57,078 and 50,257 for *Cell*, *Nucleus* and *Immune-depleted cell* datasets respectively) with a
115 mean of 6,692 cells per sample (6,661; 7,135 and 6,282 for *Cell*, *Nucleus* and *Immune-depleted cell*
116 datasets respectively, **Fig. 2A**) and a mean of 2,214 genes per cell (1,868; 2,309 and 2,473 genes for
117 *Cell*, *Nucleus* and *Immune-depleted cell* datasets respectively, **Fig. 2B**). The experimental design is
118 presented in **Fig. 1A-B**, while the clinical and cellular characteristics are detailed in **Tables S1 & S2**,
119 respectively.

120 From the 61 finest cell types annotations defined by Human Lung Cell Atlas (HLCA)⁴, 35 were
121 present in the current dataset at a frequency of >100 cells and we were able to annotate confidently
122 97.7% of cells at the coarsest level (*immune*, *epithelial*, *endothelial*, *stroma*, **Fig. 2C**, **Table S3**). This
123 reference-based mapping and annotation approach is consistent with a marker-based approach for both
124 the *Cell* and *Nucleus* datasets (**Fig. S1**). Nevertheless, cell type annotation scores were significantly and
125 consistently lower (smaller fraction of annotated cells) in the *Nucleus* compared to the *Cell* dataset
126 (two-way ANOVA, p -value < $2e-16$), fine-level compared to high-level annotations (p -value < $2e-16$)
127 and Tumor compared to Normal tissue (p -value < $2e-16$).

128

129 **Cell composition differs from Nucleus in Normal lung tissue.**

130 In **Fig. 3**, the UMAP visualisation showed that the *Cell* dataset from Normal lung tissue was
131 largely dominated by immune cells, with 23,044 immune cells (81.5% of total, **Fig. 3A**). Conversely,
132 the *Nucleus* dataset was dominated by epithelial cells, with 12,556 epithelial cells (69.9%, **Fig. 3B**). In

133 addition, the *Nucleus* dataset contained a larger fraction of unclassified cells compared to the *Cell*
134 dataset (7.3 % vs 0.1 %, Fisher Exact Test [FET], p -value $< 2e-16$).

135 To further refine the immune community of cells, we sub-setted only the immune cells and
136 labelled the plots with a finer level (level 3) annotation (*Cell*, **Fig. 3C**; *Nucleus*, **Fig. 3D**). We observed
137 that the *Cell* dataset provided a better fine-grained classification as proportionally more cells could be
138 classified into specific cell types. To this effect, the *Nucleus* dataset contained a larger fraction of
139 unclassified cells (41.7 % vs 0.7 %, FET, p -value $< 2e-16$).

140 We repeated this sub-setting approach for epithelial cells, given their primary role in the onset
141 of lung adenocarcinoma. We observed that *Cell* samples form distinct clusters mainly composed of
142 AT1, AT2 and multiciliated lineages (**Fig. 3E-F**). The *Nucleus* dataset, which had more than five times
143 more epithelial cells than the *Cell* dataset (12,556 versus 2,264), contained similar cell types and
144 mainly in similar proportions, except for a sizable fraction of unclassified cells that appeared largely
145 scattered in the UMAPs (10.9 % unclassified in *Nucleus* versus 1.29% in *Cell*, FET, p -value $< 2e-16$,
146 **Fig. 3E-F**).

147 In **Fig. 4**, we present, for each cell type (level 3 annotation), the fraction of cells originating
148 from each patient (**Fig. 4A**), the number of cells (**Fig. 4B**) and the number of genes per cell (**Fig. 4C**).
149 In **Fig. 4D-F**, we present the same information for the *Nucleus* dataset and this visualization confirmed
150 that the *Nucleus* dataset has similar cellular composition, except for the over-representation of immune
151 cells in the *Cell* dataset. Both in *Cell* and *Nucleus* datasets, epithelial cell types were dominated by AT1
152 first and then AT2; endothelial cell types were dominated by capillary; and stromal cell types were
153 dominated by fibroblasts. With respect to the number of genes (transcripts) per cell (**Fig. 4 C, F**), we
154 observed many discordant patterns between *Nucleus* and *Cell* datasets, indicating that similar cell types
155 presented different overall transcriptional signatures based on the experimental method. For example,
156 in the *Cell* dataset, median numbers of genes per cell were low for monocytes (635), but high for T

157 cells (1,709), and the pattern was in the opposite direction for the *Nucleus* dataset (Monocytes = 2,729,
158 T cells = 1,055). For their part, alveolar cells AT1 and AT2 contained 50% more genes expressed in the
159 *Cell* dataset (AT1: 2,479 and AT2: 3,126) compared to the *Nucleus* (AT1: 1,639 and AT2: 2,004), and
160 fibroblast two times as much (2,101 vs 1,061).

161

162 **The cellular origin of tumoral cells**

163 In **Fig. 5A**, the UMAPs showed that *Cell* sequencing samples from lung tumor tissues were
164 largely dominated by immune cell types (20,410 immune cells vs 5,764 in *Nucleus* dataset), while in
165 **Fig. 5B**, the *Nucleus* dataset were dominated by epithelial cells (27,362 epithelial cells in *Nucleus* vs
166 1,220 in *Cell* dataset). For both *Cell* and *Nucleus* datasets, cells appeared more scattered (i.e., more
167 heterogeneous) in the tumor compared to normal lung (median *silhouette index*_(Normal) = 0.69; median
168 *silhouette index*_(Tumor) = 0.53; two-way ANOVA, *p*-value < 2e-16, **Fig. S2**). This shows a suboptimal
169 cell type assignment of heterogeneous tumor samples to the described lung cell types from the HLCA
170 reference.

171 In **Fig. 6A-C**, we present, for each level 3 annotation cell type, the fraction of cells from each
172 patient (**Fig. 6A**), the number of cells (**Fig. 6B**), the number of genes per cell (**Fig. 6C**) and in **Fig. 6E-**
173 **G**, we present the same information for the *Nucleus* dataset. First, we observed that within a coarse
174 level annotation, similar cell types and similar proportions are observed in *Cell* and *Nucleus* datasets.
175 For example, T cells largely dominated the immune cells, fibroblasts dominated the stroma cells and
176 endothelial cell types were relatively rare. With respect to epithelial cells, these were mainly composed
177 of unclassified and AT1 in both *Cell* and *Nucleus* datasets, and secretory epithelial cells appeared to be
178 mainly segregated to patient 3. However, rare cell types were much more common in the *Nucleus* than
179 the *Cell* datasets.

180 To distinguish malignant and non-malignant cells, we defined a genome-wide summary score
181 (CNV score) that relies on gene expression levels to identify gene deletion and duplication and serves
182 as a proxy to identify cancerous aneuploid cells²³. This score was the highest for different epithelial cell
183 types depending whether we analysed the *Cell* dataset (rare, multiciliated lineage, AT1, unclassified,
184 **Fig. 6D**) or the *Nucleus* dataset (multiciliated lineage, secretory and unclassified, **Fig. 6H**). In addition,
185 we also noted that annotation scores were negatively correlated with CNV scores for *Cell* ($r^2 = 0.11$, p -
186 value $< 2e-16$) and *Nucleus* ($r^2 = 0.05$, p -value $< 2e-16$) datasets (**Fig. S3**).

187

188 **The cellular transition to lung adenocarcinoma**

189 Given the known epithelial origin of lung adenocarcinoma and the role of the immune system in
190 effectively controlling the growth of carcinoma cells, we analysed the transition in the proportions of
191 epithelial and immune cells from normal to adenocarcinoma tissue (**Fig. 7A-B**). Alveolar Type 1, AT2
192 and multiciliated cells decreased in relative abundance in adenocarcinomas, and this was consistent for
193 the *Cell* and *Nucleus* datasets. On the contrary, rare, secretory and unclassified epithelial cell types
194 increased in abundance in adenocarcinoma tissue in a consistent manner between *Cell* and *Nucleus*
195 datasets. For Immune cells, patterns were harder to interpret given the small number of immune cells in
196 the *Nucleus* dataset. Nevertheless, an augmentation of B and T cell lineages in adenocarcinoma was
197 found for both datasets, as well as a sharp drop in natural killer cells in the *Cell* dataset. For
198 macrophages and monocytes, a discordance in the transition from normal to tumor between scRNA and
199 snRNA was observed.

200

201 **The Ligand-receptor interactome differs between Cell and Nucleus**

202 In **Fig. 8A**, we visualised the incoming and outgoing interactions among 319 ligand-receptor
203 interactions (cell-cell contact) for the *Cell-Normal* dataset. The number of interactions between cell

204 types varies first according to the Cell vs. Nucleus method (two-way ANOVA, $F = 90.7$, p -value $< 2e$ -
205 16) and then the Normal vs. Tumor tissue type ($F = 68.2$, p -value = $3.6e-16$). In **Fig. 8B**, we show an
206 example of a typical pathway common in *Cell*, rare in *Nucleus* (Major Histocompatibility Complex-I)
207 and its interacting genes, which is more similar between *Normal* vs *Tumor* tissue of the same
208 experimental method (*Cell* vs *Nucleus*). An example pathway, rare in *Cell* but common in *Nucleus*
209 (Protein Tyrosine Phosphatase Receptor Type M) and its self interacting gene is presented in **Fig. 8C**.
210 In this case, each network shows differences according to both the experimental method and tissue.

211

212 **The effect of immune depletion on Cell sequencing**

213 In order to remove the large fraction of immune cells, we performed immune depletion in
214 Normal and Tumor single-cell suspensions. We confirmed that the *Immune-depleted cell* dataset was
215 enriched in epithelial cells and depleted in immune cells (**Fig. 9A-B**). As such, both the Normal and
216 Tumor tissues resemble the *Nucleus* dataset in the fact that they harbor a majority of epithelial cells
217 (61.5% and 69.9% of total for the *Immune-depleted cell* and *Nucleus* dataset, respectively), yet they
218 differ given that immune depleted cells harbor proportionally more endothelial (17.8% vs 4%) and
219 stromal (18.4% vs 7.9%) cell types, but less immune cells (1.3% vs 13.0%). In addition, Normal tissues
220 were largely composed of epithelial AT1 and AT2, while Tumor tissues also harbored secretory, rare
221 and unclassified cell types, much like the *Nucleus* dataset (**Fig. 9C-D**). Finally, as we observed for the
222 non-depleted dataset, we saw an increase in the heterogeneity from Normal to Tumor datasets (median
223 Silhouette index for each level 3 cell type annotation: s_i (Normal) = 0.56, median s_i (Tumor) = 0.2, two-way
224 ANOVA, p -value $< 2e-16$, **Fig. S2**).

225 Finally, we downloaded a set of 512 heat shock and stress response genes that were previously
226 identified as affected by the scRNA-seq method⁹. Ninety four percent (482 genes) of the genes in this
227 core dataset were also present in our current dataset, with varying levels of expression. More

228 specifically, the percentage of cells expressing these genes was largely dependent on the method (**Fig.**
229 **9E**, two-way ANOVA, p -value $< 2e-16$). The *Immune-depleted cell* dataset showed the highest
230 expression of the stress response genes, whereas on average a cell from the *Immune-depleted cell*
231 dataset expressed 21% of the 482 genes, compared to 11.0% and 6.9% for the *Cell* and *Nucleus* dataset,
232 respectively. In addition, the proportions of cells expressing this core set of stress response genes was
233 slightly, but significantly (p -value = $9.7e-8$) higher in Tumor than in Normal (12.4 % and 11.5 %,
234 respectively) tissue. In a similar manner, higher mitochondrial contamination is often considered a sign
235 of lower cell quality or viability²⁴ and we observed that the percentage of unique sequences (UMIs)
236 assigned to mitochondrial genes in the raw data prior to any filtering was significantly higher (two-way
237 ANOVA, p -value = $3.6e-5$) in the *Immune-depleted cell* (mean = 15.2 %) and *Cell* (11.2 %) compared
238 to the *Nucleus* (2.6%) dataset, while the tissue type (p -value = 0.10) had no significant effect (**Fig. S4**).

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244 **Discussion**

245 In this study we generated a dataset of 160,621 cells/nuclei showing commonalities and
246 discordances in biological insights derived from single-cell and single-nucleus RNA-sequencing of
247 paired normal-adenocarcinoma human lung specimens. A distinct portrait of cellular composition was
248 observed per experimental methods that favors scRNA-seq of fresh samples to map the immune
249 landscape of lung adenocarcinoma. On the other hand, snRNA-seq of frozen samples surpassed the
250 relative merits of scRNA-seq to obtain a dataset with cell type proportion that match tissue content and
251 to provide a more cost-effective approach for research applications necessitating a higher number of
252 epithelial and cancer cells (see **Table S4** for a summary of the benefits of each method). In these paired
253 lung samples, we identified gene expression and cell type transitions from normal to tumoral tissue that
254 were not always concordant whether cells or nuclei were examined. The most striking difference was
255 the ligand-receptor interactions that varied more across methods (cells vs. nuclei) rather than tissue
256 types (normal vs. tumor). Immune cell depletion partly alleviated the difference in cell type
257 composition between cells and nuclei, but at the detriment of inducing a stress response. Finally, our
258 analysis revealed that the recently proposed five-level hierarchical cell type annotation system by the
259 Human Lung Cell Atlas⁴ will require customization for assigning cell types from nuclei and tumor
260 samples.

261 Despite the fact that samples originated from the same patients' specimens, scRNA-seq and
262 snRNA-seq varied substantially in their recovered cellular compositions and transcriptional landscape,
263 thus highlighting the considerable impact of methodology on biological inference. While it has been
264 shown previously that cryopreservation of tissue sample (such as performed for snRNA-seq) results in
265 a major loss of epithelial cell types and an underrepresentation of T, B, and NK lymphocytes in the
266 single-nucleus libraries^{11,13}, it is not necessarily apparent which experimental method is more
267 biologically relevant. Slyper et al.¹¹ have suggested to analyse both fresh and frozen tissue, but this is

268 often unrealistic in practice. For their part, Denisenko et al.¹³ indicate that the apparent discordance in
269 the recovered cellular composition between scRNA and snRNA might be due to either an under-
270 representation of immune cells in snRNA, or an under-representation of other cell types cells in scRNA
271 due to incomplete dissociation. Early pioneering work in lung histology would suggest the latter,
272 whereas cell staining and electron microscopy has revealed that the alveolar regions of normal human
273 lungs are comprised mainly of epithelial, endothelial and interstitial cells, while immune cells
274 (macrophages) comprised a small fraction (~5%) of all cells identified²⁵. We thus conclude that in the
275 context of lung adenocarcinoma and patient-matched normal samples, snRNA-seq provides a dataset
276 comprising cell populations more closely matching tissue content.

277 In addition, we observed a decrease in cell viability in both depleted and non-depleted scRNA-
278 seq, likely due to the longer sample preparation times at room temperature. While this could be partly
279 alleviated by cold-activated proteases⁹, it favors snRNA-seq as a experimental protocol to preserve
280 sample integrity. Although immune depletion works well for removing immune cells and therefore
281 might draw a more accurate representation of the lung cellular composition that is closer to snRNA-seq,
282 it requires extra laboratory manipulations and has the adverse effect of affecting both cell viability (**Fig.**
283 **S4**) and inducing a dissociation transcriptional stress response (**Fig. 9E**), as shown previously¹².

284 The reference-based annotation used here provides an attractive alternative to unsupervised
285 analysis²⁶. We annotated the large majority of cells/nuclei in all tissue types, methods and patients (**Fig.**
286 **2, Fig. S5**) while showing that it performed as well as a marker-based approach, at least at the coarsest
287 annotation level (**Fig. S1**). Arguably, the confidence in this reference-based annotation approach
288 depends on several factors. Notably, the comprehensiveness of the reference, the quality and type of
289 query data and the level of cellular granularity required to answer the biological question of interest
290 will dictate the best approach to use. Nevertheless, an unsupervised-marker based approach also
291 depends on several factors such as the clustering algorithm, the gene markers used, and almost always,

292 the expertise and subjectivity of the person annotating the dataset^{27,28}. Here, annotation and mapping
293 were done using the same analytical framework for all samples and therefore provided an objective
294 overview of the transcriptional cellular landscape. Fortunately, we were able to use a recently published
295 comprehensive atlas of the lung (HLCA)⁴, although thorough cell atlases might not exist for all tissue
296 types, biological conditions and demographic states²⁹. The lower annotation scores observed in nuclei
297 and tumor samples and consequently the greater number of unclassified cells, especially at the finer
298 annotation levels suggest that these cells or nuclei have a distinct signature from the current reference
299 cell types. A similar phenomenon was also observed in the HLCA for different disease states⁴ and the
300 authors concluded themselves that the HLCA must be viewed as a live resource that will require
301 continuous updates in the future, including samples of diverse ethnic, clinical and experimental (e.g.
302 snRNA-seq) backgrounds.

303 During the transition from normal to tumoral tissue, we identified a drop in AT1, AT2 and NK
304 cells, concurrently with a rise in immune B and T cells, as previously identified^{2,6,16}. In addition,
305 tumoral cells showed an increased transcriptomic heterogeneity and a greater prevalence of copy
306 number variants in epithelial cells. Similarly, it has been described that NSCLC exhibit important
307 interpatient histologic heterogeneity and inferred origin of tumor cells³⁰. Here, we showed that
308 epithelial AT1, secretory and multiciliated lineages cell types had higher Copy Number Variants scores
309 than AT2, which suggests malignant assignment. Yet, the distinction between these epithelial cells is
310 not always straightforward, especially in a context of oncogenesis. Along those lines, we noted that
311 annotation scores were negatively correlated with CNV scores which implies that cells with high CNV
312 (likely carcinoma cells) lose their cellular identity and become harder to classify as distinct lung cell
313 types. During the construction of the HLCA, Sikkema *et al.*⁴ also noted that a significant fraction of
314 cells from adenocarcinomas did not cluster into the specific fine level cell types. Similarly, Wang *et*
315 *al.*²² argued that cancer cells originate from ‘AT2-like’ cells, but also nuanced this fact and stated that

316 these form a distinct cluster from regular AT2 cells and in fact, have a transcriptional profile closely
317 resembling other epithelial cells. Again, a more refined and thorough reference database will help to
318 solve these questions.

319 Ultimately, we hope to develop a comprehensive transcriptional resource for the identification
320 of cell-targeted biomarkers and therapeutic targets to treat and prevent LUAD and other ailing aspects
321 of the lung. Accordingly, this study may have clinical significance as immunotherapy is currently
322 revolutionizing the treatment of lung cancer. Response to immune checkpoint inhibitors relies on the
323 existing cell-cell interactions between tumor and T cells (e.g., commercial immunotherapy drugs
324 targeting the interaction between PD-1 in tumor cells and PD-L1 in T cells)³¹ and identifying accurate
325 biomarkers of response to immunotherapy is a major challenge in the field of lung cancer³².
326 Consequently, this seems like a clinical problem where single-cell genomics can provide a solution.
327 However, here we demonstrated that the ligand-receptor interactome landscape of lung
328 adenocarcinoma is largely different whether cells or nuclei are evaluated. This may lead to conflicting
329 prediction response to these novel immunotherapy agents. Accordingly, at least in the context of lung
330 cancer, the choice between scRNA-seq and snRNA-seq has important implications. Our results favor
331 scRNA-seq on fresh samples to provide a more comprehensive portray and granularity of the immune
332 cells diversity. On the other hand, it may not be representative of the true cellular community, and lead
333 to fewer difficult-to-dissociate tumor cells to assess relevant tumor-immune interactions. More studies
334 will be needed to assess the best methods as well as to overcome other barriers to move single-cell
335 genomics into the clinical setting³³.

336

337 **Materials and methods**

338 **Patients and samples**

339 Lung samples were collected from four patients that underwent curative intent primary lung
340 cancer surgery at the *Institut universitaire de cardiologie et de pneumologie de Québec – Université*
341 *Laval* (IUCPQ-UL) in 2021-2023, henceforth referred to patient 1, 2, 3 and 4. The four patients were
342 self-reported white French Canadian (European ancestry) with no prior chemotherapy and/or radiation
343 therapy, and all patients were between the age of 59 and 69, former smokers with adenocarcinomas
344 (See **Fig. 1** for overview of experimental design, and **Table S1** for detailed clinical characteristics of
345 patients).

346 Following surgery, the explanted lobes were immediately transferred to the pathology
347 department. For each patient, two $\square 1\text{ cm}^3$ fresh tumor samples and two $\square 1\text{ cm}^3$ non-tumor (normal)
348 lung samples located distant from the tumor were harvested. The first set of tumor/non-tumor samples
349 was transferred in dedicated tubes containing ice-cold RPMI (ThermoFisher, Cat. 11875093) for
350 immediate cell dissociation and single-cells RNA sequencing (scRNA-seq) experiment. The second set
351 of tumor/non-tumor samples was transferred in dedicated tubes, immediately snap-frozen in liquid
352 nitrogen and stored at -80°C until the day of the single-nucleus RNA sequencing (snRNA-seq)
353 experiment. A histologic slide of each specimen was stained (H&E) and reviewed by a pathologist.
354 Staging was performed using the 8th edition of the TNM Classification of Malignant Tumours³⁴. Lung
355 tissue samples were obtained in accordance with the Institutional Review Board guidelines. All patients
356 provided written informed consent, and the ethics committee of the IUCPQ-UL approved the study.

357

358 **Sample preparation for scRNA-seq**

359 Immediately after collection, the weight of each sample was recorded. Samples were transferred
360 to 6-well cell culture plates, washed twice with 3 mL ice-cold PBS (Thermo Fisher, cat. 10010023) to

361 remove excess blood and transferred to a 5 mL glass beaker. Using a 1 mL syringe and 25G needle,
362 300 μ L of Enzyme dissociation mix was injected in the tissue followed by mechanical mincing into
363 small fragments ($<1\text{mm}^3$) using spring scissors for 2 minutes. Samples were then transferred to 50 mL
364 Falcon tubes containing 5,7 mL of Enzyme dissociation mix and pipette mixed 5 times using wide bore
365 1 mL tips. The enzymatic digestion was performed at 37°C, using a Vari-Mix™ test tube rocker at max
366 speed for 35 minutes. Samples were pipette mixed 20 times after 15 and 30 minutes using wide bore 1
367 mL tips. Enzyme dissociation mix contained: Pronase 1250 μ g/mL (Sigma Aldrich, cat. 10165921001),
368 Elastase 18.4 μ g/ml (Worthington Biochemical, cat. LS006363), DNase I 100 μ g/mL (Sigma Aldrich,
369 cat. 11284932001), Dispase 100 μ g/mL (Worthington Biochemical, cat. LS02100), Collagenase A
370 1500 μ g/mL (Sigma Aldrich, cat.10103578001) and Collagenase IV 100 μ g/mL (Worthington
371 Biochemical, cat. LS 004186) in HBSS (Thermo Fisher, cat. 14170112). Enzymatic digestion was
372 stopped by adding 1.5 mL of fetal bovine serum (FBS, ThermoFisher, cat. A3840301) followed by
373 pipette mix 5 times using wide bore 1 mL tips. Dissociated cells were filtered through a 70 μ m strainer
374 and washed with 7.5 mL ice-cold PBS. Cells were then pelleted at 400g, 4°C for five minutes and
375 supernatant was removed. Three cycles of red blood cells removal were performed as follow: cell pellet
376 resuspended by manual agitation in 500 μ L of ACK Lysis Buffer (ThermoFisher, cat. A1049201) and
377 incubated on ice one minute. One mL of ice-cold PBS was added and cells were centrifuged at 400g,
378 4°C for two minutes and the supernatant was removed. The final pellet was resuspended in 500 μ L ice-
379 cold-PBS containing 0.04% Bovine Serum Albumin (BSA, Sigma Aldrich Cat. A7284) and 10% FBS.
380 Cell suspensions were successively passed through 100 μ m, 70 μ m and 40 μ m strainer using quick spin
381 to reach 400g to filtrate each sample. Samples were transferred to 2.0 mL low binding tubes and kept at
382 4°C. Cell count and viability were performed using a 1:1 mix of cell suspension, Trypan blue
383 (ThermoFisher, cat. 15250061), haemocytometer and conventional light microscopy. Cells suspensions
384 meeting the following criteria were accepted for scRNA-seq library preparation: absence of aggregated

385 cells, a viability >80%, and a total cell count between 400 and 1200 cells/ μ L. 1×10^5 cells were
386 transferred to a low binding 2 mL tube and kept at 4°C (non-depleted fraction). The remaining cells
387 (from 2 to 5 $\times 10^6$ cells) were submitted to CD45 immune cell depletion protocol (single cells depleted
388 fraction) as described below. The characteristics of the lung specimen and the single cell suspension for
389 each sample are given in **Table S2**.

390

391 **CD45 immune cell depletion**

392 Cells (from 2 to 5 $\times 10^6$ cells) were centrifuged at 300g, 4°C, 10 minutes. The supernatant was
393 removed and the cell pellet was resuspended in 80 μ L MACS buffer (0.5% BSA, 2 mM EDTA pH 8.0
394 in PBS) previously degassed for 1 hour at room temperature. Twenty μ L of CD45 microbeads
395 (Miltenyi Cat. 130-045-801) were added and sample was incubated 15 minutes at 4°C followed by
396 addition of 1 mL MACS buffer and centrifugation 300g, 10 minutes at room temperature. Supernatant
397 was removed and pellet resuspended in 2-steps 100 μ L + 400 μ L MACS buffer. The total volume (500
398 μ L) was applied to a LS Positive Selection Column (Miltenyi Cat. 130-042-401) previously rinsed with
399 3 mL MACS buffer and installed on a MidiMACS magnetic Separator with a collection tube. Column
400 was rinsed with 3 X 3 mL MACS buffer and all volumes (9.5 mL) were collected which contained the
401 CD45-negative fraction. CD45-negative cells were centrifuged 300g, 10 minutes at room temperature
402 followed by supernatant removal. Cells were washed twice with 1 mL PBS followed by centrifugation
403 at 300g, 10 minutes after each wash. Cells were finally resuspended in 100 μ L BSA 0.04%, 10% FBS
404 in PBS and kept at 4°C. Cell count and viability were performed using a 1:1 mix of cell suspension,
405 Trypan blue, haemocytometer and conventional light microscopy. Cells suspensions meeting the
406 following criteria were accepted for scRNA-seq library preparation: absence of aggregated cells, a
407 viability >80%, and a total cell count between 400 and 1200 cells/ μ L.

408

409 **Sample preparation for snRNA-seq**

410 Nuclei suspension was prepared from ~30 mg snap frozen tissue using Chromium Nuclei
411 Isolation Kit as per manufacturer protocol (10x Genomics Cat. 1000494). Nuclei count and integrity
412 were performed using a 1:1 mix of nuclei suspension and methylene blue 0.25% (Ricca Chemical, Cat.
413 48504), haemocytometer and conventional light microscopy. Nuclei suspensions meeting the following
414 criteria were accepted for snRNA-seq library preparation: absence of aggregated nuclei, nuclei with
415 circular shape and intact membrane (without blebbing) >80%, and a total nucleus count between 400
416 and 1200 nuclei/ μ L. Nuclei suspension were kept at 4°C until proceeding with 10x Genomics snRNA-
417 Seq library preparation protocol.

418

419 **10x Genomics sn/scRNA-seq library preparation**

420 For each sample, approximately 15,000 nuclei or cells were loaded into each channel of a
421 Chromium Next Gel Beads-in-emulsion (GEM) Chip G (10x Genomics Cat. 1000127) as per
422 manufacturer instruction for GEM generation and barcoding. Given the cell capture efficiency of
423 around 65%, 10,000 cells per library were therefore expected. The Chip was run on the Chromium
424 Controller, GEMs were aspirated and transferred to a strip tube for cDNA synthesis, cDNA
425 amplification and library construction using Chromium Next GEM single-cell 3' Library Kit v3.1 (10x
426 Genomics Cat. 1000128) and Single Index Kit T Set A (10x Genomics Cat. 2000240) as per
427 manufacturer instruction. The library average fragment size and quantification was performed using
428 Agilent Bioanalyzer High Sensitivity DNA kit (Agilent Cat. 5067-4626) and a final concentration
429 determination was performed using NEBNext[®] Library Quant Kit for Illumina (New England Biolabs
430 Cat. E7630) prior to library sequencing.

431

432 **Next generation sequencing**

433 Libraries were individually diluted to 10 nM, pooled and sequenced on an Illumina
434 NextSeq2000 system following manufacturer's recommendations. Sequencing was realized on a P3
435 (100 cycles) cartridge, aiming for 200 to 500 million reads per library (sample). Run parameters for
436 paired-end sequencing were as follows: read 1, 28 nucleotides; read 2, 91 nucleotides; index 1, 8
437 nucleotides; and index 2, 0 nucleotide.

438

439 **Single cell/nucleus data preparation**

440 Demultiplexing, alignment and transcript counting was performed using the *Cellranger*
441 software (v7.1.0, 10x Genomics) on our local server (Lenovo ThinkSystem SR650, 40 cores and
442 384GB RAM). The BCL files from the Illumina sequencing run were first demultiplexed into FASTQ
443 files using the *cellranger mkfastq* command. Read alignment and UMI counting were then executed
444 with the *cellranger count* command (see alignment and cell statistics in **Table S5**). We used GRCh38
445 as the reference transcriptome available on Gencode, release 43 (GRCh38.p13).

446

447 **Data quality control**

448 The most up-to-date bioinformatics procedure defined by the R (v4.3.0)³⁵ library *Seurat*
449 (v4.3.0)²⁴ was used to create an object for each sample and calculate values for *nCount* (number of
450 Unique Molecular Identifiers [UMI] per cell), *nFeatures* (number of genes expressed per cell) and
451 *percent.mt* (fraction of UMIs aligning to mitochondrial genes) parameters. Using the R library *scuttle*
452 (v1.10.1)³⁶, we determined outlier values for *nCount*, *nFeatures* and *percent.mt* based on the median
453 absolute deviation and sub-setted each sample accordingly. Note that for the *percent.mt* parameter, if
454 necessary, we further capped this outlier value at twenty-five percent per sample.

455 For each sample, we then performed normalization and variance stabilization using the function
456 *SCTransform*, which also has the benefit to regress out the *percent.mt* effect from the underlying count
457 data. Then, using the R library *DoubletFinder* (v2.0.3)³⁷, we identified and removed doublets
458 (assuming a five percent doublet rate), which occur when multiple cells are captured into a single oil
459 droplet during the GEM generation.

460

461 **Reference-based cell type annotation and mapping**

462 On each of these curated samples, cellular annotation was performed using the R library
463 *Azimuth* (v0.4.6)²⁶ and the most recent version of the Human Lung Cancer Atlas (HLCA v2)⁴. Note
464 that in the subsequent methodology, *cell* annotation refers to the annotation of a uniquely barcoded
465 GEM sample stemming from either a scRNA-seq or a snRNA-seq dataset.

466 The HLCA is a comprehensive and curated reference dataset constructed using a diverse set of
467 107 healthy lung samples (584,444 cells) and which allows to identify the transcriptional signature of
468 61 hierarchical cell types, from the coarsest possible annotations (level 1: *Immune*, *Epithelial*,
469 *Endothelial* and *Stroma*), recursively broken down into finer levels (levels 2-5). In addition, this
470 reference-based mapping approach allows to robustly and sensitively compare samples of broad
471 cellular compositions, while also identifying specific and rare cell populations^{24,26,38}

472 Specifically, for each sample (query), the algorithmic approach first identifies anchors between
473 the reference and query (that is, pairs of cells from each dataset that are contained within each other's
474 neighborhoods) and uses these anchors to integrate the query dataset onto the reference. Then, the
475 embeddings of the query data onto the reference Principal Components (50 PCs) are calculated and
476 visualised directly onto the reference two-dimensional Uniform Manifold Approximation and
477 Projection (UMAP). Finally, annotation scores [0:1], which reflect the confidence in the annotation,
478 were used to label cell types, whereas cells with annotation scores < 0.5 were labelled as *unclassified*.

479

480 **Copy number variations analysis**

481 For each scRNA-seq and snRNA-seq tumor sample, we performed an analysis of Copy-Number
482 Variants (CNVs) in order to identify malignant aneuploid cells based on the premise that gene CNVs
483 can be identified using the difference between the mean log expression level of non-cancerous
484 reference cells (here immune cells) and the log gene expression level of a cell of interest. This was
485 performed using the R library *infercnv* (v1.17.0)²³ and a general index (CNV score) for each cell was
486 then defined as the mean sum of square of scaled [-1;+1] standardized log fold-change values.

487

488 **Biological dataset comparisons**

489 We integrated twenty-four samples into six different datasets (*Cell-Normal*, *Nucleus-Normal*,
490 *Cell-Tumor*, *Nucleus-Tumor*, *Immune-depleted cell-Normal*, *Immune-depleted cell-Tumor*), in order to
491 quantify biological similarities and differences among datasets (see **Fig. 1F-G** for summary of
492 comparisons and accompanying figures). Given that the same reference dimensionality reduction
493 (PCA) and visualisation space (UMAP) was used for each sample, we could simply merge expression
494 data, metadata and projections into objects that accounts for technical variation among sample in order
495 to quantify patterns. For each individual cell, we also calculated a Silhouette index³⁹ to evaluate the
496 goodness of fit of the clustering, whereas the index is calculated from the UMAP embeddings and the
497 clusters correspond to specific cell type (level 3) annotations. We then tested the effect of the
498 experimental method and tissue type on the Silhouette index using a two-way Analysis of Variance
499 (ANOVA).

500

501 **Ligand-receptor analysis**

502 In order to infer and visualise the intercellular communication among cell populations, we used
503 the R library *cellchat* (v 1.6.1)⁴⁰. We quantified the cell-cell interaction pathways in normal and tumor
504 tissue (*cell* and *nucleus* dataset) to describe the cellular transition during oncogenesis and quantify how
505 the experimental method and tissue type affected the results. We limited this analysis to level 3
506 annotation and excluded infrequent cell types (<500 cells in total) and cells that were unclassified at the
507 level 3 annotation. We quantified the number of interactions from and to each cell type and tested the
508 effect of the experimental method and tissue type using a two-way ANOVA.

509

510 **Stress-related genes**

511 To quantify the effect of our *Cell*, *Nucleus* and *Immune Depleted Cell* experimental methods on
512 the overall stress responses of the cell populations, we analysed the expression pattern of a core set of
513 512 heat shock and stress response genes that were previously identified to be affected by the scRNA-
514 seq sample preparation method⁹. We quantified the proportions of cells that expressed these genes for
515 each sample and tested the effect of the experimental method, tissue type and patient using a two-way
516 ANOVA.

517

518 **Supplementary Information**

519 **Authors' contributions**

520 PD, ST, PM, PJ and YB conceived the study. PD and PJ oversaw the sample pathology. SR and YB
521 wrote the manuscript. VA, DB, NG conducted the single-cell experiments and sequencing. SR
522 analyzed the data. All authors read and approved the final manuscript.

523

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526 President & CEO, St-Augustin Canada Electric.

527

528 **Data availability statement**

529 The datasets generated by *Cellranger* will be available as open-access downloadable files on Zenodo
530 upon acceptance (zenodo.org/records/10144050). All analytical codes used to produce the results of
531 this study will be made available at <https://github.com/Yohan-Bosse-Lab/scRNA>

532

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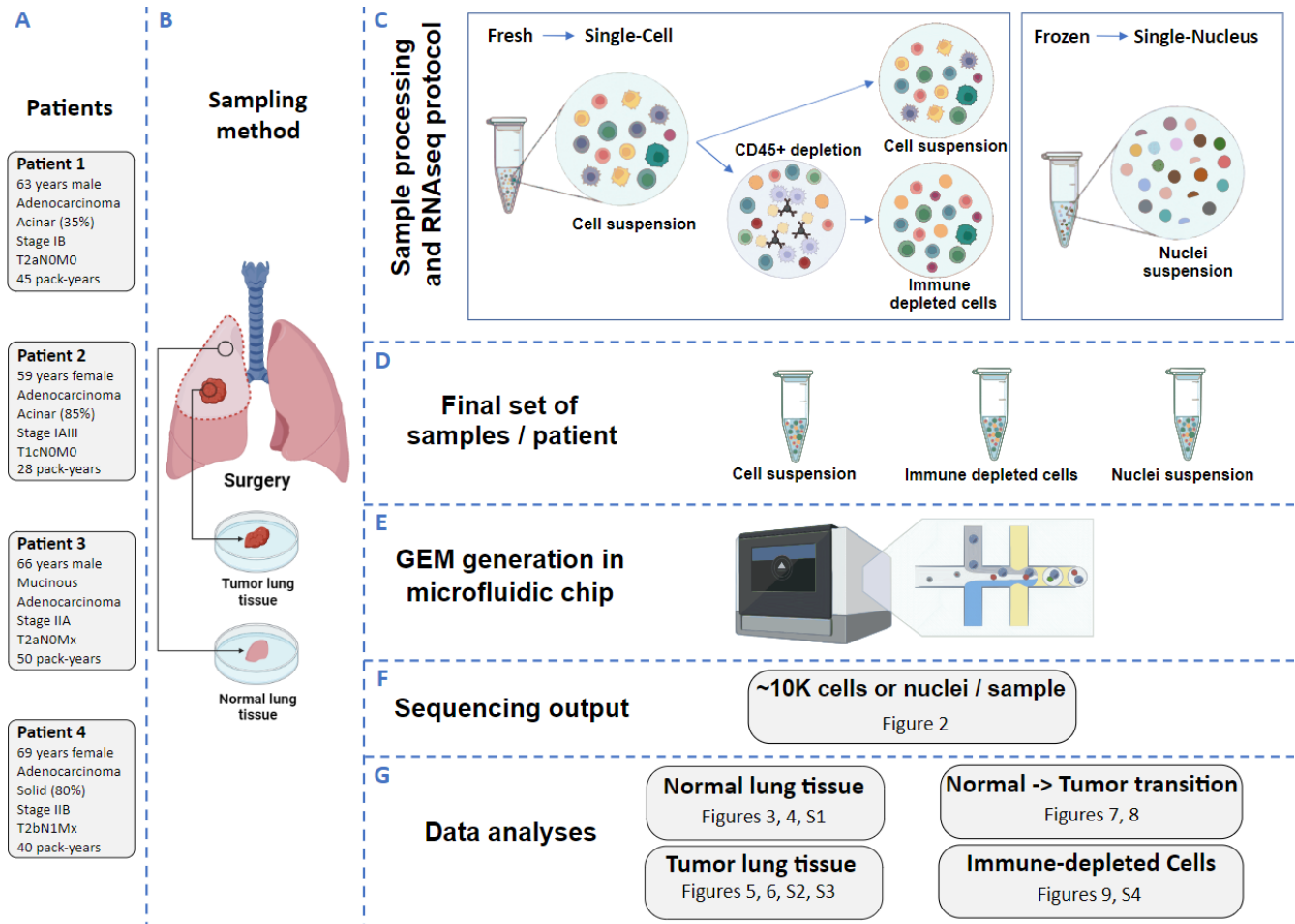
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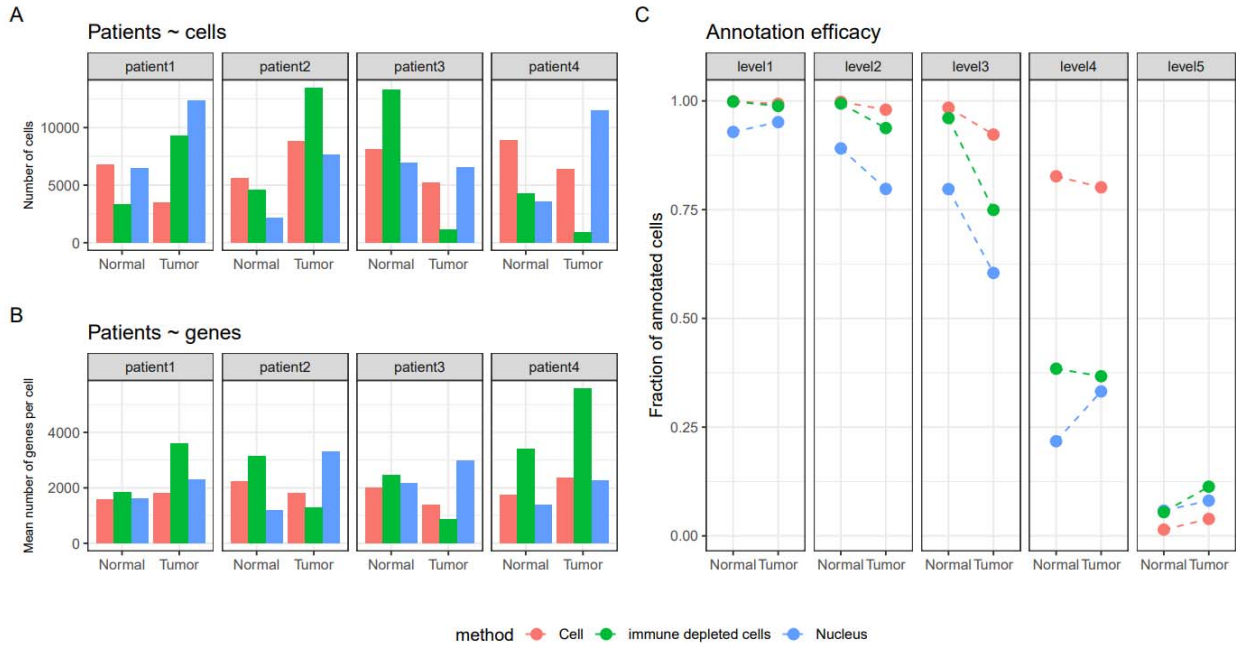
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Figure 1 | Overview of the experimental design. For each patient (A), a tumor specimen and a normal (non-malignant) lung specimen harvested from a site distant from the tumor were resected (B). The research specimens were immediately divided into smaller fragments. For both normal and tumor lung specimens, a fragment was frozen in liquid nitrogen and stored at -80°C until further processing for snRNA-seq. For fresh specimens, the fragments proceeded directly to dissociation into single-cell suspensions. A subsample of the dissociation mix underwent immune cell depletion (C). The final set of samples (D) were then loaded in wells of the microfluidic chip (E) in order to generate the transcriptome of approximately 10,000 cells or nuclei per sample (F). Dataset comparisons performed with accompanying figures (G).

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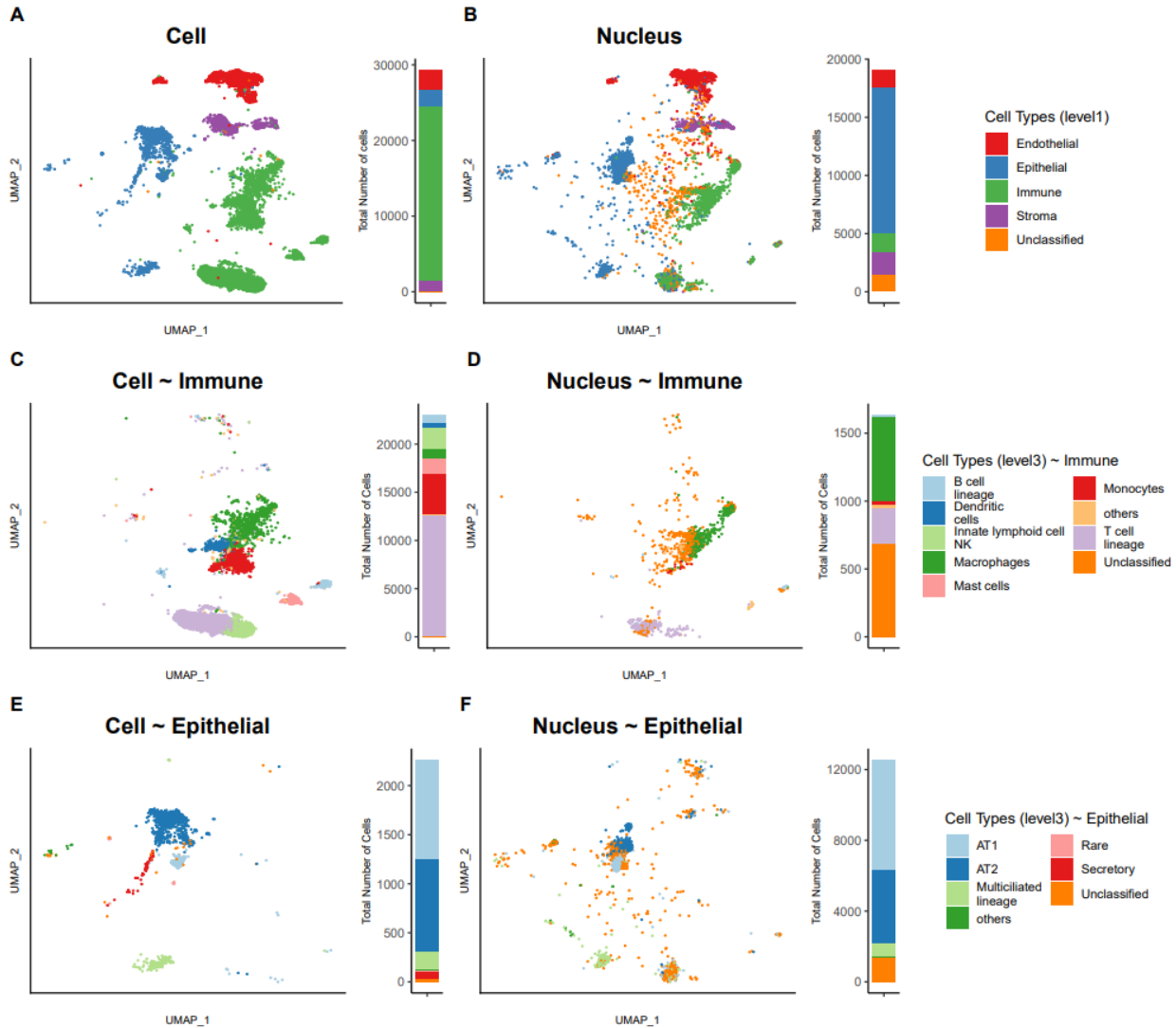
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Figure 2 | Overview of the 160,621 cells/nuclei that passed quality control obtained from lung tumors and distal normal lung samples. A. Number of cells retained after quality control for each patient, each experimental method (*Cell*, *Nucleus*, *Immune-depleted cell*) and tissue type (*Normal*, *Tumor*). **B.** Mean number of genes per cell, per patient, method and tissue type. **C.** The fraction of annotated cells for each of the five-level HLCA hierarchical cell annotation reference framework, per method and tissue type.

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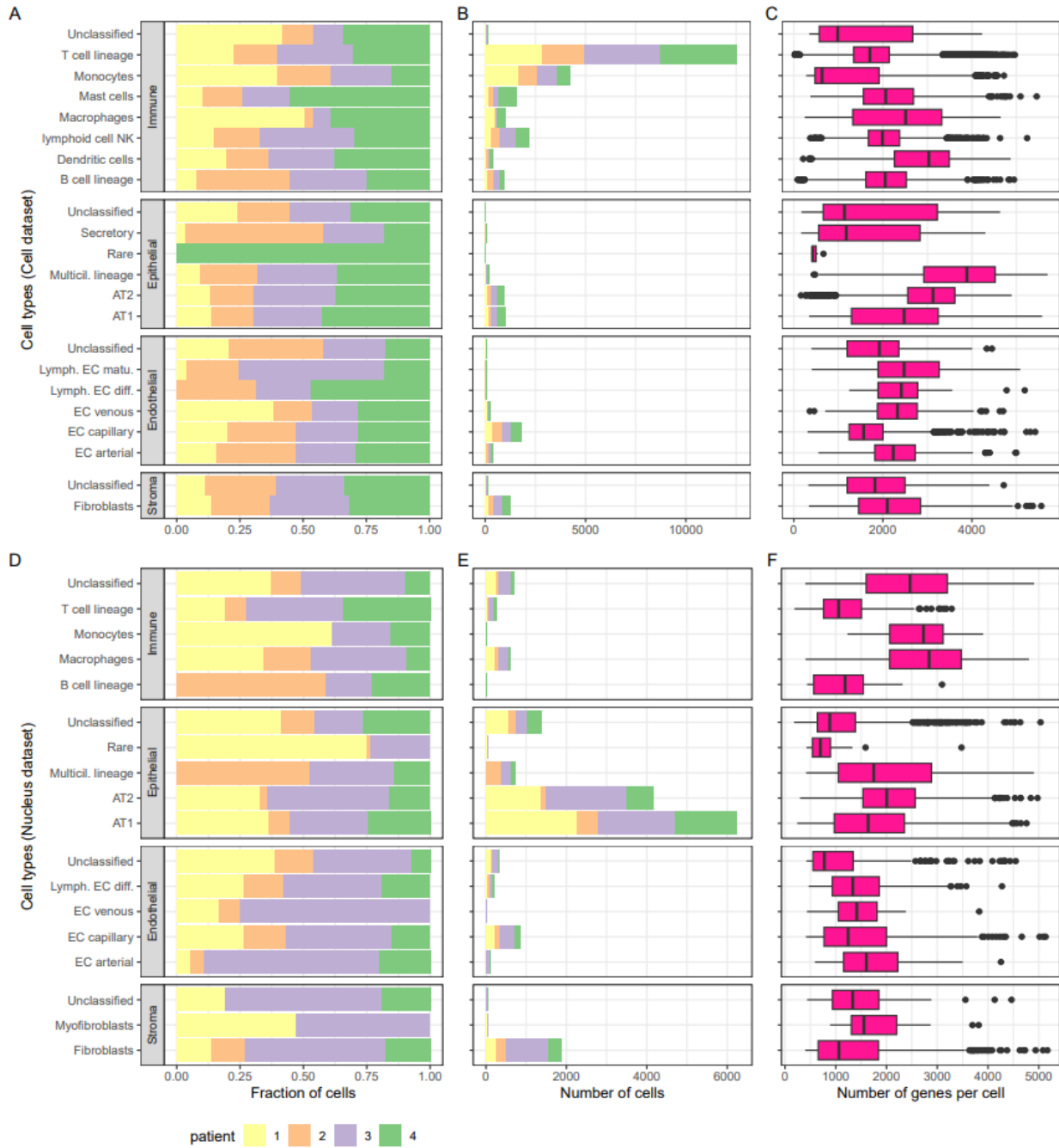
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Figure 3 | UMAP representations and cell types annotations (Normal tissue) for *Cell* (A) and *Nucleus* (B) datasets with general cell types (level 1) annotation. Finer-grained annotation (level 3) for the subset of immune cells (C) or nuclei (D) and for the subset of epithelial cells (E) or nuclei (F). To the right of each UMAP, stacked bar plots indicate the proportion of each cell type in the specific dataset. Cell types present at < 1% are labelled as others.

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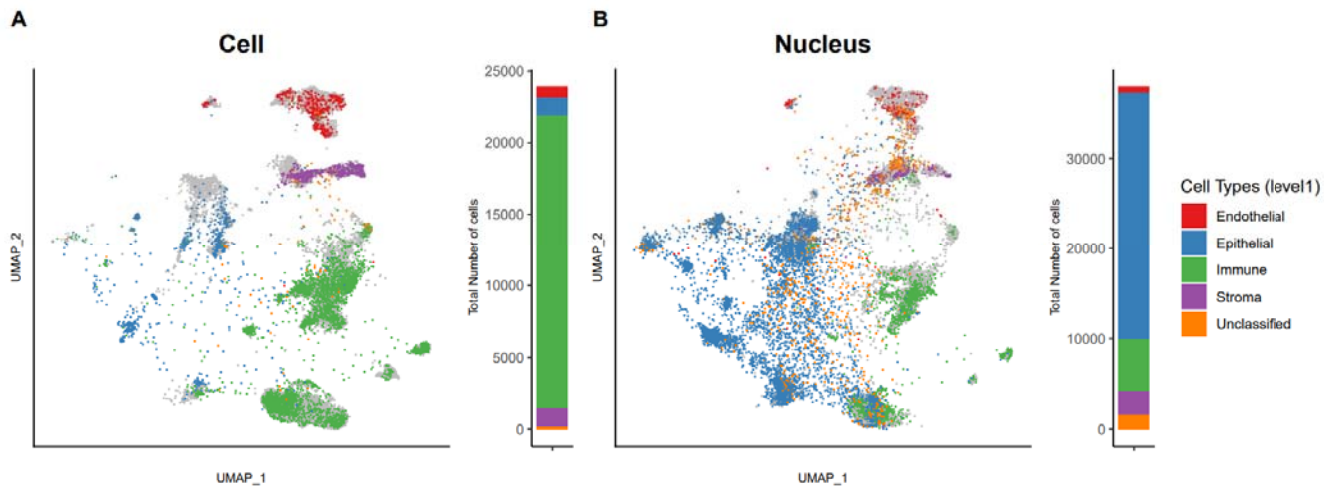
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Figure 4 | Cell types characteristics (Normal tissue). For each of the four coarse (level 1) cell types annotation (*Immune, Epithelial, Endothelial, Stroma*) further refined into finer categories (level 3): the fraction of cells (**A: Cell dataset, D: Nucleus**) and the number of cells (**B: Cell, E: Nucleus**) originating from each patient. Box plots of the number of genes expressed per cell (**C: Cell, F: Nucleus**), with plot center, box and whiskers corresponding to median, IQR and $1.5 \times IQR$, respectively. Note that only cell types with > 20 cells were retained for clarity in this visual representation.

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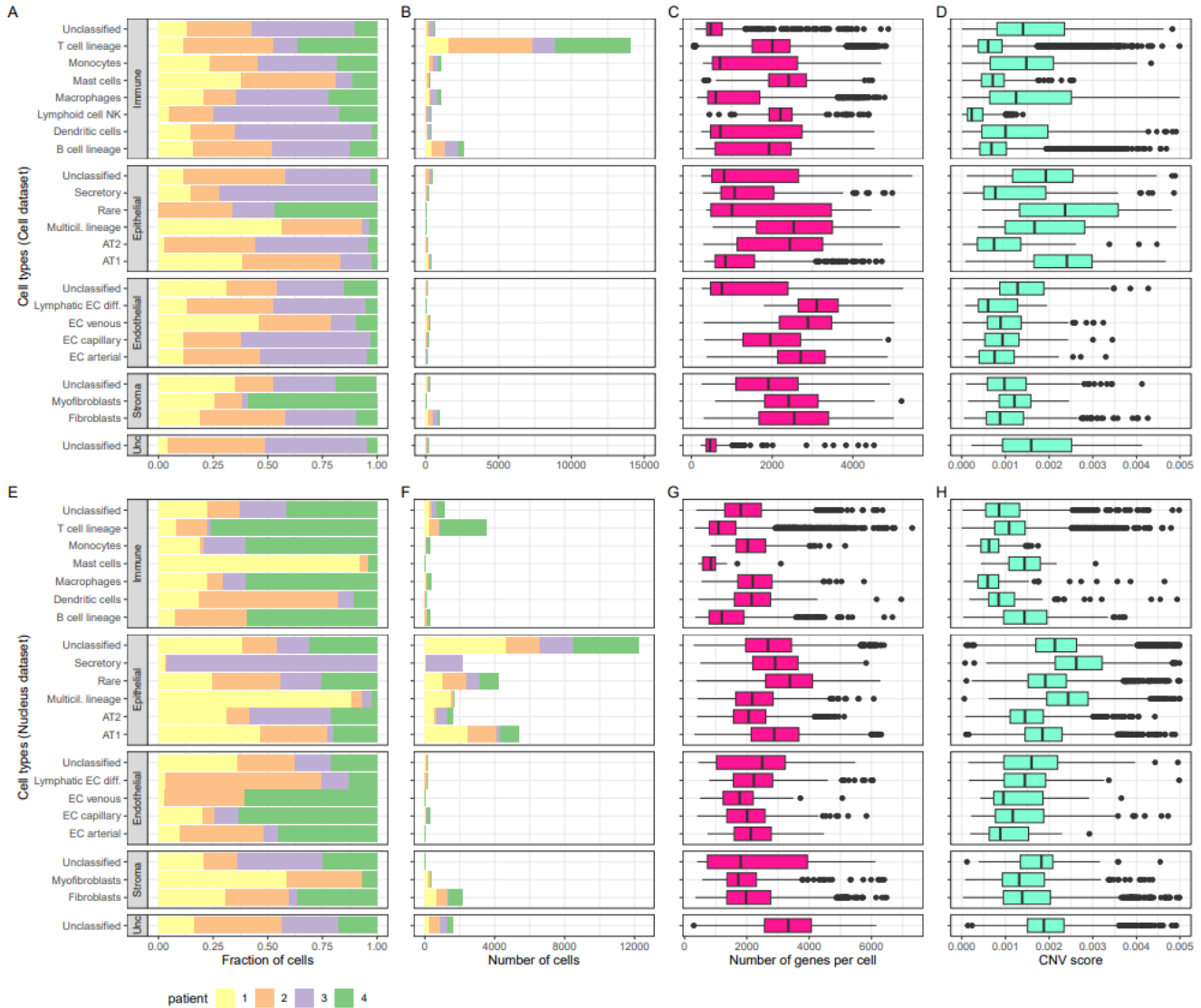
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Figure 5 | UMAP representations and cell types annotations (Tumor tissue) for *Cell* (A) and *Nucleus* (B) datasets with general cell types (level 1) annotation. Tumor samples are overlaid on top of Normal samples (in gray). To the right of each UMAP, stacked bar plots indicate the proportion of each cell type in the specific dataset.

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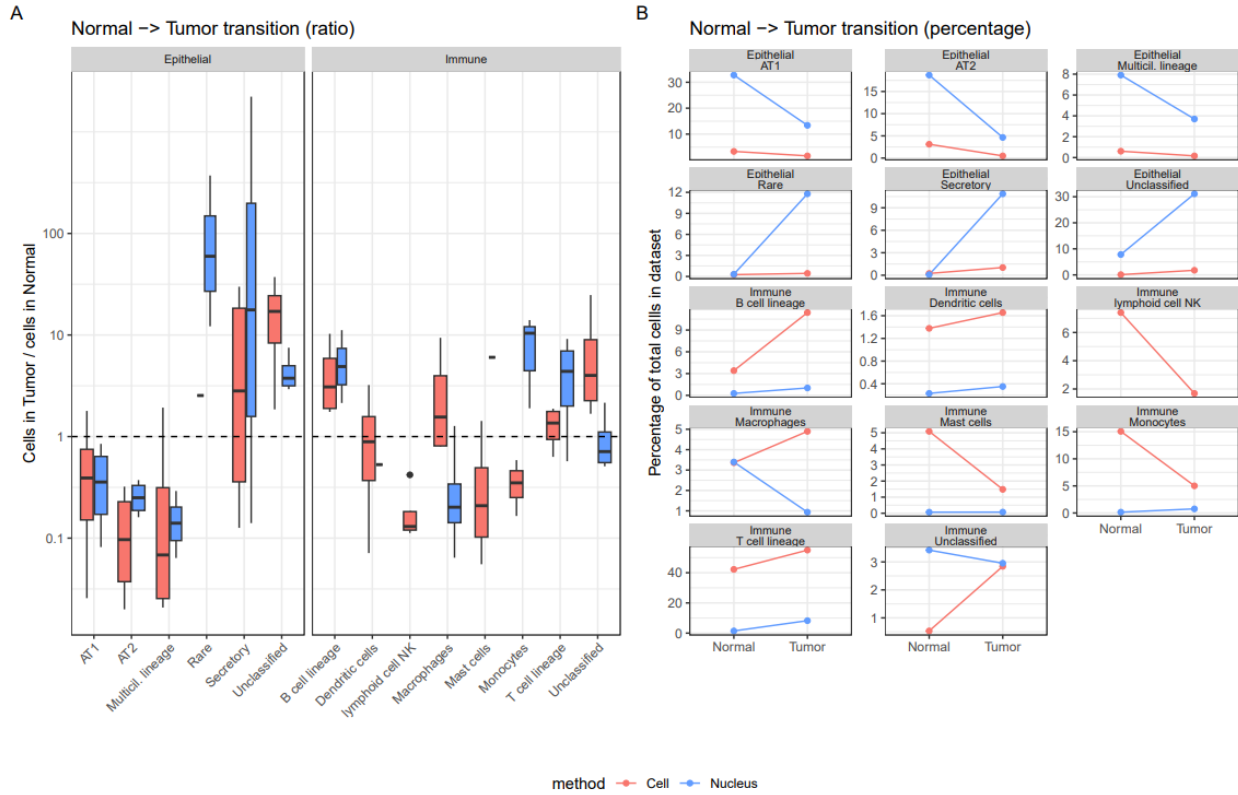
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Figure 6 | Cell types characteristics (tumor tissue). For each of the four coarse (level 1) cell types annotations (*Immune*, *Epithelial*, *Endothelial*, *Stroma*) and unclassified (*unc*), further refined into finer categories (level 3 cell types): the fraction of cells (**A**: *cell* samples, **E**: *nuclei* samples) and the number of cells (**B**: *cell*, **F**: *nucleus*) originating from each patient. Box plots of the number of genes expressed (**C**: *cell*, **G**: *nucleus*) and the CNV score (**D**: *cell*, **H**: *nucleus*), with plot center, box and whiskers corresponding to median, IQR and $1.5 \times \text{IQR}$, respectively. Note that only cell types with > 20 cells were retained for clarity in this visual representation.

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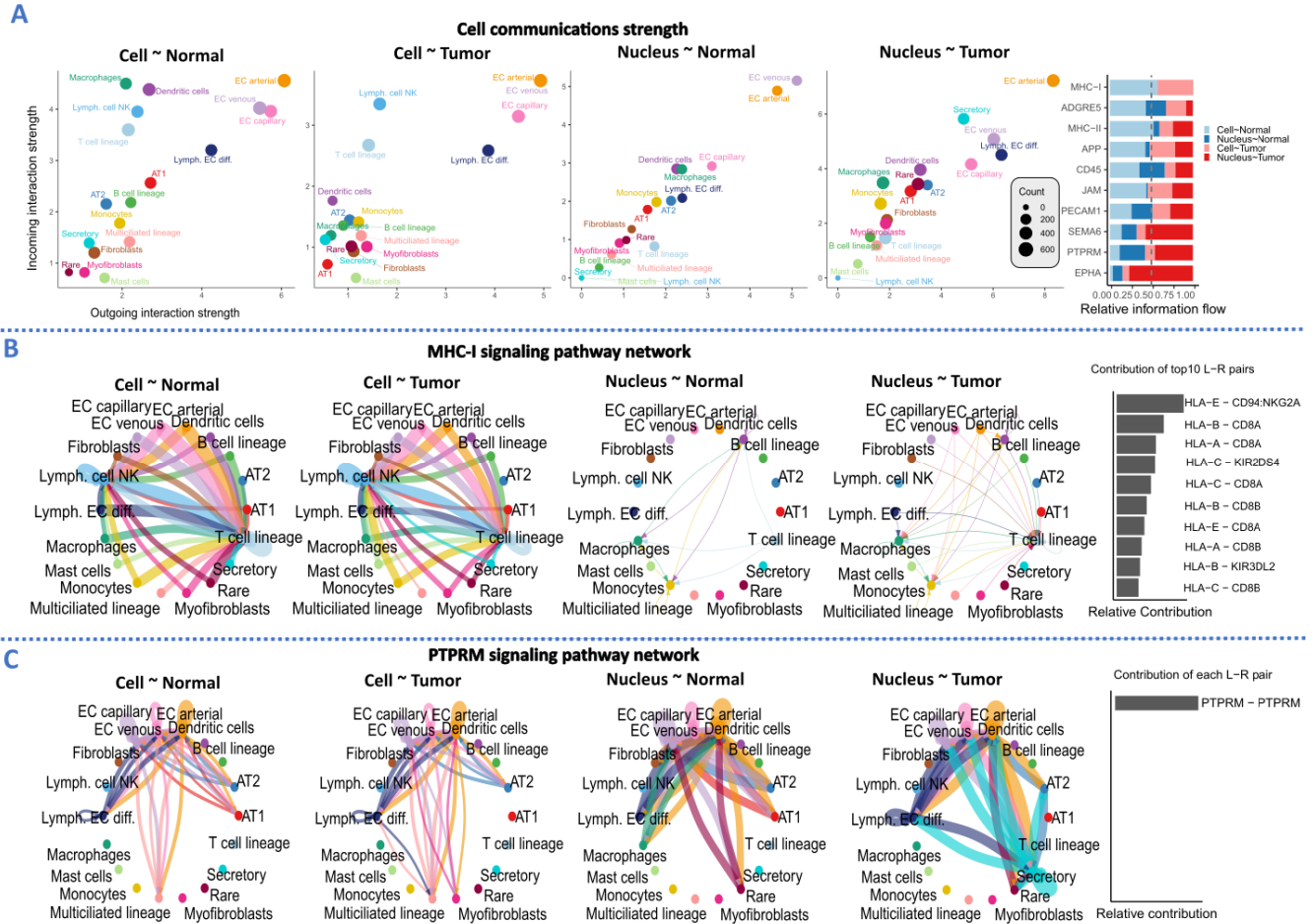
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697 **Figure 7 | Normal - tumor transition.** **A.** For each specific (level 3) Epithelial or Immune cell type,
 698 the fraction of cells they represent in the *Tumor* dataset divided by the fraction of cells they represent in
 699 the *Normal* dataset (ratios above 1 represent an increase in the *Tumor* dataset), with plot center, box
 700 and whiskers corresponding to median, IQR and $1.5 \times \text{IQR}$, respectively **B.** The percentage of
 701 specific (level 3) Epithelial or Immune cell types in *Tumor* and *Normal* dataset. Note that only cell
 702 types with > 20 cells were retained for clarity in this visual representation.

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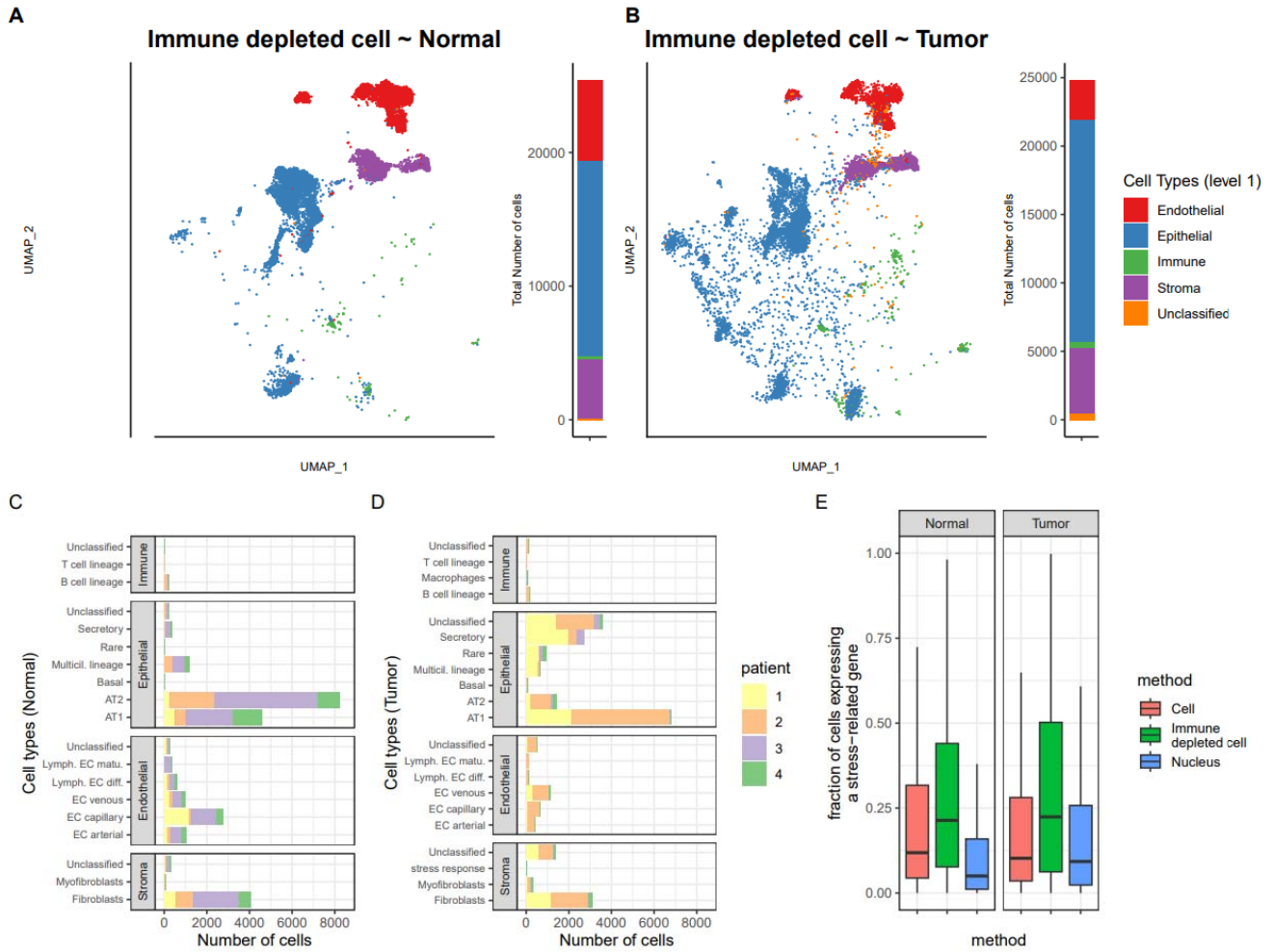
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Figure 8 | The ligand-receptor interactome. **A.** Scatter plots of ingoing and outgoing interactions per tissue type and method for common cell types (see methods) among all comparisons. To the right are the top 10 interacting pathways. **B:** An example of pathway common in cell, rare in nucleus (MHC-I) with the contribution of the top10 ligand-receptor interacting genes (bar plot to the right). **C:** An example of pathway rare in cell, common in nucleus (PTPRM) with the ligand-receptor interacting gene (bar plot to the right).

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Figure 9 | UMAP representations and cell types annotations (immune depleted cells) for Normal (A) and Tumor (B) tissue samples with general cell types (level 1) annotation. To the right of each UMAP, stacked bar plots indicate the proportion of each cell type in the specific dataset. Number of cells in the Normal (C) and Tumor (D) tissue, per patient. E: The percentage of cells expressing a stress-related gene signature as a function of the experimental method and tissue type.